ACF - 696 Financial Report

Program Name: Child Care and Development Fund Mandatory & Matching
Grantee Name: New York
Funding/Grant Period: 2101NYCCDF
Report Period: 10/01/2021 to 12/31/2021
Report Status: Submitted with Warnings

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

CHILD CARE AND DEVELOPMENT FUND ACF-696 FINANCIAL REPORT

State or Territory: New York
Grant Year: 2021
Submission: New
Final Report: YES
Current Quarter Ending: 12/31/2021

Cumulative Fiscal Year Totals

<table>
<thead>
<tr>
<th>Column</th>
<th>Mandatory Funds (Federal Share Only)</th>
<th>Matching Funds (Federal and State Share)</th>
<th>Discretionary Funds (Federal Share Only)</th>
<th>Discretionary Disaster Relief Funds (Federal Share Only)</th>
<th>Discretionary Disaster Relief Funds-Construction and Major Renovation (Federal Share Only)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Grant Document # CCDF (states)</td>
<td></td>
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<tr>
<td>B</td>
<td>CCDT (territories)</td>
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<tr>
<td>C</td>
<td>Grant Document # CCDD</td>
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<td>D</td>
<td>Grant Document # MOE</td>
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<td></td>
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<tr>
<td>E</td>
<td>Grant Document # CCDX</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>F</td>
<td>Grant Document # CCDY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. Total Expenditures: $0.00
2. State Share of Expenditures: $91,772,752.00
3. ARP Act Stabilization Subgrants to Providers
4. ARP Act Stabilization Set Aside (Admin & T A)
   4(a) Subgrant administration

Page 1 of 3
4(b) Systems
4(c) TA - application
4(d) TA - implementation
4(e) Publicity
4(f) Activities to build supply

5. Federal Share of Expenditures
   $0.00   $0.00   $42,037,308.73   $0.00   $0.00

6. Federal Share of Unliquidated Obligations
   $101,983,998.00   $120,228,482.00   $342,705,877.92   $0.00   $0.00

7. Awarded
   $101,983,998.00   $120,228,482.00   $264,802,365.00   $0.00   $0.00

8. Transfer From TANF
   $273,696,000.00

9. Unobligated Balance
   $0.00   $0.00   $153,755,178.35   $0.00   $0.00

9(a). Was the State or Territory unable to obligate at least 50% of the CCDF stabilization grants by December 11, 2021?

10. Federal Funds Requested: Estimates For Next Quarter (Refer to Next Quarter Beginning Date Above.)
    $0.00   $0.00   $0.00

Please refer to redistribution and reallocation of funds information in the instructions.

11. Redistributed Funds (September 30 Submittal)
    Yes  No  Yes  No  Yes  No

11(a). If yes, does the State or Territory request a limit to the redistributed funds received?
    $0.00   $0.00

12. Reallotted Funds: If available, does the State request reallotted discretionary or stabilization funds?
    Yes  No

Cumulative Supplemental Funds Totals

<table>
<thead>
<tr>
<th>(Column G) DISCRETIONARY CARES ACT FUNDS (Federal Share Only) Grant Document # CCC3</th>
<th>(Column H) DISCRETIONARY CRRS ACT FUNDS (Federal Share Only) Grant Document # CCC5</th>
<th>(COLUMN I) SUPPLEMENTAL DISCRETIONARY ARP ACT FUNDS Grant Document # CDC6</th>
<th>(COLUMN J) STABILIZATION ARP ACT FUNDS Grant Document # CSC6</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0.00   $39,827,495.12   $106,297.00   $629,028,741.14</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. Total Expenditures
   $0.00   $39,827,495.12   $106,297.00   $629,028,741.14

1(a). Child Care Administration
   $0.00   $0.00   $0.00

1(b). Quality Activities Excluding Infant/Toddler Quality Activities Reported On Line 1(c)
   $0.00   $39,827,495.12   $106,297.00

1(c). Infant/Toddler Quality Activities
   $0.00   $0.00   $0.00

1(d). Direct Services
   $0.00   $0.00   $0.00

1(e). Non-Direct Services
   $0.00   $0.00   $0.00

1(e)(1). Systems
   $0.00   $0.00   $0.00

1(e)(2). Certificate Program Costs/Eligibility Determination
   $0.00   $0.00   $0.00

1(e)(3). All Other Non-Direct Services
   $0.00   $0.00   $0.00

1(f). Construction and Major Renovation

2. State Share of Expenditures

2(a). Regular

2(b). Private Donated Funds

2(c). Pre-K

3. ARP Act Stabilization Subgrants to Providers
   $626,743,297.95
<table>
<thead>
<tr>
<th>4. ARP Act Stabilization Set Aside (Admin &amp; TA)</th>
<th>$2,285,443.19</th>
</tr>
</thead>
<tbody>
<tr>
<td>4(a). Subgrant administration</td>
<td>$0.00</td>
</tr>
<tr>
<td>4(b). Systems</td>
<td>$1,314,261.59</td>
</tr>
<tr>
<td>4(c). TA - application</td>
<td>$971,181.60</td>
</tr>
<tr>
<td>4(d). TA - implementation</td>
<td>$0.00</td>
</tr>
<tr>
<td>4(e). Publicity</td>
<td>$0.00</td>
</tr>
<tr>
<td>4(f). Activities to build supply</td>
<td>$0.00</td>
</tr>
<tr>
<td>5. Federal Share of Expenditures</td>
<td>$39,827,495.12</td>
</tr>
<tr>
<td>6. Federal Share of Unliquidated Obligations</td>
<td>$106,297.00</td>
</tr>
<tr>
<td>7. Awarded</td>
<td>$629,028,741.14</td>
</tr>
<tr>
<td>8. Transfer From TANF</td>
<td>$0.00</td>
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<td>9. Unobligated Balance</td>
<td>$0.00</td>
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<tr>
<td>9(a). Was the State or Territory unable to oblige at least 50% of the CCDF stabilization grants by December 11, 2021?</td>
<td>Yes ☐ No ☑</td>
</tr>
<tr>
<td>10. Federal Funds Requested : Estimates For Next Quarter (Refer to Next Quarter Beginning Date Above)</td>
<td>$0.00</td>
</tr>
<tr>
<td>11. Redistributed Funds (September 30 Submittal): If available, does the State or Territory request redistributed funds? [Mandatory (territories, per ARP Act); Matching (states)]</td>
<td>$428,960,140.88</td>
</tr>
<tr>
<td>11(a). If yes, does the State or Territory request a limit to the redistributed funds received?</td>
<td>Yes ☑ No ☐</td>
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<tr>
<td>12. Reallotted Funds: If available, does the State request reallocated discretionary or stabilization funds?</td>
<td>$678,076,954.00</td>
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<td></td>
<td>$211,687,150.78</td>
</tr>
</tbody>
</table>

**Signature Information**

This is to certify that the information reported on all parts of this form is accurate and true to the best of my knowledge and belief. This also certifies that the States share

Signature: State/Territory Official

Typed Name: Adam Riccardi

Date Certified: 05/05/2022

Expiration Date: 09/30/2023

Agency Name: New York

Phone #

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Submit Date: 05/05/2022