| 1. On what date did the incident occur?  
  (If more than one date, report the most recent.) |
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<tr>
<td>08</td>
<td>02</td>
<td>2019</td>
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<th>2. In what facility did the incident occur?</th>
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<tbody>
<tr>
<td>Name</td>
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<tr>
<td>City/Place</td>
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</table>

| 3. Where did the incident occur? (Mark [X] all that apply.) |
|---|---|---|---|
| 01 | In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell) |
| 02 | In the perpetrator's cell or room |
| 03 | In a dormitory or other multiple housing unit |
| 04 | In a common area (e.g., shower, dayroom, bathroom) |
| 05 | In a temporary holding cell or admissions area within the facility |
| 06 | In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) |
| 07 | In an instructional area (e.g., classroom, school, library, conference room) |
| 08 | In a recreation area (e.g., yard, courtyard, gymnasium) |
| 09 | In a medical area (e.g., Infirmary, health clinic) |
| 10 | In a staff area (office, break room, counselor's office) |
| 11 | Offsite or while in transit |
| 12 | Other – Specify |

<table>
<thead>
<tr>
<th>6. How many victims were involved in the incident?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of victims</td>
</tr>
</tbody>
</table>

If more than two victims were involved, report their characteristics in Notes on page 5.

| 7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.) |
|---|---|---|
| 01 | Male | 06 | Transgender |
| 02 | Female | 04 | Intersex |

| 8. Victim #1: What was the victim's age at the time of the incident? |
|---|---|---|
| 01 | Under age 13 | 04 | 18–19 |
| 02 | 13–15 | 05 | 20–24 |
| 03 | 16–17 | 06 | 25 or older |

| 9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.) |
|---|---|---|---|
| 01 | White (not of Hispanic origin) |
| 02 | Black (not of Hispanic origin) |
| 03 | Hispanic or Latino |
| 04 | American Indian/Alaska Native (not of Hispanic origin) |
| 05 | Asian (not of Hispanic origin) |
| 06 | Native Hawaiian or Other Pacific Islander (not of Hispanic origin) |
| 07 | Other racial category in your information system – Specify |

| 10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.) |
|---|---|---|
| 01 | Male | 03 | Transgender |
| 02 | Female | 04 | Intersex |

| 11. Victim #2: What was the victim's age at the time of the incident? |
|---|---|---|
| 01 | Under age 13 | 04 | 18–19 |
| 02 | 13–15 | 05 | 20–24 |
| 03 | 16–17 | 06 | 25 or older |

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
- No (No injury sustained)
- Yes → a. What injuries occurred? (Mark (X) all that apply.)
  - Knife or stab wounds
  - Broken bones
  - Anal or vaginal tearing
  - Chipped or knocked out teeth
  - Internal injuries
  - Knocked unconscious
  - Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - Other – Specify

  → b. Did the victim(s) receive medical treatment for these injuries?
  - Yes
  - No

14. Who reported the incident? (Mark (X) all that apply.)
- Victim
- Another youth (non-victim)
- Victim's family or friend
- Correctional officer or front line staff
- Administrative staff
- Medical, healthcare, or mental health staff
- Instructor, teacher, or counselor
- Other staff (e.g., kitchen worker, maintenance staff)
- Chaplain or other religious official
- Perpetrator
- Perpetrator’s family or friend
- Grievance coordinator, grievance process, or ombudsperson
- Attorney or legal guardian (e.g., other than family member)
- Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- Other – Specify

15. After the incident was reported, was the victim(s) (Mark (X) all that apply for all victims.)
- Given a medical examination
- Administered a rape kit
- Tested for HIV/AIDS
- Tested for other sexually transmitted diseases
- Provided with counseling or mental health treatment
- Offered but declined testing or treatment
- Already released/discharged
- None of the above

16. After the incident was reported, was the victim(s) (Mark (X) all that apply for all victims.)
- Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- Placed in a medical unit, ward, or hospital
- Confined to own cell or room
- Given a higher custody level/different unit within the facility
- Transferred to another facility
- Transferred to another housing unit or dorm, or given a single room or cell
- Separated from perpetrator
- Issued disciplinary report or loss of privileges
- Placed in camera room, under closer surveillance, or increased supervision
- Other – Specify

- None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
- Youth-on-youth nonconsensual sexual act → Complete Section A, below
- Youth-on-youth abusive contact → Complete Section A, below
- Youth-on-youth sexual harassment → Complete Section A, below
- Staff sexual misconduct → Complete Section B on pages 4-5
- Staff sexual harassment → Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

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<tr>
<th></th>
<th>Male</th>
<th>Transgender</th>
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<tbody>
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20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

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<th>Under age 13</th>
<th>18–19</th>
<th>13–15</th>
<th>20–24</th>
<th>16–17</th>
<th>25 or older</th>
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21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

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<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</th>
<th>Other racial category in your information system – Specify</th>
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22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

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<th></th>
<th>Male</th>
<th>Transgender</th>
<th>Intersex</th>
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23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

<table>
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<th></th>
<th>Under age 13</th>
<th>18–19</th>
<th>13–15</th>
<th>20–24</th>
<th>16–17</th>
<th>25 or older</th>
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24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

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<thead>
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<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</th>
<th>Other racial category in your information system – Specify</th>
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25. What was the nature of the incident? (Mark (X) all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>Sexual harassment</th>
<th>Indecent exposure, masturbation, or voyeurism</th>
<th>Horseplay</th>
<th>Repeated and unwelcome sexual advances or requests for sexual favors</th>
<th>Unwanted touching for sexual gratification or abusive sexual contact</th>
<th>Pressure or coercion (without force) resulting in a nonconsensual sexual act</th>
<th>Physical force (or the threat of force) resulting in a nonconsensual sexual act</th>
<th>Other – Specify</th>
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26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Sexual harassment, sexual innuendo, or verbal comments</th>
<th>Persuasion or talked into sexual activity</th>
<th>Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep</th>
<th>Bribery or blackmail</th>
<th>Gave victim drugs or alcohol</th>
<th>Offered protection from other youth</th>
<th>Threated with physical harm</th>
<th>Physically held victim down or restrained in some way</th>
<th>Physically harmed or injured</th>
<th>Threatened with a weapon</th>
<th>Other – Specify</th>
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27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Placed in solitary confinement or disciplinary segregation</th>
<th>Confined to own cell or room</th>
<th>Placed in higher custody level, restricted unit or program, within the same facility</th>
<th>Transferred to other unit/cell or separated from victim</th>
<th>Transferred to another facility</th>
<th>Loss of &quot;good/gain&quot; time or increase in &quot;bad&quot; time/delayed release</th>
<th>Given extra work</th>
<th>Loss of privileges, disciplinary report or conduct violation, or other reprimand</th>
<th>Sent to counseling or treatment team</th>
<th>Arrested or referred to law enforcement agency</th>
<th>Referred for prosecution or indicted</th>
<th>Convicted, given new sentence, or fined</th>
<th>Other – Specify</th>
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33. Staff #2: What was the gender of the staff?
   01 ☐ Male              02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 ☐ 24 or younger     05 ☐ 40–44
   02 ☐ 25–29             06 ☐ 45–54
   03 ☐ 30–34             07 ☐ 55 or older
   04 ☐ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark ☑ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☑

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark ☑ all that apply for all staff involved.)
   01 ☐ Full or part time paid employee
   02 ☐ Contract employee or vendor
   03 ☐ Volunteer or intern
   04 ☐ Other – Specify ☑

37. What was the primary position description of the staff involved in the incident?
   (Mark ☑ all that apply for all staff involved.)
   01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 ☐ Correctional officer or supervisory staff
   03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
   07 ☐ Other program staff
   08 ☐ Volunteers or Interns
   09 ☐ Other staff – Specify ☑
38. What sanction was imposed on the staff? (Mark [x] all that apply for all staff involved.)
- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify 

[ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [x] all that apply for all staff involved.)
- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE Incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day  Year
   1  0  1  6  2  0  1  9

2. In what facility did the incident occur?
   Name
   Taberg Residential Center
   City/Place
   Taberg, NY

3. Where did the incident occur? (Mark [✓] all that apply.)
   01 [✓] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [✓] In the perpetrator's cell or room
   03 [✓] In a dormitory or other multiple housing unit
   04 [✓] In a common area (e.g., shower, dayroom, bathroom)
   05 [✓] In a temporary holding cell or admissions area within the facility
   06 [✓] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [✓] In an instructional area (e.g., classroom, school, library, conference room)
   08 [✓] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [✓] In a medical area (e.g., Infirmary, health clinic)
   10 [✓] In a staff area (office, break room, counselor's office)
   11 [✓] Offsite or while in transit
   12 Other - Specify (✓)
   13 [✓] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [✓] Yes
   02 [✓] No
   03 [✓] Don't know

5. What time did the incident occur? (Mark [✓] all that apply.)
   01 [✓] Morning (6 a.m. to noon)
   02 [✓] Afternoon (noon to 6 p.m.)
   03 [✓] Evening (6 p.m. to midnight)
   04 [✓] Overnight (midnight to 6 a.m.)
   05 [✓] Unknown

6. How many victims were involved in the incident?
   Number of victims 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [✓] Male
   02 [✓] Female
   03 [✓] Transgender
   04 [✓] Intersex
   05 [✓] Other

8. Victim #1: What was the victim's age at the time of the incident?
   01 [✓] Under age 13
   02 [✓] 13–15
   03 [✓] 16–17
   04 [✓] 18–19
   05 [✓] 20–24
   06 [✓] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [✓] all that apply.)
   01 [✓] White (not of Hispanic origin)
   02 [✓] Black (not of Hispanic origin)
   03 [✓] Hispanic or Latino
   04 [✓] American Indian/Alaska Native (not of Hispanic origin)
   05 [✓] Asian (not of Hispanic origin)
   06 [✓] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [✓] Other racial category in your information system - Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [✓] Male
    02 [✓] Female
    03 [✓] Transgender
    04 [✓] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [✓] Under age 13
    02 [✓] 13–15
    03 [✓] 16–17
    04 [✓] 18–19
    05 [✓] 20–24
    06 [✓] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?  
(Mark [X] all that apply.)

01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify ___

13. Did the victim(s) sustain any physical injury during the incident?

02 [x] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred?  
(Mark [X] all that apply for all victims.)

01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify ___

→ b. Did the victim(s) receive medical treatment for these injuries?

01 [ ] Yes
02 [x] No

14. Who reported the incident?  
(Mark [X] all that apply.)

01 [x] Victim
02 [ ] Another youth (non-victim)
03 [ ] Victim's family or friend
04 [ ] Correccional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religious official
10 [ ] Perpetrator
11 [ ] Perpetrator's family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify ___

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)

01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [x] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)

01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level/different unit within the facility
05 [ ] Transferred to another facility
06 [x] Transferred to another housing unit or dorm, or given a single room or cell
07 [ ] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify ___

11 [ ] None of the above

17. What type of sexual violence was involved in the incident?  (See definitions on page 5.)

01 [ ] Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 [x] Youth-on-youth abusive contact → Complete Section A, below
03 [ ] Youth-on-youth sexual harassment → Complete Section A, below
04 [ ] Staff sexual misconduct → Complete Section B on pages 4–5
05 [ ] Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . . .

1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
01 □ Male 03 □ Transgender
02 □ Female 04 □ Intersex

20. Perpetrator #1: What was the youth perpetrator’s age at the time of the incident?
01 □ Under age 13 04 □ 18–19
02 □ 13–15 05 □ 20–24
03 □ 16–17 06 □ 25 or older

21. Perpetrator #1: What was the youth perpetrator’s race/ethnic origin? (Mark (X) all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify □

22. Perpetrator #2: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
01 □ Male 03 □ Transgender
02 □ Female 04 □ Intersex

23. Perpetrator #2: What was the youth perpetrator’s age at the time of the incident?
01 □ Under age 13 04 □ 18–19
02 □ 13–15 05 □ 20–24
03 □ 16–17 06 □ 25 or older

24. Perpetrator #2: What was the youth perpetrator’s race/ethnic origin? (Mark (X) all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify □

25. What was the nature of the incident? (Mark (X) all that apply.)
02 □ Sexual harassment
03 □ Indecent exposure, masturbation, or voyeurism
04 □ Horseplay
05 □ Repeated and unwelcome sexual advances or requests for sexual favors
06 □ Unwanted touching for sexual gratification or abusive sexual contact
07 □ Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 □ Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 □ Other – Specify □

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)
01 □ Sexual harassment, sexual innuendo, or verbal comments
02 □ Persuasion or talked into sexual activity
03 □ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 □ Bribery or blackmail
05 □ Gave victim drugs or alcohol
06 □ Offered protection from other youth
07 □ Threatened with physical harm
08 □ Physically held victim down or restrained in some way
09 □ Physically harmed or injured
10 □ Threatened with a weapon
11 □ Other – Specify □
12 □ None

27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)
01 □ Placed in solitary confinement or disciplinary segregation
02 □ Confined to own cell or room
03 □ Placed in higher custody level, restricted unit or program, within the same facility
04 □ Transferred to other unit/cell or separated from victim
05 □ Transferred to another facility
06 □ Loss of “good/gain” time or increase in “bad” time/delayed release
07 □ Given extra work
08 □ Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 □ Sent to counseling or treatment team
10 □ Arrested or referred to law enforcement agency
11 □ Referred for prosecution or indicted
12 □ Convicted, given new sentence, or fined
13 □ Other – Specify □
If the perpetrator was a youth, go to Section A on pages 2–3.

28. What was the nature of the incident? (Mark [X] all that apply.)
   01 □ Physical force resulting in a nonconsensual sexual act
   02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
   03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 □ Unwanted touching for sexual gratification
   05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 □ Sexual relationship between youth and staff that appeared to be willing
   08 □ Other – Specify ☐
   09 □ Level of coercion unknown

29. How many staff were involved in the incident?
   Number of staff . . . ______________
   → If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 □ Male  02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  05 □ 40–44
   02 □ 25–29  06 □ 45–54
   03 □ 30–34  07 □ 55 or older
   04 □ 35–39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify ☐

33. Staff #2: What was the gender of the staff?
   01 □ Male  02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  05 □ 40–44
   02 □ 25–29  06 □ 45–54
   03 □ 30–34  07 □ 55 or older
   04 □ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
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36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other – Specify ☐

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff – Specify ☐
38. **What sanction was imposed on the staff?**
   (Mark [X] all that apply for all staff involved.)
   - [ ] Sent to training or counseling
   - [ ] Reprimanded or disciplined
   - [ ] Demoted, diminished responsibilities, or suspended temporarily
   - [ ] Transferred to another facility or unit
   - [ ] Arrested or referred to law enforcement agency
   - [ ] Referred for prosecution or indicted
   - [ ] Convicted, plead guilty, sentenced, or fined
   - [ ] Discharged, terminated, or contract not renewed
   - [ ] Staff resigned (prior to completion of investigation)
   - [ ] Staff resigned (after investigation was completed)
   - [ ] Other – Specify

   [ ] No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**
   (Mark [X] all that apply for all staff involved.)
   - [ ] Less than 6 months
   - [ ] 6 months to 1 year
   - [ ] 1 to 5 years
   - [ ] 5 to 10 years
   - [ ] More than 10 years

---

**Definitions**

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**AND**
Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**
Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**
Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

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Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**
Completed, attempted, threatened, or requested sexual acts;

**OR**
Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARRASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**
Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month  Day  Year
   1  0  2  1  2  0  1  9

2. In what facility did the incident occur?
   Name
   Taberg Residential Center
   City/Place
   Taberg, NY

3. Where did the incident occur? (Mark (X) all that apply.)
   01 [ ] In the victim's cell or room (e.g., if the victim and
   perpetrator share a cell or room, count as the victim's
   cell)
   02 [ ] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [x] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the
   facility
   06 [ ] In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library,
   conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., Infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [x] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur?
   (Mark (X) all that apply.)
   01 [ ] Morning (6 a.m. to noon)
   02 [x] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims . . . 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [x] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 13
   02 [ ] 13–15
   03 [x] 16–17
   04 [ ] 18–19
   05 [ ] 20–24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
   01 [x] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [ ] Male
    02 [ ] Female
    03 [x] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 13
    02 [ ] 13–15
    03 [x] 16–17
    04 [ ] 18–19
    05 [ ] 20–24
    06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 X No (No injury sustained)
01 □ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 □ Knife or stab wounds
02 □ Broken bones
03 □ Anal or vaginal tearing
04 □ Chipped or knocked out teeth
05 □ Internal injuries
06 □ Knocked unconscious
07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 □ Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
01 □ Yes
02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
01 □ Victim
02 □ Another youth (non-victim)
03 □ Victim's family or friend
04 □ Correctional officer or front line staff
05 □ Administrative staff
06 □ Medical, healthcare, or mental health staff
07 □ Instructor, teacher, or counselor
08 □ Other staff (e.g., kitchen worker, maintenance staff)
09 □ Chaplain or other religious official
10 □ Perpetrator
11 □ Perpetrator's family or friend
12 □ Grievance coordinator, grievance process, or ombudsperson
13 □ Attorney or legal guardian (e.g., other than family member)
14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 □ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Given a medical examination
02 □ Administered a rape kit
03 □ Tested for HIV/AIDS
04 □ Tested for other sexually transmitted diseases
05 □ Provided with counseling or mental health treatment
06 □ Offered but declined testing or treatment
07 □ Already released/discharged
08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 □ Placed in a medical unit, ward, or hospital
03 □ Confined to own cell or room
04 □ Given a higher custody level/different unit within the facility
05 □ Transferred to another facility
06 □ Transferred to another housing unit or dorm, or given a single room or cell
07 □ Separated from perpetrator
08 □ Issued disciplinary report or loss of privileges
09 □ Placed in camera room, under closer surveillance, or increased supervision
10 □ Other – Specify

11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 □ Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 □ Youth-on-youth abusive contact → Complete Section A, below
03 □ Youth-on-youth sexual harassment → Complete Section A, below
04 □ Staff sexual misconduct → Complete Section B on pages 4–5
05 □ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . . 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- Male
- Female
- Transgender
- Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

- Under age 13
- 13–15
- 16–17
- 18–19
- 20–24
- 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify [X]

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- Male
- Female
- Transgender
- Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

- Under age 13
- 13–15
- 16–17
- 18–19
- 20–24
- 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify [X]

25. What was the nature of the incident? (Mark [X] all that apply.)

- Sexual harassment
- Indecent exposure, masturbation, or voyeurism
- Horseplay
- Repeated and unwelcome sexual advances or requests for sexual favors
- Unwanted touching for sexual gratification or abusive sexual contact
- Pressure or coercion (without force) resulting in a nonconsensual sexual act
- Physical force (or the threat of force) resulting in a nonconsensual sexual act
- Other – Specify [X]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)

- Sexual harassment, sexual innuendo, or verbal comments
- Persuasion or talked into sexual activity
- Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- Bribery or blackmail
- Gave victim drugs or alcohol
- Offered protection from other youth
- Threatened with physical harm
- Physically held victim down or restrained in some way
- Physically harmed or injured
- Threatened with a weapon
- Other – Specify [X]

12. None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)

- Placed in solitary confinement or disciplinary segregation
- Confinement to own cell or room
- Placed in higher custody level, restricted unit or program, within the same facility
- Transferred to other unit/cell or separated from victim
- Transferred to another facility
- Loss of "good/gain" time or increase in "bad" time/delayed release
- Given extra work
- Loss of privileges, disciplinary report or conduct violation, or other reprimand
- Sent to counseling or treatment team
- Arrested or referred to law enforcement agency
- Referred for prosecution or indicted
- Convicted, given new sentence, or fined
- Other – Specify [X]
33. Staff #2: What was the gender of the staff?

01 □ Male
02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 □ 24 or younger
02 □ 25–29
03 □ 30–34
04 □ 35–39
05 □ 40–44
06 □ 45–54
07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

(Mark [X] all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

36. Staff involved in the incident an employee of the facility, a contractor, or a volunteer?

(Mark [X] all that apply for all staff involved.)

01 □ Full or part time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other – Specify

37. What was the primary position description of the staff involved in the incident?

(Mark [X] all that apply for all staff involved.)

01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 □ Correctional officer or supervisory staff
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Volunteers or Interns
09 □ Other staff – Specify
38. What sanction was imposed on the staff? (Mark ☑️ all that apply for all staff involved.)

☐ Sent to training or counseling
☐ Reprimanded or disciplined
☐ Demoted, diminished responsibilities, or suspended temporarily
☐ Transferred to another facility or unit
☐ Arrested or referred to law enforcement agency
☐ Referred for prosecution or indicted
☐ Convicted, plead guilty, sentenced, or fined
☐ Discharged, terminated, or contract not renewed
☐ Staff resigned (prior to completion of investigation)
☐ Staff resigned (after investigation was completed)
☐ Other – Specify ☐

☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark ☑️ all that apply for all staff involved.)

☐ Less than 6 months
☐ 6 months to 1 year
☐ 1 to 5 years
☐ 5 to 10 years
☐ More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month    Day    Year
   11       17     2019

2. In what facility did the incident occur?
   Name
   Taberg Residential Center
   City/Place
   Taberg, NY

3. Where did the incident occur? (Mark (✓) all that apply.)
   01 □ In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 □ In the perpetrator's cell or room
   03 □ In a dormitory or other multiple housing unit
   04 □ In a common area (e.g., shower, dayroom, bathroom)
   05 □ In a temporary holding cell or admissions area within the facility
   06 □ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 □ In an instructional area (e.g., classroom, school, library, conference room)
   08 □ In a recreation area (e.g., yard, courtyard, gymnasium)
   09 □ In a medical area (e.g., infirmary, health clinic)
   10 □ In a staff area (officer, break room, counselor's office)
   11 □ Offsite or while in transit
   12 □ Other — Specify ☐

4. Did the incident take place in an area subject to video monitoring?
   01 ✗ Yes
   02 □ No
   03 □ Don't know

5. What time did the incident occur? (Mark (✓) all that apply.)
   01 □ Morning (6 a.m. to noon)
   02 ✗ Afternoon (noon to 6 p.m.)
   03 □ Evening (6 p.m. to midnight)
   04 □ Overnight (midnight to 6 a.m.)
   05 □ Unknown

6. How many victims were involved in the incident?
   Number of victims . . . 2
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 □ Male
   02 X Female
   03 □ Transgender
   04 □ Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 □ Under age 13
   02 □ 13–15
   03 □ 16–17
   04 □ 18–19
   05 □ 20–24
   06 □ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (✓) all that apply.)
   01 □ White (not of Hispanic origin)
   02 X Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system — Specify ☐

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 □ Male
   02 □ Transgender
   03 □ Female
   04 □ Intersex

11. Victim #2: What was the victim's age at the time of the incident?
   01 □ Under age 13
   02 □ 13–15
   03 □ 16–17
   04 □ 18–19
   05 □ 20–24
   06 □ 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify [Y]

13. Did the victim(s) sustain any physical injury during the incident?
   02 [X] No (No injury sustained)
   01 □ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
      01 □ Knife or stab wounds
      02 □ Broken bones
      03 □ Anal or vaginal tearing
      04 □ Chipped or knocked out teeth
      05 □ Internal injuries
      06 □ Knocked unconscious
      07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 □ Other – Specify [Y]

→ b. Did the victim(s) receive medical treatment for these injuries?
   01 □ Yes
   02 [X] No

14. Who reported the incident? (Mark [X] all that apply.)
   01 □ Victim
   02 □ Another youth (non-victim)
   03 □ Victim's family or friend
   04 [X] Correctional officer or front line staff
   05 □ Administrative staff
   06 □ Medical, healthcare, or mental health staff
   07 □ Instructor, teacher, or counselor
   08 □ Other staff (e.g., kitchen worker, maintenance staff)
   09 □ Chaplain or other religious official
   10 □ Perpetrator
   11 □ Perpetrator's family or friend
   12 □ Grievance coordinator, grievance process, or ombuds person
   13 □ Attorney or legal guardian (e.g., other than family member)
   14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 □ Other – Specify [Y]

15. After the incident was reported, was the victim(s)? (Mark [X] all that apply for all victims.)
   01 [X] Given a medical examination
   02 □ Administered a rape kit
   03 □ Tested for HIV/AIDS
   04 □ Tested for other sexually transmitted diseases
   05 □ Provided with counseling or mental health treatment
   06 □ Offered but declined testing or treatment
   07 □ Already released/discharged
   08 □ None of the above

16. After the incident was reported, was the victim(s)? (Mark [X] all that apply for all victims.)
   01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 □ Placed in a medical unit, ward, or hospital
   03 □ Confined to own cell or room
   04 □ Given a higher custody level/different unit within the facility
   05 □ Transferred to another facility
   06 □ Transferred to another housing unit or dorm, or given a single room or cell
   07 [X] Separated from perpetrator
   08 □ Issued disciplinary report or loss of privileges
   09 □ Placed in camera room, under closer surveillance, or increased supervision
   10 □ Other – Specify [Y]

   11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 □ Youth-on-youth nonconsensual sexual act → Complete Section A, below
   02 [X] Youth-on-youth nonconsensual sexual contact
      → Complete Section A, below
   03 □ Youth-on-youth abusive contact
      → Complete Section A, below
   04 □ Staff sexual misconduct
      → Complete Section B on pages 4-5
   05 □ Staff sexual harassment
      → Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION
→ If the perpetrator was a staff member, go 
to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators .

   2

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - [ ] Male
   - [X] Female
   - [ ] Transgender
   - [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   - [ ] Under age 13
   - [X] 13–15
   - [ ] 16–17
   - [ ] 18–19
   - [ ] 20–24
   - [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - [ ] White (not of Hispanic origin)
   - [X] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - [ ] Male
   - [X] Female
   - [ ] Transgender
   - [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   - [ ] Under age 13
   - [X] 13–15
   - [ ] 16–17
   - [ ] 18–19
   - [ ] 20–24
   - [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - [ ] White (not of Hispanic origin)
   - [X] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   - [ ] Sexual harassment
   - [ ] Indecent exposure, masturbation, or voyeurism
   - [ ] Horseplay
   - [ ] Repeated and unwelcome sexual advances or requests for sexual favors
   - [ ] Unwanted touching for sexual gratification or abusive sexual contact
   - [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - [X] Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   - [ ] Sexual harassment, sexual innuendo, or verbal comments
   - [ ] Persuasion or talked into sexual activity
   - [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - [ ] Bribery or blackmail
   - [ ] Gave victim drugs or alcohol
   - [ ] Offered protection from other youth
   - [ ] Threatened with physical harm
   - [ ] Physically held victim down or restrained in some way
   - [ ] Physically harmed or injured
   - [ ] Threatened with a weapon
   - [ ] Other – Specify

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   - [ ] Placed in solitary confinement or disciplinary segregation
   - [ ] Confined to own cell or room
   - [ ] Placed in higher custody level, restricted unit or program, within the same facility
   - [ ] Transferred to other unit/cell or separated from victim
   - [ ] Transferred to another facility
   - [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
   - [ ] Given extra work
   - [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - [ ] Sent to counseling or treatment team
   - [ ] Arrested or referred to law enforcement agency
   - [ ] Referred for prosecution or indicted
   - [ ] Convicted, given new sentence, or fined
   - [ ] Other – Specify

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FORM BSV-4J (4-14-2020)
28. What was the nature of the incident?
(Mark [X] all that apply.)
01 ☐ Physical force resulting in a nonconsensual sexual act
02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 ☐ Unwanted touching for sexual gratification
05 ☐ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 ☐ Sexual relationship between youth and staff that appeared to be willing
08 ☐ Other – Specify ☐
09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff __________________________

⇒ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
01 ☐ Male 02 ☐ Female

31. Staff #1: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger 06 ☐ 40–44
02 ☐ 25–29 06 ☐ 45–54
03 ☐ 30–34 07 ☐ 55 or older
04 ☐ 35–39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☐

33. Staff #2: What was the gender of the staff?
01 ☐ Male 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger 05 ☐ 40–44
02 ☐ 25–29 06 ☐ 45–54
03 ☐ 30–34 07 ☐ 55 or older
04 ☐ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☐

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark [X] all that apply for all staff involved.)
01 ☐ Full or part time paid employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify ☐

37. What was the primary position description of the staff involved in the incident?
(Mark [X] all that apply for all staff involved.)
01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☐ Correctional officer or supervisory staff
03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Volunteers or Interns
09 ☐ Other staff – Specify ☐
38. **What sanction was imposed on the staff?**
(Mark [X] all that apply for all staff involved.)

- [ ] Sent to training or counseling
- [X] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

- [ ] No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**
(Mark [X] all that apply for all staff involved.)

- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

---

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

330000000050000003300

Incident Number 5 out of 17

1. On what date did the incident occur?
(If more than one date, report the most recent.)
Month Day Year
1 1 2 2 2 0 1 9

2. In what facility did the incident occur?
Name
Taberg Residential Center
City/Place
Taberg, NY

3. Where did the incident occur? (Mark [X] all that apply.)
01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
o2 [X] In the perpetrator's cell or room
03 [X] In a dormitory or other multiple housing unit
04 [X] In a common area (e.g., shower, dayroom, bathroom)
o5 [X] In a temporary holding cell or admissions area within the facility
06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
o7 [X] In an instructional area (e.g., classroom, school library, conference room)
o8 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
o9 [X] In a medical area (e.g., infirmary, health clinic)
o10 [X] In a staff area (office, break room, counselor's office)
o11 [X] Offsite or while in transit
012 [X] Other – Specify

do13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
o1 [X] Yes
do2 [ ] No
do3 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
o1 [X] Morning (6 a.m. to noon)
o2 [X] Afternoon (noon to 6 p.m.)
o3 [X] Evening (6 p.m. to midnight)
o4 [X] Overnight (midnight to 6 a.m.)
o5 [ ] Unknown

6. How many victims were involved in the incident?
Number of victims 2

-> If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
o1 [ ] Male
do2 [X] Female
do3 [ ] Transgender
do4 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
o1 [ ] Under age 13
do2 [X] 13-15
do3 [X] 16-17
do4 [ ] 18-19
do5 [X] 20-24
do6 [X] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
o1 [ ] White (not of Hispanic origin)
o2 [X] Black (not of Hispanic origin)
o3 [ ] Hispanic or Latino
o4 [ ] American Indian/Alaska Native (not of Hispanic origin)
do5 [ ] Asian (not of Hispanic origin)
do6 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
do7 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
o1 [X] Male
do2 [ ] Female
op3 [X] Transgender
do4 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
o1 [X] Under age 13
do2 [X] 13-15
do3 [ ] 16-17
do4 [ ] 18-19
do5 [X] 20-24
do6 [X] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 [X] Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 □ Yes → a. What injuries occurred? (Mark [X] all that apply.)
01 □ Knife or stab wounds
02 □ Broken bones
03 □ Anal or vaginal tearing
04 □ Chipped or knocked out teeth
05 □ Internal injuries
06 □ Knocked unconscious
07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 □ Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
01 □ Yes
02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
01 □ Victim
02 [X] Another youth (non-victim)
03 □ Victim's family or friend
04 □ Correctional officer or front line staff
05 □ Administrative staff
06 □ Medical, healthcare, or mental health staff
07 □ Instructor, teacher, or counselor
08 □ Other staff (e.g., kitchen worker, maintenance staff)
09 □ Chaplain or other religious official
10 □ Perpetrator
11 □ Perpetrator's family or friend
12 □ Grievance coordinator, grievance process, or ombudsperson
13 □ Attorney or legal guardian (e.g., other than family member)
14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 □ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Given a medical examination
02 □ Administered a rape kit
03 □ Tested for HIV/AIDS
04 □ Tested for other sexually transmitted diseases
05 □ Provided with counseling or mental health treatment
06 □ Offered but declined testing or treatment
07 □ Already released/discharged
08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 □ Placed in a medical unit, ward, or hospital
03 □ Confined to own cell or room
04 □ Given a higher custody level/different unit within the facility
05 □ Transferred to another facility
06 □ Transferred to another housing unit or dorm, or given a single room or cell
07 [X] Separated from perpetrator
08 □ Issued disciplinary report or loss of privileges
09 □ Placed in camera room, under closer surveillance, or increased supervision
10 □ Other – Specify
11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 □ Youth–on–youth nonconsensual sexual act → Complete Section A, below
02 [X] Youth–on–youth abusive contact → Complete Section A, below
03 □ Youth–on–youth sexual harassment → Complete Section A, below
04 □ Staff sexual misconduct → Complete Section B on pages 4–5
05 □ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH–ON–YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . . . . 2

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? *(Mark (X) all that apply.)*
- [ ] White (not of Hispanic origin)
- [x] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify X

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? *(See definitions on page 5.)*
- [ ] Male
- [x] Female
- [ ] Transgender
- [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
- [ ] Under age 13
- [x] 13–15
- [ ] 16–17
- [ ] 18–19
- [ ] 20–24
- [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? *(Mark (X) all that apply.)*
- [ ] White (not of Hispanic origin)
- [x] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify X

25. What was the nature of the incident? *(Mark (X) all that apply.)*
- [ ] Sexual harassment
- [ ] Indecent exposure, masturbation, or voyeurism
- [x] Horseplay
- [ ] Repeated and unwelcome sexual advances or requests for sexual favors
- [ ] Unwanted touching for sexual gratification or abusive sexual contact
- [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
- [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
- [x] Other – Specify X

26. What type of pressure or physical force was used by the youth perpetrator on the victim? *(Mark (X) all that apply for all perpetrators.)*
- [x] Sexually harass, sexual innuendo, or verbal comments
- [ ] Persuasion or talked into sexual activity
- [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- [ ] Bribery or blackmail
- [ ] Gave victim drugs or alcohol
- [ ] Offered protection from other youth
- [ ] Threatened with physical harm
- [ ] Physically held victim down or restrained in some way
- [ ] Physically harmed or injured
- [ ] Threatened with a weapon
- [x] Other – Specify X

27. What sanction was imposed on the perpetrator(s)? *(Mark (X) all that apply for all perpetrators.)*
- [ ] Placed in solitary confinement or disciplinary segregation
- [ ] Confined to own cell or room
- [ ] Placed in higher custody level, restricted unit or program, within the same facility
- [ ] Transferred to other unit/cell or separated from victim
- [x] Transferred to another facility
- [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
- [ ] Given extra work
- [x] Loss of privileges, disciplinary report or conduct violation, or other reprimand
- [ ] Sent to counseling or treatment team
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, given new sentence, or fined
- [x] Other – Specify X
33. Staff #2: What was the gender of the staff?
   01 □ Male 02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger 05 □ 40–44
   02 □ 25–29 06 □ 45–54
   03 □ 30–34 07 □ 55 or older
   04 □ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark □ all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify ☐

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark □ all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other – Specify ☐

37. What was the primary position description of the staff involved in the incident?
   (Mark □ all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff – Specify ☐
38. **What sanction was imposed on the staff?**
(Mark [X] all that apply for all staff involved.)

- Sent to training or counseling [ ]
- Reprimanded or disciplined [ ]
- Demoted, diminished responsibilities, or suspended temporarily [ ]
- Transferred to another facility or unit [ ]
- Arrested or referred to law enforcement agency [ ]
- Referred for prosecution or indicted [ ]
- Convicted, plead guilty, sentenced, or fined [ ]
- Discharged, terminated, or contract not renewed [ ]
- Staff resigned (prior to completion of investigation) [ ]
- Staff resigned (after investigation was completed) [ ]
- Other – Specify [ ]

12. [ ] No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**
(Mark [X] all that apply for all staff involved.)

- Less than 6 months [ ]
- 6 months to 1 year [ ]
- 1 to 5 years [ ]
- 5 to 10 years [ ]
- More than 10 years [ ]

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**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitilia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitilia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day  Year
   06  22  2019

2. In what facility did the incident occur?
   Name
   Taberg Residential Center
   City/Place
   Taberg, NY

3. Where did the incident occur? (Mark (X) all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [ ] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., Infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify ☐
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark (X) all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims ☐ 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male
   02 [ ] Female
   03 [ ] Intersex
   04 [ ] Transgender

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 13
   02 [X] 13–15
   03 [ ] 16–17
   04 [ ] 18–19
   05 [ ] 20–24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify ☐

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [ ] Male
    02 [ ] Female
    03 [ ] Intersex
    04 [ ] Transgender

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 13
    02 [ ] 13–15
    03 [ ] 16–17
    04 [ ] 18–19
    05 [ ] 20–24
    06 [ ] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify ☐

13. Did the victim(s) sustain any physical injury during the incident?
02 X No (No injury sustained)
01 □ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 □ Knife or stab wounds
02 □ Broken bones
03 □ Anal or vaginal tearing
04 □ Chipped or knocked out teeth
05 □ Internal injuries
06 □ Knocked unconscious
07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 □ Other – Specify ☐

→ b. Did the victim(s) receive medical treatment for these injuries?
01 □ Yes
02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
01 □ Victim
02 □ Another youth (non-victim)
03 □ Victim's family or friend
04 □ Correctional officer or front line staff
05 □ Administrative staff
06 □ Medical, healthcare, or mental health staff
07 □ Instructor, teacher, or counselor
08 □ Other staff (e.g., kitchen worker, maintenance staff)
09 □ Chaplain or other religious official
10 □ Perpetrator
11 □ Perpetrator's family or friend
12 □ Grievance coordinator, grievance process, or ombudsperson
13 □ Attorney or legal guardian (e.g., other than family member)
14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 □ Other – Specify ☐

15. After the incident was reported, was the victim(s)? (Mark [X] all that apply for all victims.)
01 □ Given a medical examination
02 □ Administered a rape kit
03 □ Test for HIV/AIDS
04 □ Test for other sexually transmitted diseases
05 □ Provided with counseling or mental health treatment
06 □ Offered but declined testing or treatment
07 □ Already released/discharged
08 □ None of the above

16. After the incident was reported, was the victim(s)? (Mark [X] all that apply for all victims.)
01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 □ Placed in a medical unit, ward, or hospital
03 □ Confined to own cell or room
04 □ Given a higher custody level/different unit within the facility
05 □ Transferred to another facility
06 □ Transferred to another housing unit or dorm, or given a single room or cell
07 □ Separated from perpetrator
08 □ Issued disciplinary report or loss of privileges
09 □ Placed in camera room, under closer surveillance, or increased supervision
10 □ Other – Specify ☐
11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 6.)
01 □ Youth-on-youth nonconsensual sexual act
→ Complete Section A, below
02 □ Youth-on-youth abusive contact
→ Complete Section A, below
03 □ Youth-on-youth sexual harassment
→ Complete Section A, below
04 □ Staff sexual misconduct
→ Complete Section B on pages 4–5
05 □ Staff sexual harassment
→ Complete Section B on pages 4–5

Section A: YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   - Under age 13
   - 13–15
   - 16–17
   - 18–19
   - 20–24
   - 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White
   - Black
   - Hispanic or Latino
   - American Indian/Alaska Native
   - Asian
   - Native Hawaiian or Other Pacific Islander
   - Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   - Under age 13
   - 13–15
   - 16–17
   - 18–19
   - 20–24
   - 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White
   - Black
   - Hispanic or Latino
   - American Indian/Alaska Native
   - Asian
   - Native Hawaiian or Other Pacific Islander
   - Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   - Sexual harassment
   - Indecent exposure, masturbation, or voyeurism
   - Horseplay
   - Repeated and unwelcome sexual advances or requests for sexual favors
   - Unwanted touching for sexual gratification or abusive sexual contact
   - Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   - Sexual harassment, sexual innuendo, or verbal comments
   - Persuasion or talked into sexual activity
   - Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - Bribery or blackmail
   - Gave victim drugs or alcohol
   - Offered protection from other youth
   - Threatened with physical harm
   - Physically held victim down or restrained in some way
   - Physically harmed or injured
   - Threatened with a weapon
   - Other – Specify
   - None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   - Placed in solitary confinement or disciplinary segregation
   - Confined to own cell or room
   - Placed in higher custody level, restricted unit or program, within the same facility
   - Transferred to other unit/cell or separated from victim
   - Transferred to another facility
   - Loss of "good/gain" time or increase in "bad" time/delayed release
   - Given extra work
   - Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - Sent to counseling or treatment team
   - Arrested or referred to law enforcement agency
   - Referred for prosecution or indicted
   - Convicted, given new sentence, or fined
   - Other – Specify

   Level 3 MRV
Section B – STAFF-ON-YOUTH SEXUAL ABUSE

© If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident?
(Mark [X] all that apply.)
01 □ Physical force resulting in a nonconsensual sexual act
02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 □ Unwanted touching for sexual gratification
05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 □ Sexual relationship between youth and staff that appeared to be willing
08 □ Other – Specify
09 □ Level of coercion unknown

29. How many staff were involved in the incident?
Number of staff

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
01 □ Male
02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?
01 □ 24 or younger
02 □ 25–29
03 □ 30–34
04 □ 35–39
05 □ 40–44
06 □ 45–54
07 □ 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?
01 □ Male
02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
01 □ 24 or younger
02 □ 25–29
03 □ 30–34
04 □ 35–39
05 □ 40–44
06 □ 45–54
07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark [X] all that apply for all staff involved.)
01 □ Full or part time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other – Specify

37. What was the primary position description of the staff involved in the incident?
(Mark [X] all that apply for all staff involved.)
01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 □ Correctional officer or supervisory staff
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Volunteers or Interns
09 □ Other staff – Specify
39. What sanction was imposed on the staff?
(Mark [X] all that apply for all staff involved.)
01 ☐ Sent to training or counseling
02 ☐ Reprimanded or disciplined
03 ☐ Demoted, diminished responsibilities, or suspended temporarily
04 ☐ Transferred to another facility or unit
05 ☐ Arrested or referred to law enforcement agency
06 ☐ Referred for prosecution or indicted
07 ☐ Convicted, pleaded guilty, sentenced, or fined
08 ☐ Discharged, terminated, or contract not renewed
09 ☐ Staff resigned (prior to completion of investigation)
10 ☐ Staff resigned (after investigation was completed)
11 ☐ Other – Specify

12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark [X] all that apply for all staff involved.)
01 ☐ Less than 6 months
02 ☐ 6 months to 1 year
03 ☐ 1 to 5 years
04 ☐ 5 to 10 years
05 ☐ More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSBENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. **On what date did the incident occur?**
   (If more than one date, report the most recent.)

<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>07</td>
<td>26</td>
<td>2019</td>
</tr>
</tbody>
</table>

2. **In what facility did the incident occur?**

   Name: Taberg Residential Center
   City/Place: Taberg, NY

3. **Where did the incident occur?**
   (Mark [X] all that apply.)
   - [X] In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
   - [ ] In the perpetrator’s cell or room
   - [ ] In a dormitory or other multiple housing unit
   - [ ] In a common area (e.g., shower, dayroom, bathroom)
   - [ ] In a temporary holding cell or admissions area within the facility
   - [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   - [ ] In an instructional area (e.g., classroom, school, library, conference room)
   - [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   - [ ] In a medical area (e.g., infirmary, health clinic)
   - [ ] In a staff area (office, break room, counselor’s office)
   - [ ] Offsite or while in transit
   - [ ] Other – Specify [X]

   Location unknown

4. **Did the incident take place in an area subject to video monitoring?**
   - [X] Yes
   - [ ] No
   - [ ] Don’t know

5. **What time did the incident occur?**
   (Mark [X] all that apply.)
   - [X] Morning (6 a.m. to noon)
   - [ ] Afternoon (noon to 6 p.m.)
   - [X] Evening (6 p.m. to midnight)
   - [ ] Overnight (midnight to 6 a.m.)
   - [ ] Unknown

6. **How many victims were involved in the incident?**
   Number of victims: 1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim's sex or gender identity?**
   (See definitions on page 5.)
   - [ ] Male
   - [ ] Transgender
   - [X] Female
   - [ ] Intersex

8. **Victim #1: What was the victim's age at the time of the incident?**
   - [ ] Under age 13
   - [X] 13–15
   - [X] 16–17
   - [ ] 18–19
   - [ ] 20–24
   - [ ] 25 or older

9. **Victim #1: What was the victim's race/ethnic origin?**
   (Mark [X] all that apply.)
   - [X] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify [X]

10. **Victim #2: What was the victim's sex or gender identity?**
    (See definitions on page 5.)
    - [ ] Male
    - [ ] Transgender
    - [ ] Female
    - [ ] Intersex

11. **Victim #2: What was the victim's age at the time of the incident?**
    - [ ] Under age 13
    - [X] 13–15
    - [X] 16–17
    - [ ] 18–19
    - [ ] 20–24
    - [ ] 25 or older

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**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin?
(Mark (X) all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 ☒ No (No injury sustained)
01 ☐ Yes → a. What injuries occurred?
(Mark (X) all that apply for all victims.)
01 ☐ Knife or stab wounds
02 ☐ Broken bones
03 ☐ Anal or vaginal tearing
04 ☐ Chipped or knocked out teeth
05 ☐ Internal injuries
06 ☐ Knocked unconscious
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ☐ Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
01 ☐ Yes
02 ☐ No

14. Who reported the incident?
(Mark (X) all that apply.)
01 ☐ Victim
02 ☐ Another youth (non-victim)
03 ☐ Victim’s family or friend
04 ☐ Correctional officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen, maintenance staff)
09 ☐ Chaplain or other religious official
10 ☐ Perpetrator
11 ☐ Perpetrator’s family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsperson
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 ☐ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 ☐ Given a medical examination
02 ☐ Administered a rape kit
03 ☐ Tested for HIV/AIDS
04 ☐ Tested for other sexually transmitted diseases
05 ☐ Provided with counseling or mental health treatment
06 ☐ Offered but declined testing or treatment
07 ☐ Already released/discharged
08 ☐ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ☐ Placed in a medical unit, ward, or hospital
03 ☐ Confined to own cell or room
04 ☐ Given a higher custody level/different unit within the facility
05 ☐ Transferred to another facility
06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
07 ☐ Separated from perpetrator
08 ☐ Issued disciplinary report or loss of privileges
09 ☐ Placed in camera room, under closer surveillance, or increased supervision
10 ☒ Other – Specify

→ Separated from perpetrator

11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 ☐ Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 ☒ Youth-on-youth abusive contact → Complete Section A, below
03 ☐ Youth-on-youth sexual harassment → Complete Section A, below
04 ☐ Staff sexual misconduct → Complete Section B on pages 4–5
05 ☐ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators _______ 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 □ Male          03 □ Transgender
   02 X Female        04 □ Intersex
20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 □ Under age 13  04 □ 18–19
   02 □ 13–15          05 □ 20–24
   03 X 16–17          06 □ 25 or older
21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)
   01 □ White (not of Hispanic origin)
   02 X Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 □ Other racial category in your information system – Specify
22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 □ Male          03 □ Transgender
   02 □ Female        04 □ Intersex
23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 □ Under age 13  04 □ 18–19
   02 □ 13–15          05 □ 20–24
   03 □ 16–17          06 □ 25 or older
24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 □ Other racial category in your information system – Specify
25. What was the nature of the incident? (Mark (X) all that apply.)
   02 □ Sexual harassment
   03 □ Indecent exposure, masturbation, or voyeurism
   04 □ Horseplay
   05 □ Repeated and unwelcome sexual advances or requests for sexual favors
   06 □ Unwanted touching for sexual gratification or abusive sexual contact
   07 □ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 □ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 □ Other – Specify
26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)
   01 □ Sexual harassment, sexual innuendo, or verbal comments
   02 □ Persuasion or talked into sexual activity
   03 X Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 □ Bribery or blackmail
   05 □ Gave victim drugs or alcohol
   06 □ Offered protection from other youth
   07 □ Threatened with physical harm
   08 □ Physically held victim down or restrained in some way
   09 □ Physically harmed or injured
   10 □ Threatened with a weapon
   11 □ Other – Specify
   12 □ None
27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)
   01 □ Placed in solitary confinement or disciplinary segregation
   02 □ Confined to own cell or room
   03 □ Placed in higher custody level, restricted unit or program, within the same facility
   04 □ Transferred to other unit/cell or separated from victim
   05 □ Transferred to another facility
   06 □ Loss of “good/gain” time or increase in “bad” time/delayed release
   07 □ Given extra work
   08 X Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 □ Sent to counseling or treatment team
   10 □ Arrested or referred to law enforcement agency
   11 □ Referred for prosecution or indicted
   12 □ Convicted, given new sentence, or fined
   13 □ Other – Specify

33. Staff #2: What was the gender of the staff?
   01 □ Male
   02 □ Female

34. Staff #2: What was the age of the staff at the
time of the incident?
   01 □ 24 or younger
   02 □ 25–29
   03 □ 30–34
   04 □ 35–39

35. Staff #2: What was the race/ethnic origin of the
staff involved in the incident?
   (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic
origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander
   (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify [X]

36. Was the staff involved in the incident an employee
of the facility, a contractor, or a volunteer?
   (Mark [X] all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other – Specify [X]

37. What was the primary position description
of the staff involved in the incident?
   (Mark [X] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff – Specify [X]
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)
- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)
- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

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Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

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OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
**STATE: NY 330000000050000003300 Incident Number 8 out of 17**

1. On what date did the incident occur? (If more than one date, report the most recent.)
   
   Month  Day  Year
   03  10  2019

2. In what facility did the incident occur?
   
   Name
   Finger Lakes Residential Center
   
   City/Place
   Lansing, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   
   01 [X] In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
   02 [ ] In the perpetrator’s cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., Infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor’s office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify

   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   
   01 [ ] Yes
   02 [ ] No
   03 [X] Don’t know

5. What time did the incident occur? (Mark [X] all that apply.)
   
   01 [ ] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   
   Number of victims 1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim’s sex or gender identity? (See definitions on page 5.)
   
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim’s age at the time of the incident?
   
   01 [ ] Under age 13
   02 [X] 13-15
   03 [ ] 16-17
   04 [ ] 18-19
   05 [ ] 20-24
   06 [ ] 25 or older

9. Victim #1: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
   
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [X] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)

   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim’s sex or gender identity? (See definitions on page 5.)
    
    01 [ ] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim’s age at the time of the incident?
    
    01 [ ] Under age 13
    02 [ ] 13-15
    03 [ ] 16-17
    04 [ ] 18-19
    05 [ ] 20-24
    06 [ ] 25 or older

---

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 White (not of Hispanic origin)
   02 Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system — Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
   02 X No (No injury sustained)
   01 Yes → a. What injuries occurred?
   (Mark [X] all that apply for all victims.)
   01 Knife or stab wounds
   02 Broken bones
   03 Anal or vaginal tearing
   04 Chipped or knocked out teeth
   05 Internal injuries
   06 Knocked unconscious
   07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
   08 Other — Specify [ ]

   → b. Did the victim(s) receive medical treatment for these injuries?
   01 Yes
   02 No

14. Who reported the incident?
   (Mark [X] all that apply.)
   01 X Victim
   02 Another youth (non-victim)
   03 Victim's family or friend
   04 Correctional officer or front line staff
   05 Administrative staff
   06 Medical, healthcare, or mental health staff
   07 Instructor, teacher, or counselor
   08 Other staff (e.g., kitchen worker, maintenance staff)
   09 Chaplain or other religious official
   10 Perpetrator
   11 Perpetrator's family or friend
   12 Grievance coordinator, grievance process, or ombuds person
   13 Attorney or legal guardian (e.g., other than family member)
   14 Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 Other — Specify [ ]

15. After the incident was reported, was the victim(s) — (Mark [X] all that apply for all victims.)
   01 Given a medical examination
   02 Administered a rape kit
   03 Tested for HIV/AIDS
   04 Tested for other sexually transmitted diseases
   05 Provided with counseling or mental health treatment
   06 Offered but declined testing or treatment
   07 Already released/discharged
   08 None of the above

16. After the incident was reported, was the victim(s) — (Mark [X] all that apply for all victims.)
   01 Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 Placed in a medical unit, ward, or hospital
   03 Confined to own cell or room
   04 Given a higher custody level/different unit within the facility
   05 Transferred to another facility
   06 Transferred to another housing unit or dorm, or given a single room or cell
   07 Separated from perpetrator
   08 Issued disciplinary report or loss of privileges
   09 Placed in camera room, under closer surveillance, or increased supervision
   10 X Other — Specify [ ]
      arms length supervision
   11 None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 X Youth-on-youth nonconsensual sexual act → Complete Section A, below
   02 X Youth-on-youth abusive contact → Complete Section A, below
   03 Y Youth-on-youth sexual harassment → Complete Section A, below
   04 ☐ Staff sexual misconduct → Complete Section B on pages 4-5
   05 ☐ Staff sexual harassment → Complete Section B on pages 4-5

Section A — YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
   Number of youth perpetrators . . . . . . 2

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

<table>
<thead>
<tr>
<th>Age Category</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under age 13</td>
<td>04 18–19</td>
</tr>
<tr>
<td>13–15</td>
<td>05 20–24</td>
</tr>
<tr>
<td>16–17</td>
<td>06 25 or older</td>
</tr>
</tbody>
</table>

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

<table>
<thead>
<tr>
<th>Race/Origin</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>02 Black (not of Hispanic origin)</td>
</tr>
<tr>
<td>Hispanic or Latino</td>
<td>03 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</td>
</tr>
<tr>
<td>American Indian/Alaska Native (not of Hispanic origin)</td>
<td>05 Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td>Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</td>
<td>06 Other – Specify</td>
</tr>
</tbody>
</table>
Section B – STAFF-ON-YOUTH SEXUAL ABUSE

0 If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident?
(Mark (X) all that apply.)
01 □ Physical force resulting in a nonconsensual sexual act
02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 □ Unwanted touching for sexual gratification
05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 □ Sexual relationship between youth and staff that appeared to be willing
08 □ Other – Specify □

09 □ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . . .

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

01 □ Male
02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?

01 □ 24 or younger
02 □ 25-29
03 □ 30-34
04 □ 35-39

06 □ 45-54
07 □ 55 or older

06 □ 45-54
07 □ 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark (X) all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify □

33. Staff #2: What was the gender of the staff?

01 □ Male
02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 □ 24 or younger
02 □ 25-29
03 □ 30-34
04 □ 35-39
05 □ 40-44
06 □ 45-54
07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark (X) all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify □

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark (X) all that apply for all staff involved.)

01 □ Full or part time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other – Specify □

37. What was the primary position description of the staff involved in the incident?
(Mark (X) all that apply for all staff involved.)

01 □ Administrator, including warders, superintendents, assistants and others in administrative positions
02 □ Corrective officer or supervisory staff
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Volunteers or Interns
09 □ Other staff – Specify □
38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)
01 [ ] Sent to training or counseling
02 [ ] Reprimanded or disciplined
03 [ ] Demoted, diminished responsibilities, or suspended temporarily
04 [ ] Transferred to another facility or unit
05 [ ] Arrested or referred to law enforcement agency
06 [ ] Referred for prosecution or indicted
07 [ ] Convicted, plead guilty, sentenced, or fined
08 [ ] Discharged, terminated, or contract not renewed
09 [ ] Staff resigned (prior to completion of investigation)
10 [ ] Staff resigned (after investigation was completed)
11 [ ] Other – Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)
01 [ ] Less than 6 months
02 [ ] 6 months to 1 year
03 [ ] 1 to 5 years
04 [ ] 5 to 10 years
05 [ ] More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

330000000050000003300

Incident Number 9 out of 17

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month Day Year
   07 10 2019

2. In what facility did the incident occur?
   Name
   Industry Residential Center
   City/Place Rush, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [ ] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other - Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [ ] Yes
   02 [ ] No
   03 [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [ ] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [X] Unknown

6. How many victims were involved in the incident?
   Number of victims 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 13
   02 [ ] 13-15
   03 [X] 16-17
   04 [ ] 18-19
   05 [ ] 20-24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system - Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [ ] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [X] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 13
    02 [ ] 13-15
    03 [X] 16-17
    04 [ ] 18-19
    05 [ ] 20-24
    06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [ ] all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
- [X] No (No injury sustained)
- [ ] Yes → a. What injuries occurred? (Mark [ ] all that apply for all victims.)
  - [ ] Knife or stab wounds
  - [ ] Broken bones
  - [ ] Anal or vaginal tearing
  - [ ] Chipped or knocked out teeth
  - [ ] Internal injuries
  - [ ] Knocked unconscious
  - [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - [ ] Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
- [ ] Yes
- [ ] No

14. Who reported the incident? (Mark [ ] all that apply.)
- [X] Victim
- [ ] Another youth (non-victim)
- [ ] Victim's family or friend
- [ ] Correctional officer or front line staff
- [ ] Administrative staff
- [ ] Medical, healthcare, or mental health staff
- [ ] Instructor, teacher, or counselor
- [ ] Other staff (e.g., kitchen worker, maintenance staff)
- [ ] Chaplain or other religious official
- [ ] Perpetrator
- [ ] Perpetrator's family or friend
- [ ] Grievance coordinator, grievance process, or ombudsman
- [ ] Attorney or legal guardian (e.g., other than family member)
- [ ] Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)
- [ ] Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [ ] all that apply for all victims.)
- [ ] Given a medical examination
- [ ] Administered a rape kit
- [ ] Tested for HIV/AIDS
- [ ] Tested for other sexually transmitted diseases
- [ ] Provided with counseling or mental health treatment
- [ ] Offered but declined testing or treatment
- [ ] Already released/discharged
- [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [ ] all that apply for all victims.)
- [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- [ ] Placed in a medical unit, ward, or hospital
- [ ] Confined to own cell or room
- [ ] Given a higher custody level/different unit within the facility
- [ ] Transferred to another facility
- [ ] Transferred to another housing unit or dorm, or given a single room or cell
- [X] Separated from perpetrator
- [ ] Issued disciplinary report or loss of privileges
- [ ] Placed in camera room, under closer surveillance, or increased supervision
- [ ] Other – Specify

- [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
- [ ] Youth-on-youth nonconsensual sexual act → Complete Section A, below
- [ ] Youth-on-youth abusive contact → Complete Section A, below
- [X] Youth-on-youth sexual harassment → Complete Section A, below
- [ ] Staff sexual misconduct → Complete Section B on pages 4–5
- [ ] Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators ... 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male          03 ☐ Transgender
   02 ☐ Female        04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13   04 ☐ 18–19
   02 ☒ 13–15         05 ☐ 20–24
   03 ☒ 16–17         06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☒ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male          03 ☐ Transgender
   02 ☐ Female        04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13   04 ☐ 18–19
   02 ☒ 13–15         05 ☐ 20–24
   03 ☒ 16–17         06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

25. What was the nature of the incident? (Mark ☒ all that apply.)
   02 ☒ Sexual harassment
   03 ☐ Indecent exposure, masturbation, or voyeurism
   04 ☐ Horseplay
   05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
   06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
   07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 ☐ Other – Specify ☒

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Sexual harassment, sexual innuendo, or verbal comments
   02 ☐ Persuasion or talked into sexual activity
   03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☐ Bribery or blackmail
   05 ☐ Gave victim drugs or alcohol
   06 ☐ Offered protection from other youth
   07 ☐ Threatened with physical harm
   08 ☐ Physically held victim down or restrained in some way
   09 ☐ Physically harmed or injured
   10 ☐ Threatened with a weapon
   11 ☐ Other – Specify ☒
   12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☐ Confined to own cell or room
   03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
   07 ☐ Given extra work
   08 ☒ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☐ Sent to counseling or treatment team
   10 ☐ Arrested or referred to law enforcement agency
   11 ☐ Referred for prosecution or indicted
   12 ☐ Convicted, given new sentence, or fined
   13 ☐ Other – Specify ☒
33. Staff #2: What was the gender of the staff?
   01 ☐ Male               02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 ☐ 24 or younger      06 ☐ 40–44
   02 ☐ 25–29             06 ☐ 45–54
   03 ☐ 30–34             07 ☐ 55 or older
   04 ☐ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark ☑ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☑

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark ☑ all that apply for all staff involved.)
   01 ☐ Full or part time paid employee
   02 ☐ Contract employee or vendor
   03 ☐ Volunteer or intern
   04 ☐ Other – Specify ☑

37. What was the primary position description of the staff involved in the incident?
   (Mark ☑ all that apply for all staff involved.)
   01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 ☐ Correctional officer or supervisory staff
   03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
   07 ☐ Other program staff
   08 ☐ Volunteers or Interns
   09 ☐ Other staff – Specify ☑
39. What sanction was imposed on the staff? (Mark  X) all that apply for all staff involved.)

- Sent to training or counseling
- Reprimanded or disciplined
- Demoted, diminished responsibilities, or suspended temporarily
- Transferred to another facility or unit
- Arrested or referred to law enforcement agency
- Referred for prosecution or indicted
- Convicted, plead guilty, sentenced, or fined
- Discharged, terminated, or contract not renewed
- Staff resigned (prior to completion of investigation)
- Staff resigned (after investigation was completed)
- Other - Specify  

12  No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark  X) all that apply for all staff involved.)

- Less than 6 months
- 6 months to 1 year
- 1 to 5 years
- 5 to 10 years
- More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY
33000000000500000003300

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day Year
   07  30  2019

2. In what facility did the incident occur?
   Name
   Finger Lakes Residential Center
   City/Place
   Lansing, NY

3. Where did the incident occur? (Mark [x] all that apply.)
   01 [x] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [x] In the perpetrator's cell or room
   03 [x] In a dormitory or other multiple housing unit
   04 [x] In a common area (e.g., shower, daycare, bathroom)
   05 [x] In a temporary holding cell or admissions area within the facility
   06 [x] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [x] In an instructional area (e.g., classroom, school, library, conference room)
   08 [x] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [x] In a medical area (e.g., Infirmary, health clinic)
   10 [x] In a staff area (office, break room, counselor's office)
   11 [x] Offsite or while in transit
   12 [x] Other – Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [x] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [x] all that apply.)
   01 [x] Morning (6 a.m. to noon)
   02 [x] Afternoon (noon to 6 p.m.)
   03 [x] Evening (6 p.m. to midnight)
   04 [x] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims . . . 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [x] Male
   03 [ ] Transgender
   02 [ ] Female
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [x] Under age 13
   02 [x] 13–15
   03 [x] 16–17
   04 [ ] 18–19
   05 [ ] 20–24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [x] all that apply.)
   01 [x] White (not of Hispanic origin)
   02 [x] Black (not of Hispanic origin)
   03 [x] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [x] Male
    03 [ ] Transgender
    02 [ ] Female
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [x] Under age 13
    02 [x] 13–15
    03 [x] 16–17
    04 [ ] 18–19
    05 [ ] 20–24
    06 [ ] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
01 [X] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [X] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify [X]

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 [X] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 [X] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify [X]

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [X] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [X] Victim
02 [ ] Another youth (non-victim)
03 [X] Victim’s family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religious official
10 [ ] Perpetrator
11 [ ] Perpetrator’s family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify [X]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [X] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level/different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [X] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify [X]
11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 [X] Youth-on-youth abusive contact → Complete Section A, below
03 [X] Youth-on-youth sexual harassment → Complete Section A, below
04 [ ] Staff sexual misconduct → Complete Section B on pages 4-5
05 [ ] Staff sexual harassment → Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators: 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   - Under age 13
   - 13–15
   - 16–17
   - 18–19
   - 20–24
   - 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White (not of Hispanic origin)
   - Black (not of Hispanic origin)
   - Hispanic or Latino
   - American Indian/Alaska Native (not of Hispanic origin)
   - Asian (not of Hispanic origin)
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   - Other racial category in your information system – Specify [X]

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   - Under age 13
   - 13–15
   - 16–17
   - 18–19
   - 20–24
   - 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White (not of Hispanic origin)
   - Black (not of Hispanic origin)
   - Hispanic or Latino
   - American Indian/Alaska Native (not of Hispanic origin)
   - Asian (not of Hispanic origin)
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   - Other racial category in your information system – Specify [X]

25. What was the nature of the incident? (Mark [X] all that apply.)
   - Sexual harassment
   - Indecent exposure, masturbation, or voyeurism
   - Horseplay
   - Repeated and unwelcome sexual advances or requests for sexual favors
   - Unwanted touching for sexual gratification or abusive sexual contact
   - Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - Other – Specify [X]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply.)
   - Sexual harassment, sexual innuendo, or verbal comments
   - Persuasion or talked into sexual activity
   - Surprised the victim with unwanted touching, grabbing or gropeing, or victim was asleep
   - Bribery or blackmail
   - Gave victim drugs or alcohol
   - Offered protection from other youth
   - Threatened with physical harm
   - Physically held victim down or restrained in some way
   - Physically harmed or injured
   - Threatened with a weapon
   - Other – Specify [X]

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   - Placed in solitary confinement or disciplinary segregation
   - Confined to own cell or room
   - Placed in higher custody level, restricted unit or program, within the same facility
   - Transferred to other unit/cell or separated from victim
   - Transferred to another facility
   - Loss of "good/gain" time or increase in "bad" time/delayed release
   - Given extra work
   - Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - Sent to counseling or treatment team
   - Arrested or referred to law enforcement agency
   - Referred for prosecution or indicted
   - Convicted, given new sentence, or fined
   - Other – Specify [X]
33. Staff #2: What was the gender of the staff?

`01 □ Male`  `02 □ Female`

34. Staff #2: What was the age of the staff at the time of the incident?

`01 □ 24 or younger`  `05 □ 40–44`
`02 □ 25–29`  `06 □ 45–54`
`03 □ 30–34`  `07 □ 55 or older`
`04 □ 35–39`

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

`Mark (X) all that apply.`

`01 □ White (not of Hispanic origin)`  `02 □ Black (not of Hispanic origin)`  `03 □ Hispanic or Latino`
`04 □ American Indian/Alaska Native (not of Hispanic origin)`  `05 □ Asian (not of Hispanic origin)`  `06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)`
`07 □ Other racial category in your information system – Specify □`

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

`Mark (X) all that apply for all staff involved.`

`01 □ Full or part time paid employee`  `02 □ Contract employee or vendor`  `03 □ Volunteer or intern`  `04 □ Other – Specify □`

37. What was the primary position description of the staff involved in the incident?

`Mark (X) all that apply for all staff involved.`

`01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions`  `02 □ Correctional officer or supervisory staff`  `03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support`  `04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers`  `05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants`  `06 □ Education staff, including instructors, teachers, librarians, and education assistants`  `07 □ Other program staff`  `08 □ Volunteers or Interns`  `09 □ Other staff – Specify □`
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)

- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

12  [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)

- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of being male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

1. On what date did the incident occur?  
   (If more than one date, report the most recent.)  
   Month  Day  Year  
   07  31  2019

2. In what facility did the incident occur?  
   Name  
   Industry Residential Center  
   City/Place  
   Rush, NY

3. Where did the incident occur? (Mark [x] all that apply.)  
   01 [x] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)  
   02 [ ] In the perpetrator's cell or room  
   03 [ ] In a dormitory or other multiple housing unit  
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)  
   05 [ ] In a temporary holding cell or admissions area within the facility  
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)  
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)  
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)  
   09 [ ] In a medical area (e.g., infirmary, health clinic)  
   10 [x] In a staff area (office, break room, counselor's office)  
   11 [ ] Offsite or while in transit  
   12 [ ] Other – Specify:  
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?  
   01 [ ] Yes  
   02 [ ] No  
   03 [x] Don't know

5. What time did the incident occur? (Mark [x] all that apply.)  
   01 [x] Morning (6 a.m. to noon)  
   02 [ ] Afternoon (noon to 6 p.m.)  
   03 [x] Evening (6 p.m. to midnight)  
   04 [ ] Overnight (midnight to 6 a.m.)  
   05 [ ] Unknown

6. How many victims were involved in the incident?  
   Number of victims: 3
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)  
   01 [x] Male  
   02 [ ] Female  
   03 [ ] Transgender  
   04 [x] Intersex

8. Victim #1: What was the victim's age at the time of the incident?  
   01 [x] Under age 13  
   02 [x] 13-15  
   03 [x] 16-17  
   04 [ ] 18-19  
   05 [ ] 20-24  
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [x] all that apply.)  
   01 [ ] White (not of Hispanic origin)  
   02 [x] Black (not of Hispanic origin)  
   03 [ ] Hispanic or Latino  
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)  
   05 [ ] Asian (not of Hispanic origin)  
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
   07 [ ] Other racial category in your information system – Specify:

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)  
    01 [x] Male  
    02 [ ] Female  
    03 [ ] Transgender  
    04 [x] Intersex

11. Victim #2: What was the victim's age at the time of the incident?  
    01 [x] Under age 13  
    02 [x] 13-15  
    03 [x] 16-17  
    04 [ ] 18-19  
    05 [ ] 20-24  
    06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01  □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 □ No (No injury sustained)
01 □ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
   01 □ Knife or stab wounds
   02 □ Broken bones
   03 □ Anal or vaginal tearing
   04 □ Chipped or knocked out teeth
   05 □ Internal injuries
   06 □ Knocked unconscious
   07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
   08 □ Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
   01 □ Yes
   02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
01 □ Victim
02 □ Another youth (non-victim)
03 □ Victim’s family or friend
04 □ Correctional officer or front line staff
05 □ Administrative staff
06 □ Medical, healthcare, or mental health staff
07 □ Instructor, teacher, or counselor
08 □ Other staff (e.g., kitchen worker, maintenance staff)
09 □ Chaplain or other religious official
10 □ Perpetrator
11 □ Perpetrator’s family or friend
12 □ Grievance coordinator, grievance process, or ombudsperson
13 □ Attorney or legal guardian (e.g., other than family member)
14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 □ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 □ Given a medical examination
   02 □ Administered a rape kit
   03 □ Tested for HIV/AIDS
   04 □ Tested for other sexually transmitted diseases
   05 □ Provided with counseling or mental health treatment
   06 □ Offered but declined testing or treatment
   07 □ Already released/discharged
   08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 □ Placed in a medical unit, ward, or hospital
   03 □ Confined to own cell or room
   04 □ Given a higher custody level/different unit within the facility
   05 □ Transferred to another facility
   06 □ Transferred to another housing unit or dorm, or given a single room or cell
   07 □ Separated from perpetrator
   08 □ Issued disciplinary report or loss of privileges
   09 □ Placed in camera room, under closer surveillance, or increased supervision
   10 □ Other – Specify

   heightened supervision

   11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 □ Youth-on-youth nonconsensual sexual act → Complete Section A, below
   02 □ Youth-on-youth abusive contact → Complete Section A, below
   03 □ Youth-on-youth sexual harassment → Complete Section A, below
   04 □ Staff sexual misconduct → Complete Section B on pages 4-5
   05 □ Staff sexual harassment → Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- [x] Male
- [ ] Female
- [ ] Transgender
- [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

- [ ] Under age 13
- [ ] 13–15
- [ ] 16–17
- [ ] 18–19
- [ ] 20–24
- [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [ ] all that apply.)

- [ ] White (not of Hispanic origin)
- [x] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- [ ] Other racial category in your information system – Specify [ ]

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- [ ] Male
- [x] Transgender
- [ ] Female
- [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

- [ ] Under age 13
- [ ] 13–15
- [ ] 16–17
- [ ] 18–19
- [ ] 20–24
- [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [ ] all that apply.)

- [ ] White (not of Hispanic origin)
- [x] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- [ ] Other racial category in your information system – Specify [ ]

25. What was the nature of the incident? (Mark [ ] all that apply.)

- [ ] Sexual harassment
- [ ] Indecent exposure, masturbation, or voyeurism
- [ ] Horseplay
- [ ] Repeated and unwelcome sexual advances or requests for sexual favors
- [ ] Unwanted touching for sexual gratification or abusive sexual contact
- [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
- [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
- [ ] Other – Specify [ ]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [ ] all that apply for all perpetrators.)

- [ ] Sexual harassment, sexual innuendo, or verbal comments
- [ ] Persuasion or talked into sexual activity
- [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- [ ] Bribery or blackmail
- [ ] Gave victim drugs or alcohol
- [ ] Offered protection from other youth
- [ ] Threatened with physical harm
- [ ] Physically held victim down or restrained in some way
- [ ] Physically harmed or injured
- [ ] Threatened with a weapon
- [ ] Other – Specify [ ]

- [ ] None

27. What sanction was imposed on the perpetrator(s)? (Mark [ ] all that apply for all perpetrators.)

- [ ] Placed in solitary confinement or disciplinary segregation
- [ ] Confined to own cell or room
- [ ] Placed in higher custody level, restricted unit or program, within the same facility
- [ ] Transferred to other unit/cell or separated from victim
- [ ] Transferred to another facility
- [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
- [ ] Given extra work
- [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
- [ ] Sent to counseling or treatment team
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, given new sentence, or fined
- [ ] Other – Specify [ ]
Section B - Staff-on-Youth Sexual Abuse

33. Staff #2: What was the gender of the staff?
   01 □ Male
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25-29
   03 □ 30-34
   04 □ 35-39
   05 □ 40-44
   06 □ 45-54
   07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark □ all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system — Specify □

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark □ all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other — Specify □

37. What was the primary position description of the staff involved in the incident?
   (Mark □ all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff — Specify □
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)

- [X] Sent to training or counseling
- [X] Reprimanded or disciplined
- [X] Demoted, diminished responsibilities, or suspended temporarily
- [X] Transferred to another facility or unit
- [X] Arrested or referred to law enforcement agency
- [X] Referred for prosecution or indicted
- [X] Convicted, plead guilty, sentenced, or fined
- [X] Discharged, terminated, or contract not renewed
- [X] Staff resigned (prior to completion of investigation)
- [X] Staff resigned (after investigation was completed)
- [X] Other – Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)

- [X] Less than 6 months
- [X] 6 months to 1 year
- [X] 1 to 5 years
- [X] 5 to 10 years
- [X] More than 10 years

NOTES

(V3)-01(Male) gender, 02(13-15) age, 02(Black (not of Hispanic origin)) race

Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitilia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitilia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

- Completed, attempted, threatened, or requested sexual acts;

OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day  Year
   08  12  2019

2. In what facility did the incident occur?
   Name
   Finger Lakes Residential Center
   City/Place
   Lansing, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [X] In a temporary holding cell or admissions area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [X] In an instructional area (e.g., classroom, school, library, conference room)
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [X] In a medical area (e.g., infirmary, health clinic)
   10 [X] In a staff area (office, break room, counselor's office)
   11 [X] Offsite or while in transit
   12 [X] Other – Specify

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02 [X] No
   03 [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [X] Overnight (midnight to 6 a.m.)
   05 [X] Unknown

6. How many victims were involved in the incident? (If more than two victims were involved, report their characteristics in Notes on page 5.)
   Number of victims: 4

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [X] Male
   02 [X] Female
   03 Transgender
   04 Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [X] Under age 13
   02 [X] 13-15
   03 [X] 16-17
   04 [X] 18-19
   05 [X] 20-24
   06 [X] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [X] Male
    02 [X] Female
    03 Transgender
    04 Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [X] Under age 13
    02 [X] 13-15
    03 [X] 16-17
    04 [X] 18-19
    05 [X] 20-24
    06 [X] 25 or older

**Burden Statement**
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin?  
(Mark (X) all that apply.)
- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?  
- No (No injury sustained)
- Yes → a. What injuries occurred?  
  (Mark (X) all that apply for all victims.)
  - Knife or stab wounds
  - Broken bones
  - Anal or vaginal tearing
  - Chipped or knocked out teeth
  - Internal injuries
  - Knocked unconscious
  - Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - Other – Specify

  → b. Did the victim(s) receive medical treatment for these injuries?  
  - Yes
  - No

14. Who reported the incident?  
(Mark (X) all that apply.)
- Victim
- Another youth (non-victim)
- Victim’s family or friend
- Correctional officer or front line staff
- Administrative staff
- Medical, healthcare, or mental health staff
- Instructor, teacher, or counselor
- Other staff (e.g., kitchen worker, maintenance staff)
- Chaplain or other religious official
- Perpetrator
- Perpetrator’s family or friend
- Grievance coordinator, grievance process, or ombudsman
- Attorney or legal guardian (e.g., other than family member)
- Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
  - Given a medical examination
  - Administered a rape kit
  - Tested for HIV/AIDS
  - Tested for other sexually transmitted diseases
  - Provided with counseling or mental health treatment
  - Offered but declined testing or treatment
  - Already released/discharged
  - None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
  - Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
  - Placed in a medical unit, ward, or hospital
  - Confined to own cell or room
  - Given a higher custody level/different unit within the facility
  - Transferred to another facility
  - Transferred to another housing unit or dorm, or given a single room or cell
  - Separated from perpetrator
  - Issued disciplinary report or loss of privileges
  - Placed in camera room, under closer surveillance, or increased supervision
  - Other – Specify

17. What type of sexual violence was involved in the incident?  
(See definitions on page 5.)
  - Youth-on-youth nonconsensual sexual act → Complete Section A, below
  - Youth-on-youth abusive contact → Complete Section A, below
  - Youth-on-youth sexual harassment → Complete Section A, below
  - Staff sexual misconduct → Complete Section B on pages 4–5
  - Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?  
Number of youth perpetrators ... 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☒ Male
   03 ☐ Transgender
   02 ☐ Female
   04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13
   02 ☐ 13-15
   03 ☒ 16-17
   04 ☐ 18-19
   05 ☐ 20-24
   06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male
   03 ☐ Transgender
   02 ☐ Female
   04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13
   02 ☐ 13-15
   03 ☐ 16-17
   04 ☐ 18-19
   05 ☐ 20-24
   06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

25. What was the nature of the incident? (Mark ☒ all that apply.)
   02 ☒ Sexual harassment
   03 ☐ Indecent exposure, masturbation, or voyeurism
   04 ☐ Horseplay
   05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
   06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
   07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 ☐ Other – Specify ☒

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark ☒ all that apply for all perpetrators.)
   01 ☒ Sexual harassment, sexual innuendo, or verbal comments
   02 ☒ Persuasion or talked into sexual activity
   03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☐ Bribery or blackmail
   05 ☐ Gave victim drugs or alcohol
   06 ☐ Offered protection from other youth
   07 ☒ Threatened with physical harm
   08 ☒ Physically held victim down or restrained in some way
   09 ☒ Physically harmed or injured
   10 ☒ Threatened with a weapon
   11 ☒ Other – Specify ☒

27. What sanction was imposed on the perpetrator(s)? (Mark ☒ all that apply for all perpetrators.)
   01 ☒ Placed in solitary confinement or disciplinary segregation
   02 ☒ Confined to own cell or room
   03 ☒ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☒ Transferred to another facility
   06 ☒ Loss of "good/gain" time or increase in "bad" time/delayed release
   07 ☒ Given extra work
   08 ☒ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☒ Sent to counseling or treatment team
   10 ☒ Arrested or referred to law enforcement agency
   11 ☒ Referred for prosecution or indicted
   12 ☒ Convicted, given new sentence, or fined
   13 ☒ Other – Specify ☒
33. Staff #2: What was the gender of the staff?
01 ☐ Male
02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger
02 ☐ 25–29
03 ☐ 30–34
04 ☐ 35–39
05 ☐ 40–44
06 ☐ 45–54
07 ☐ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark ☒ all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☒

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark ☒ all that apply.)
01 ☐ Full or part time paid employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify ☒

37. What was the primary position description of the staff involved in the incident?
(Mark ☒ all that apply.)
01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☐ Correctional officer or supervisory staff
03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Volunteers or Interns
09 ☐ Other staff – Specify ☒
38. What sanction was imposed on the staff?  
(Mark [X] all that apply for all staff involved.)

- [□] Sent to training or counseling
- [□] Reprimanded or disciplined
- [□] Demoted, diminished responsibilities, or suspended temporarily
- [□] Transferred to another facility or unit
- [□] Arrested or referred to law enforcement agency
- [□] Referred for prosecution or indicted
- [□] Convicted, plead guilty, sentenced, or fined
- [□] Discharged, terminated, or contract not renewed
- [□] Staff resigned (prior to completion of investigation)
- [□] Staff resigned (after investigation was completed)
- [□] Other – Specify

12 [□] No action taken

39. At the time of the incident, how long had the staff worked at the facility?  
(Mark [X] all that apply for all staff involved.)

- [□] Less than 6 months
- [□] 6 months to 1 year
- [□] 1 to 5 years
- [□] 5 to 10 years
- [□] More than 10 years

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL Acts:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)

   Month: 8   Day: 22   Year: 2019

2. In what facility did the incident occur?
   - Name: Finger Lakes Residential Center
   - City/Place: Lansing, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   - [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   - [X] In the perpetrator's cell or room
   - [ ] In a dormitory or other multiple housing unit
   - [X] In a common area (e.g., shower, dayroom, bathroom)
   - [ ] In a temporary holding cell or admissions area within the facility
   - [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   - [ ] In an instructional area (e.g., classroom, school, library, conference room)
   - [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   - [ ] In a medical area (e.g., infirmary, health clinic)
   - [X] In a staff area (office, break room, counselor's office)
   - [ ] Offsite or while in transit
   - [X] Other – Specify:

4. Did the incident take place in an area subject to video monitoring?
   - [ ] Yes
   - [ ] No
   - [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   - [X] Morning (6 a.m. to noon)
   - [ X] Afternoon (noon to 6 p.m.)
   - [ ] Evening (6 p.m. to midnight)
   - [ ] Overnight (midnight to 6 a.m.)
   - [ ] Unknown

6. How many victims were involved in the incident?
   - Number of victims: 1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   - Male [X]
   - Transgender [ ]
   - Female [ ]
   - Intersex [ ]

8. Victim #1: What was the victim's age at the time of the incident?
   - Under age 13 [ ]
   - 13–15 [ ]
   - 16–17 [ ]
   - 18–19 [X]
   - 20–24 [ ]
   - 25 or older [ ]

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   - White (not of Hispanic origin) [ ]
   - Black (not of Hispanic origin) [X]
   - Hispanic or Latino [ ]
   - American Indian/Alaska Native (not of Hispanic origin) [ ]
   - Asian (not of Hispanic origin) [ ]
   - Native Hawaiian or Other Pacific Islander (not of Hispanic origin) [ ]
   - Other racial category in your information system – Specify:

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    - Male [ ]
    - Transgender [ ]
    - Female [X]
    - Intersex [ ]

11. Victim #2: What was the victim's age at the time of the incident?
    - Under age 13 [ ]
    - 13–15 [ ]
    - 16–17 [X]
    - 18–19 [ ]
    - 20–24 [ ]
    - 25 or older [ ]

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [✓] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [✓] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
02 [✓] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred? (Mark [✓] all that apply.)
  01 [ ] Knife or stab wounds
  02 [ ] Broken bones
  03 [ ] Anal or vaginal tearing
  04 [ ] Chipped or knocked out teeth
  05 [ ] Internal injuries
  06 [ ] Knocked unconscious
  07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
  08 [ ] Other – Specify [ ]

→ b. Did the victim(s) receive medical treatment for these injuries?
  01 [ ] Yes
  02 [ ] No

14. Who reported the incident? (Mark [✓] all that apply.)
  01 [✓] Victim
  02 [ ] Another youth (non-victim)
  03 [ ] Victim’s family or friend
  04 [ ] Correctional officer or front line staff
  05 [ ] Administrative staff
  06 [ ] Medical, healthcare, or mental health staff
  07 [ ] Instructor, teacher, or counselor
  08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
  09 [ ] Chaplain or other religious official
  10 [ ] Perpetrator
  11 [ ] Perpetrator’s family or friend
  12 [ ] Grievance coordinator, grievance process, or ombudsman
  13 [ ] Attorney or legal guardian (e.g., other than family member)
  14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
  15 [ ] Other – Specify [ ]

15. After the incident was reported, was the victim(s) – (Mark [✓] all that apply for all victims.)
  01 [ ] Given a medical examination
  02 [ ] Administered a rape kit
  03 [ ] Tested for HIV/AIDS
  04 [ ] Tested for other sexually transmitted diseases
  05 [✓] Provided with counseling or mental health treatment
  06 [ ] Offered but declined testing or treatment
  07 [ ] Already released/discharged
  08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [✓] all that apply for all victims.)
  01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
  02 [ ] Placed in a medical unit, ward, or hospital
  03 [ ] Confined to own cell or room
  04 [ ] Given a higher custody level/different unit within the facility
  05 [ ] Transferred to another facility
  06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
  07 [✓] Separated from perpetrator
  08 [ ] Issued disciplinary report or loss of privileges
  09 [ ] Placed in camera room, under closer surveillance, or increased supervision
  10 [ ] Other – Specify [ ]

  11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
  01 [ ] Youth–on–youth nonconsensual sexual act → Complete Section A, below
  02 [ ] Youth–on–youth abusive contact → Complete Section A, below
  03 [✓] Youth–on–youth sexual harassment → Complete Section A, below
  04 [ ] Staff sexual misconduct → Complete Section B on pages 4–5
  05 [ ] Staff sexual harassment → Complete Section B on pages 4–5

Section A: YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators: 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [X] Male  03 [ ] Transgender
   02 [ ] Female  04 [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13  04 [ ] 18–19
   02 [X] 13–15  05 [ ] 20–24
   03 [X] 16–17  06 [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male  03 [X] Transgender
   02 [ ] Female  04 [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13  04 [ ] 18–19
   02 [X] 13–15  05 [ ] 20–24
   03 [X] 16–17  06 [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   02 [X] Sexual harassment
   03 [ ] Indecent exposure, masturbation, or voyeurism
   04 [ ] Horseplay
   05 [ ] Repeated and unwelcome sexual advances or requests for sexual favors
   06 [ ] Unwanted touching for sexual gratification or abusive sexual contact
   07 [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 [ ] Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   01 [X] Sexual harassment, sexual innuendo, or verbal comments
   02 [ ] Persuasion or talked into sexual activity
   03 [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 [ ] Bribery or blackmail
   05 [ ] Gave victim drugs or alcohol
   06 [ ] Offered protection from other youth
   07 [ ] Threatened with physical harm
   08 [ ] Physically held victim down or restrained in some way
   09 [ ] Physically harmed or injured
   10 [ ] Threatened with a weapon
   11 [ ] Other – Specify
   12 [ ] None

27. What sanction was imposed on the perpetrator[s]? (Mark [X] all that apply for all perpetrators.)
   01 [ ] Placed in solitary confinement or disciplinary segregation
   02 [ ] Confined to own cell or room
   03 [ ] Placed in higher custody level, restricted unit or program, within the same facility
   04 [ ] Transferred to other unit/cell or separated from victim
   05 [ ] Transferred to another facility
   06 [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
   07 [ ] Given extra work
   08 [X] Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 [ ] Sent to counseling or treatment team
   10 [ ] Arrested or referred to law enforcement agency
   11 [ ] Referred for prosecution or indicted
   12 [ ] Convicted, given new sentence, or fined
   13 [ ] Other – Specify

FORM SSV-1J (4-14-2020)
Section B - Staff-on-Youth Sexual Abuse

If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident? (Mark [X] all that apply.)
   01 □ Physical force resulting in a nonconsensual sexual act
   02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
   03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 □ Unwanted touching for sexual gratification
   05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 □ Sexual relationship between youth and staff that appeared to be willing
   08 □ Other – Specify [ ]
   09 □ Level of coercion unknown

29. How many staff were involved in the incident?

   Number of staff
   → If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 □ Male
   02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25-29
   03 □ 30-34
   04 □ 35-39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify [ ]

33. Staff #2: What was the gender of the staff?
   01 □ Male
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25-29
   03 □ 30-34
   04 □ 35-39
   05 □ 40-44
   06 □ 45-54
   07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify [ ]

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other – Specify [ ]

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff – Specify [ ]
38. What sanction was imposed on the staff?
(Mark [X] all that apply for all staff involved.)
[ ] Sent to training or counseling
[ ] Reprimanded or disciplined
[ ] Demoted, diminished responsibilities, or suspended temporarily
[ ] Transferred to another facility or unit
[ ] Arrested or referred to law enforcement agency
[ ] Referred for prosecution or indicted
[ ] Convicted, plead guilty, sentenced, or fined
[ ] Discharged, terminated, or contract not renewed
[ ] Staff resigned (prior to completion of investigation)
[ ] Staff resigned (after investigation was completed)
[ ] Other -- Specify

[ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark [X] all that apply for all staff involved.)
[ ] Less than 6 months
[ ] 6 months to 1 year
[ ] 1 to 5 years
[ ] 5 to 10 years
[ ] More than 10 years

NOTES

Definitions

Sexual victimization

**NONCONSENSUAL SEXUAL ACTS**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe)**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH**: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT**: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT**: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

Gender categories

**TRANSGENDER**: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX**: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

330000000050000003300

Incident Number 14 out of 17

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month    Day    Year
   08       05     2019

2. In what facility did the incident occur?
   Name
   Industry Residential Center
   City/Place
   Rush, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [X] In a temporary holding cell or admissions area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., Infirmary, health clinic)
   10 [ ] In a staff area (offices, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02 [ ] No
   03 [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims 2
   ➔ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [X] Under age 13
   02 [X] 13–15
   03 [X] 16–17
   04 [ ] 18–19
   05 [ ] 20–24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [X] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [X] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 13
    02 [X] 13–15
    03 [X] 16–17
    04 [ ] 18–19
    05 [ ] 20–24
    06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [☑] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☑ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☑

13. Did the victim(s) sustain any physical injury during the incident?
02 ☑ No (No injury sustained)
01 ☐ Yes → a. What injuries occurred? (Mark [☐] all that apply for all victims.)
01 ☐ Knife or stab wounds
02 ☐ Broken bones
03 ☐ Anal or vaginal tearing
04 ☐ Chipped or knocked out teeth
05 ☐ Internal injuries
06 ☐ Knocked unconscious
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ☐ Other – Specify ☑

→ b. Did the victim(s) receive medical treatment for these injuries?
01 ☐ Yes
02 ☑ No

14. Who reported the incident? (Mark [☑] all that apply.)
01 ☑ Victim
02 ☐ Another youth (non-victim)
03 ☐ Victim’s family or friend
04 ☑ Correctional officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
09 ☐ Chaplain or other religious official
10 ☐ Perpetrator
11 ☐ Perpetrator’s family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsperson
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 ☐ Other – Specify ☑

15. After the incident was reported, was the victim(s) – (Mark [☑] all that apply for all victims.)
01 ☐ Given a medical examination
02 ☑ Administered a rape kit
03 ☐ Tested for HIV/AIDS
04 ☐ Tested for other sexually transmitted diseases
05 ☐ Provided with counseling or mental health treatment
06 ☐ Offered but declined testing or treatment
07 ☐ Already released/discharged
08 ☑ None of the above

16. After the incident was reported, was the victim(s) – (Mark [☑] all that apply for all victims.)
01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ☐ Placed in a medical unit, ward, or hospital
03 ☐ Confined to own cell or room
04 ☐ Given a higher custody level/different unit within the facility
05 ☐ Transferred to another facility
06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
07 ☐ Separated from perpetrator
08 ☐ Issued disciplinary report or loss of privileges
09 ☐ Placed in camera room, under closer surveillance, or increased supervision
10 ☐ Other – Specify ☑
11 ☑ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 ☐ Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 ☐ Youth-on-youth abusive contact → Complete Section A, below
03 ☑ Youth-on-youth sexual harassment → Complete Section A, below
04 ☐ Staff sexual misconduct → Complete Section B on pages 4–5
05 ☐ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION
→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male ☑
   - Female ☑
   - Transgender ☑
   - Intercos ☑

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   - Under age 13 ☑
   - 13–15 ☑
   - 16–17 ☑
   - 18–19 ☑
   - 20–24 ☑
   - 25 or older ☑

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark ☑ all that apply.)
   - White (not of Hispanic origin) ☑
   - Black (not of Hispanic origin) ☑
   - Hispanic or Latino ☑
   - American Indian/Alaska Native (not of Hispanic origin) ☑
   - Asian (not of Hispanic origin) ☑
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) ☑
   - Other racial category in your information system – Specify ☑

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - Male ☑
   - Female ☑
   - Transgender ☑
   - Intercos ☑

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   - Under age 13 ☑
   - 13–15 ☑
   - 16–17 ☑
   - 18–19 ☑
   - 20–24 ☑
   - 25 or older ☑

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark ☑ all that apply.)
   - White (not of Hispanic origin) ☑
   - Black (not of Hispanic origin) ☑
   - Hispanic or Latino ☑
   - American Indian/Alaska Native (not of Hispanic origin) ☑
   - Asian (not of Hispanic origin) ☑
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) ☑
   - Other racial category in your information system – Specify ☑

25. What was the nature of the incident? (Mark ☑ all that apply.)
   - Sexual harassment ☑
   - Indecent exposure, masturbation, or voyeurism ☑
   - Horseplay ☑
   - Repeated and unwelcome sexual advances or requests for sexual favors ☑
   - Unwanted touching for sexual gratification or abusive sexual contact ☑
   - Pressure or coercion (without force) resulting in a nonconsensual sexual act ☑
   - Physical force (or the threat of force) resulting in a nonconsensual sexual act ☑
   - Other – Specify ☑

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark ☑ all that apply for all perpetrators.)
   - Sexual harassment, sexual innuendo, or verbal comments ☑
   - Persuasion or talked into sexual activity ☑
   - Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep ☑
   - Bribery or blackmail ☑
   - Gave victim drugs or alcohol ☑
   - Offered protection from other youth ☑
   - Threatened with physical harm ☑
   - Physically held victim down or restrained in some way ☑
   - Physically harmed or injured ☑
   - Threatened with a weapon ☑
   - Other – Specify ☑

27. What sanction was imposed on the perpetrator(s)? (Mark ☑ all that apply for all perpetrators.)
   - Placed in solitary confinement or disciplinary segregation ☑
   - Confinement to own cell or room ☑
   - Placed in higher custody level, restricted unit or program, within the same facility ☑
   - Transferred to other unit/cell or separated from victim ☑
   - Transferred to another facility ☑
   - Loss of "good/bad time" or increase in "bad" time/delayed release ☑
   - Given extra work ☑
   - Loss of privileges, disciplinary report or conduct violation, or other reprimand ☑
   - Sent to counseling or treatment team ☑
   - Arrested or referred to law enforcement agency ☑
   - Referred for prosecution or indicted ☑
   - Convicted, given new sentence, or fined ☑
   - Other – Specify ☑

FORM SSV-5J (4-14-2020)
Section B - STAFF-ON-YOUTH SEXUAL ABUSE

33. Staff #2: What was the gender of the staff?
   01 □ Male
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25–29
   03 □ 30–34
   04 □ 35–39
   05 □ 40–44
   06 □ 45–54
   07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark (X) all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark (X) all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other – Specify

37. What was the primary position description of the staff involved in the incident?
   (Mark (X) all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or Interns
   09 □ Other staff – Specify

28. What was the nature of the incident?
   (Mark (X) all that apply.)
   01 □ Physical force resulting in a non-consensual sexual act
   02 □ Pressure or abuse of power resulting in a non-consensual sexual act
   03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 □ Unwanted touching for sexual gratification
   05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 □ Sexual relationship between youth and staff that appeared to be willing
   08 □ Other – Specify
   09 □ Level of coercion unknown

29. How many staff were involved in the incident?
   Number of staff .
   → If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 □ Male
   02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25–29
   03 □ 30–34
   04 □ 35–39
   05 □ 40–44
   06 □ 45–54
   07 □ 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
   (Mark (X) all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify
38. What sanction was imposed on the staff? (Mark [x] all that apply for all staff involved.)
01 [ ] Sent to training or counseling
02 [ ] Reprimanded or disciplined
03 [ ] Demoted, diminished responsibilities, or suspended temporarily
04 [ ] Transferred to another facility or unit
05 [ ] Arrested or referred to law enforcement agency
06 [ ] Referred for prosecution or indicted
07 [ ] Convicted, plead guilty, sentenced, or fined
08 [ ] Discharged, terminated, or contract not renewed
09 [ ] Staff resigned (prior to completion of investigation)
10 [ ] Staff resigned (after investigation was completed)
11 [ ] Other — Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [x] all that apply for all staff involved.)
01 [ ] Less than 6 months
02 [ ] 6 months to 1 year
03 [ ] 1 to 5 years
04 [ ] 5 to 10 years
05 [ ] More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE Incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, or other agency representative (exclude family, friends or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
**STATE: NY**

**Incident Number 15 out of 17**

---

1. **On what date did the incident occur?**
   (If more than one date, report the most recent.)
   
<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>09</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2019</td>
</tr>
</tbody>
</table>

2. **In what facility did the incident occur?**
   
   Name
   Residential Center
   
   City/Place
   Rush, NY

3. **Where did the incident occur?** (Mark [X] all that apply.)
   
   01 [X] In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
   02 [X] In the perpetrator’s cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor’s office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify ☐
   13 [ ] Location unknown

4. **Did the incident take place in an area subject to video monitoring?**
   
   01 [X] Yes
   02 [ ] No
   03 [ ] Don’t know

5. **What time did the incident occur?** (Mark [X] all that apply.)
   
   01 [X] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. **How many victims were involved in the incident?**
   
   Number of victims . . . 1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim’s sex or gender identity?** (See definitions on page 5.)
   
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. **Victim #1: What was the victim’s age at the time of the incident?**
   
   01 [ ] Under age 13
   02 [ ] 13–15
   03 [X] 16–17
   04 [ ] 18–19
   05 [ ] 20–24
   06 [ ] 25 or older

9. **Victim #1: What was the victim’s race/ethnic origin?** (Mark [X] all that apply.)
   
   01 [X] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify ☐

10. **Victim #2: What was the victim’s sex or gender identity?** (See definitions on page 5.)
    
    01 [X] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. **Victim #2: What was the victim’s age at the time of the incident?**
    
    01 [ ] Under age 13
    02 [ ] 13–15
    03 [ ] 16–17
    04 [ ] 18–19
    05 [ ] 20–24
    06 [ ] 25 or older

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**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander
      (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify [X]

13. Did the victim(s) sustain any physical injury during the incident?
   02 X No (No injury sustained)
   01 □ Yes → a. What injuries occurred?
      (Mark [X] all that apply for all victims.)
      01 □ Knife or stab wounds
      02 □ Broken bones
      03 □ Anal or vaginal tearing
      04 □ Chipped or knocked out teeth
      05 □ Internal injuries
      06 □ Knocked unconscious
      07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 □ Other – Specify [X]

→ b. Did the victim(s) receive medical treatment for these injuries?
   01 □ Yes
   02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
   01 X Victim
   02 □ Another youth (non-victim)
   03 □ Victim's family or friend
   04 □ Correctional officer or front line staff
   05 □ Administrative staff
   06 □ Medical, healthcare, or mental health staff
   07 □ Instructor, teacher, or counselor
   08 □ Other staff (e.g., kitchen worker, maintenance staff)
   09 □ Chaplain or other religious official
   10 □ Perpetrator
   11 □ Perpetrator's family or friend
   12 □ Grievance coordinator, grievance process, or ombudsperson
   13 □ Attorney or legal guardian (e.g., other than family member)
   14 □ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)
   15 □ Other – Specify [X]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 □ Given a medical examination
   02 □ Administered a rape kit
   03 □ Tested for HIV/AIDS
   04 □ Tested for other sexually transmitted diseases
   05 □ Provided with counseling or mental health treatment
   06 □ Offered but declined testing or treatment
   07 □ Already released/discharged
   08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 □ Placed in a medical unit, ward, or hospital
   03 □ Confined to own cell or room
   04 □ Given a higher custody level/different unit within the facility
   05 □ Transferred to another facility
   06 □ Transferred to another housing unit or dorm, or given a single room or cell
   07 □ Separated from perpetrator
   08 □ Issued disciplinary report or loss of privileges
   09 □ Placed in camera room, under closer surveillance, or increased supervision
   10 □ Other – Specify [X]

   11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 □ Youth–on–youth nonconsensual sexual act
      → Complete Section A, below
   02 □ Youth–on–youth abusive contact
      → Complete Section A, below
   03 □ Youth–on–youth sexual harassment
      → Complete Section A, below
   04 □ Staff sexual misconduct
      → Complete Section B on pages 4–5
   05 □ X Staff sexual harassment
      → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
   Number of youth perpetrators

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

01 ☐ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

01 ☐ Under age 13
02 ☐ 13–15
03 ☐ 16–17
04 ☐ 18–19
05 ☐ 20–24
06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify ☐

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

01 ☐ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

01 ☐ Under age 13
02 ☐ 13–15
03 ☐ 16–17
04 ☐ 18–19
05 ☐ 20–24
06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify ☐

25. What was the nature of the incident? (Mark (X) all that apply.)

02 ☐ Sexual harassment
03 ☐ Indecent exposure, masturbation, or voyeurism
04 ☐ Horseplay
05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 ☐ Other – Specify ☐

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

01 ☐ Sexual harassment, sexual innuendo, or verbal comments
02 ☐ Persuasion or talked into sexual activity
03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 ☐ Bribery or blackmail
05 ☐ Gave victim drugs or alcohol
06 ☐ Offered protection from other youth
07 ☐ Threatened with physical harm
08 ☐ Physically held victim down or restrained in some way
09 ☐ Physically harmed or injured
10 ☐ Threatened with a weapon
11 ☐ Other – Specify ☐

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

01 ☐ Placed in solitary confinement or disciplinary segregation
02 ☐ Confined to own cell or room
03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
04 ☐ Transferred to other unit/cell or separated from victim
05 ☐ Transferred to another facility
06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
07 ☐ Given extra work
08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 ☐ Sent to counseling or treatment team
10 ☐ Arrested or referred to law enforcement agency
11 ☐ Referred for prosecution or indicted
12 ☐ Convicted, given new sentence, or fined
13 ☐ Other – Specify ☐
### Section B – STAFF ON-YOUTH SEXUAL ABUSE

- If the perpetrator was a youth, go to Section A on pages 2-3.

#### 26. What was the nature of the incident? (Mark [X] all that apply.)
- [ ] Physical force resulting in a nonconsensual sexual act
- [ ] Pressure or abuse of power resulting in a nonconsensual sexual act
- [ ] Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- [X] Unwanted touching for sexual gratification
- [ ] Sexual harassment or repeated verbal statements of a sexual nature by staff
- [ ] Wrote letters, showed pictures, or offered gifts or special privileges to youth
- [ ] Sexual relationship between youth and staff that appeared to be willing
- [ ] Other – Specify

- [ ] Level of coercion unknown

#### 29. How many staff were involved in the incident?

Number of staff: 1

→ If more than two staff were involved, report their characteristics in Notes on page 5.

#### 30. Staff #1: What was the gender of the staff?

- [X] Male
- [ ] Female

#### 31. Staff #1: What was the age of the staff at the time of the incident?

- [X] 25–29
- [ ] 30–34
- [ ] 35–39
- [ ] 24 or younger
- [ ] 40–44
- [ ] 45–54
- [ ] 55 or older

#### 32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- [ ] White (not of Hispanic origin)
- [X] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

#### 33. Staff #2: What was the gender of the staff?

- [ ] Male
- [ ] Female

#### 34. Staff #2: What was the age of the staff at the time of the incident?

- [X] 24 or younger
- [ ] 25–29
- [ ] 30–34
- [ ] 35–39
- [ ] 40–44
- [ ] 45–54
- [ ] 55 or older

#### 35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- [X] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

#### 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

- [X] Full or part time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other – Specify

#### 37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

- [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
- [X] Correctional officer or supervisory staff
- [ ] Clerical staff including secretaries, clerks, receptionists, and other administrative support
- [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- [ ] Education staff, including instructors, teachers, librarians, and education assistants
- [ ] Other program staff
- [ ] Volunteers or Interns
- [ ] Other staff – Specify

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Page 4
38. What sanction was imposed on the staff?  
(Mark X if all that apply for all staff involved.)
01 □ Sent to training or counseling
02 □ Reprimanded or disciplined
03 □ Demoted, diminished responsibilities, or suspended temporarily
04 □ Transferred to another facility or unit
05 □ Arrested or referred to law enforcement agency
06 □ Referred for prosecution or indicted
07 □ Convicted, plead guilty, sentenced, or fined
08 □ Discharged, terminated, or contract not renewed
09 □ Staff resigned (prior to completion of investigation)
10 □ Staff resigned (after investigation was completed)
11 □ Other — Specify 🔒
12 □ No action taken

39. At the time of the incident, how long had the staff worked at the facility?  
(Mark X if all that apply for all staff involved.)
01 □ Less than 6 months
02 □ 6 months to 1 year
03 □ 1 to 5 years
04 □ 5 to 10 years
05 □ More than 10 years

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**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

33000000005000003300

Incident Number 16 out of 17

1. On what date did the incident occur? (If more than one date, report the most recent.)
   
<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>06</td>
<td>30</td>
<td>2019</td>
</tr>
</tbody>
</table>

2. In what facility did the incident occur?
   
   Name
   MacCormick Secure Center

   City/Place
   Brookline, NY

3. Where did the incident occur? (Mark [x] all that apply.)
   
   01 [x] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [x] In the perpetrator's cell or room
   03 [x] In a dormitory or other multiple housing unit
   04 [x] In a common area (e.g., shower, dayroom, bathroom)
   05 [x] In a temporary holding cell or admissions area within the facility
   06 [x] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [x] In an instructional area (e.g., classroom, school, library, conference room)
   08 [x] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [x] In a medical area (e.g., Infirmary, health clinic)
   10 [x] In a staff area (office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other — Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   
   01 [x] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [x] all that apply.)
   
   01 [x] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   
   Number of victims 1

   If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   
   01 [x] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   
   01 [ ] Under age 13
   02 [x] 13–15
   03 [x] 16–17
   04 [ ] 18–19
   05 [x] 20–24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [x] all that apply.)
   
   01 [x] White (not of Hispanic origin)
   02 [x] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [x] Other racial category in your information system — Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    
    01 [x] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    
    01 [ ] Under age 13
    02 [x] 13–15
    03 [x] 16–17
    04 [ ] 18–19
    05 [x] 20–24
    06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
01 [X] White (not of Hispanic origin)
02 [X] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
  01 [ ] Knife or stab wounds
  02 [ ] Broken bones
  03 [ ] Anal or vaginal tearing
  04 [ ] Chipped or knocked out teeth
  05 [ ] Internal injuries
  06 [ ] Knocked unconscious
  07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
  08 [ ] Other – Specify

  → b. Did the victim(s) receive medical treatment for these injuries?
  01 [X] Yes
  02 [ ] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [X] Victim
02 [ ] Another youth (non-victim)
03 [ ] Victim’s family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religious official
10 [ ] Perpetrator
11 [ ] Perpetrator’s family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confinement to own cell or room
04 [ ] Given a higher custody level/different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [ ] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify
11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 [ ] Youth-on-youth abusive contact → Complete Section A, below
03 [ ] Youth-on-youth sexual harassment → Complete Section A, below
04 [ ] Staff sexual misconduct → Complete Section B on pages 4-5
05 [ ] Staff sexual harassment → Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators ______________________

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. **Perpetrator #1: What was the youth perpetrator’s sex or gender identity?** (See definitions on page 5.)
   - 01 Male
   - 03 Transgender
   - 02 Female
   - 04 Intersex

20. **Perpetrator #1: What was the youth perpetrator’s age at the time of the incident?**
   - 01 Under age 13
   - 02 13–15
   - 03 16–17
   - 04 18–19
   - 05 20–24
   - 06 25 or older

21. **Perpetrator #1: What was the youth perpetrator’s race/ethnic origin?** (Mark [X] all that apply.)
   - 01 White (not of Hispanic origin)
   - 02 Black (not of Hispanic origin)
   - 03 Hispanic or Latino
   - 04 American Indian/Alaska Native (not of Hispanic origin)
   - 05 Asian (not of Hispanic origin)
   - 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - 07 Other racial category in your information system – **Specify X**

22. **Perpetrator #2: What was the youth perpetrator’s sex or gender identity?** (See definitions on page 5.)
   - 01 Male
   - 03 Transgender
   - 02 Female
   - 04 Intersex

23. **Perpetrator #2: What was the youth perpetrator’s age at the time of the incident?**
   - 01 Under age 13
   - 02 13–15
   - 03 16–17
   - 04 18–19
   - 05 20–24
   - 06 25 or older

24. **Perpetrator #2: What was the youth perpetrator’s race/ethnic origin?** (Mark [X] all that apply.)
   - 01 White (not of Hispanic origin)
   - 02 Black (not of Hispanic origin)
   - 03 Hispanic or Latino
   - 04 American Indian/Alaska Native (not of Hispanic origin)
   - 05 Asian (not of Hispanic origin)
   - 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - 07 Other racial category in your information system – **Specify X**

25. **What was the nature of the incident?** (Mark [X] all that apply.)
   - 02 Sexual harassment
   - 03 Indecent exposure, masturbation, or voyeurism
   - 04 Horseplay
   - 05 Repeated and unwelcome sexual advances or requests for sexual favors
   - 06 Unwanted touching for sexual gratification or abusive sexual contact
   - 07 Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - 08 Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - 09 Other – **Specify X**

26. **What type of pressure or physical force was used by the youth perpetrator on the victim?** (Mark [X] all that apply for all perpetrators.)
   - 02 Persuasion or talked into sexual activity
   - 03 Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - 04 Bribery or blackmail
   - 05 Gave victim drugs or alcohol
   - 06 Offered protection from other youth
   - 07 Threatened with physical harm
   - 08 Physically held victim down or restrained in some way
   - 09 Physically harmed or injured
   - 10 Threatened with a weapon
   - 11 Other – **Specify X**

27. **What sanction was imposed on the perpetrator(s)?** (Mark [X] all that apply for all perpetrators.)
   - 01 Placed in solitary confinement or disciplinary segregation
   - 02 Confined to own cell or room
   - 03 Placed in higher custody level, restricted unit or program, within the same facility
   - 04 Transferred to other unit/cell or separated from victim
   - 05 Transferred to another facility
   - 06 Loss of “good/gain” time or increase in “bad” time/delayed release
   - 07 Given extra work
   - 08 Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - 09 Sent to counseling or treatment team
   - 10 Arrested or referred to law enforcement agency
   - 11 Referred for prosecution or indicted
   - 12 Convicted, given new sentence, or fined
   - 13 Other – **Specify X**
Section B – STAFF-ON-YOUTH SEXUAL ABUSE

0 If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident? (Mark [X] all that apply.)

01 ☐ Physical force resulting in a nonconsensual sexual act
02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 ☐ Unwanted touching for sexual gratification
05 ☒ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 ☐ Sexual relationship between youth and staff that appeared to be willing
08 ☐ Other – Specify  

09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . . 1

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

01 ☒ Male  02 ☐ Female

31. Staff #1: What was the age of the staff at the time of the incident?

01 ☐ 24 or younger  05 ☐ 40-44
02 ☐ 25-29  06 ☐ 45-54
03 ☒ 30-34  07 ☐ 55 or older
04 ☐ 35-39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☒ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify  

33. Staff #2: What was the gender of the staff?

01 ☐ Male  02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 ☐ 24 or younger  05 ☐ 40-44
02 ☐ 25-29  06 ☐ 45-54
03 ☒ 30-34  07 ☐ 55 or older
04 ☐ 35-39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify  

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

01 ☒ Full or part time employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify  

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☒ Correctional officer or supervisory staff
03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Volunteers or Interns
09 ☐ Other staff – Specify  

Page 4
39. **What sanction was imposed on the staff?**

(Mark (X) all that apply for all staff involved.)

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<tr>
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<td>11</td>
<td>☐ Other – Specify</td>
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12 ☐ No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**

(Mark (X) all that apply for all staff involved.)

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<tr>
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### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

### Gender categories

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY 3300000000050000003300 Incident Number 17 out of 17

1. On what date did the incident occur? (If more than one date, report the most recent.)
<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
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</thead>
<tbody>
<tr>
<td>08</td>
<td>13</td>
<td>2019</td>
</tr>
</tbody>
</table>

2. In what facility did the incident occur?
   Name: Harriet Tubman Residential Center
   City/Place: Auburn, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   - [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   - [ ] In the perpetrator's cell or room
   - [ ] In a dormitory or other multiple housing unit
   - [ ] In a common area (e.g., shower, dayroom, bathroom)
   - [ ] In a temporary holding cell or admissions area within the facility
   - [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   - [ ] In an instructional area (e.g., classroom, school, library, conference room)
   - [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   - [ ] In a medical area (e.g., infirmary, health clinic)
   - [ ] In a staff area (office, break room, counselor's office)
   - [X] Offsite or while in transit
   - [ ] Other – Specify

4. Did the incident take place in an area subject to video monitoring?
   - [ ] Yes
   - [ ] No
   - [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   - [X] Morning (6 a.m. to noon)
   - [ ] Afternoon (noon to 6 p.m.)
   - [ ] Evening (6 p.m. to midnight)
   - [ ] Overnight (midnight to 6 a.m.)
   - [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   - [ ] Male
   - [X] Female
   - [ ] Transgender
   - [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   - [ ] Under age 13
   - [ ] 13-15
   - [X] 16-17
   - [ ] 18-19
   - [ ] 20-24
   - [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   - [X] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    - [ ] Male
    - [ ] Female
    - [X] Transgender
    - [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    - [ ] Under age 13
    - [ ] 13-15
    - [X] 16-17
    - [ ] 18-19
    - [ ] 20-24
    - [ ] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark (X) all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
   02 X No (No injury sustained)
   01 □ Yes → a. What injuries occurred? (Mark (X) all that apply.)
   01 □ Knife or stab wounds
   02 □ Broken bones
   03 □ Anal or vaginal tearing
   04 □ Chipped or knocked out teeth
   05 □ Internal injuries
   06 □ Knocked unconscious
   07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
   08 □ Other – Specify

   → b. Did the victim(s) receive medical treatment for these injuries?
   01 □ Yes
   02 □ No

14. Who reported the incident? (Mark (X) all that apply.)
   01 □ Victim
   02 □ Another youth (non-victim)
   03 □ Victim’s family or friend
   04 □ Correctional officer or front line staff
   05 □ Administrative staff
   06 □ Medical, healthcare, or mental health staff
   07 □ Instructor, teacher, or counselor
   08 □ Other staff (e.g., kitchen worker, maintenance staff)
   09 □ Chaplain or other religious official
   10 □ Perpetrator
   11 □ Perpetrator’s family or friend
   12 □ Grievance coordinator, grievance process, or ombudsperson
   13 □ Attorney or legal guardian (e.g., other than family member)
   14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 □ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply.)
   01 □ Given a medical examination
   02 □ Administered a rape kit
   03 □ Tested for HIV/AIDS
   04 □ Tested for other sexually transmitted diseases
   05 □ Provided with counseling or mental health treatment
   06 □ Offered but declined testing or treatment
   07 □ Already released/discharged
   08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply.)
   01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 □ Placed in a medical unit, ward, or hospital
   03 □ Confined to own cell or room
   04 □ Given a higher custody level/different unit within the facility
   05 □ Transferred to another facility
   06 □ Transferred to another housing unit or dorm, or given a single room or cell
   07 □ Separated from perpetrator
   08 □ Issued disciplinary report or loss of privileges
   09 □ Placed in camera room, under closer surveillance, or increased supervision
   10 □ Other – Specify

11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 □ Youth-on-youth nonconsensual sexual act → Complete Section A, below
   02 □ Youth-on-youth abusive contact → Complete Section A, below
   03 □ Youth-on-youth sexual harassment → Complete Section A, below
   04 □ Staff sexual misconduct → Complete Section B on pages 4–5
   05 □ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION
   → If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
   Number of youth perpetrators . . .

   → If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

☐ Male  ☐ Transgender
☐ Female  ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

☐ Under age 13  ☐ 18–19
☐ 13–15  ☐ 20–24
☐ 16–17  ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)

☐ White (not of Hispanic origin)
☐ Black (not of Hispanic origin)
☐ Hispanic or Latino
☐ American Indian/Alaska Native (not of Hispanic origin)
☐ Asian (not of Hispanic origin)
☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
☐ Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

☐ Male  ☐ Transgender
☐ Female  ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

☐ Under age 13  ☐ 18–19
☐ 13–15  ☐ 20–24
☐ 16–17  ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)

☐ White (not of Hispanic origin)
☐ Black (not of Hispanic origin)
☐ Hispanic or Latino
☐ American Indian/Alaska Native (not of Hispanic origin)
☐ Asian (not of Hispanic origin)
☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
☐ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)

☐ Sexual harassment
☐ Indecent exposure, masturbation, or voyeurism
☐ Horseplay
☐ Repeated and unwelcome sexual advances or requests for sexual favors
☐ Unwanted touching for sexual gratification or abusive sexual contact
☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
☐ Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)

☐ Sexual harassment, sexual innuendo, or verbal comments
☐ Persuasion or talked into sexual activity
☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
☐ Bribery or blackmail
☐ Gave victim drugs or alcohol
☐ Offered protection from other youth
☐ Threatened with physical harm
☐ Physically held victim down or restrained in some way
☐ Physically harmed or injured
☐ Threatened with a weapon
☐ Other – Specify

☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)

☐ Placed in solitary confinement or disciplinary segregation
☐ Confined to own cell or room
☐ Placed in higher custody level, restricted unit or program, within the same facility
☐ Transferred to other unit/cell or separated from victim
☐ Transferred to another facility
☐ Loss of "good/gain" time or increase in "bad" time/delayed release
☐ Given extra work
☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
☐ Sent to counseling or treatment team
☐ Arrested or referred to law enforcement agency
☐ Referred for prosecution or indicted
☐ Convicted, given new sentence, or fined
☐ Other – Specify
33. Staff #2: What was the gender of the staff?
- [ ] Male
- [ ] Female

34. Staff #2: What was the age of the staff at the time of the incident?
- [ ] 24 or younger
- [ ] 25–29
- [ ] 30–34
- [ ] 35–39
- [ ] 40–44
- [ ] 45–54
- [ ] 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
- [X] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
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36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark [X] all that apply for all staff involved.)
- [X] Full or part time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other – Specify [X]

37. What was the primary position description of the staff involved in the incident?
(Mark [X] all that apply for all staff involved.)
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39. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)

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07 [ ] Convicted, plead guilty, sentenced, or fined
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09 [ ] Staff resigned (prior to completion of investigation)
10 [ ] Staff resigned (after investigation was completed)
11 [X] Other – Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)

01 [ ] Less than 6 months
02 [ ] 6 months to 1 year
03 [X] 1 to 5 years
04 [ ] 5 to 10 years
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NOTES

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AND

Intentional touching, either directly or through the clothing, of the genitilia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

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