1. **On what date did the incident occur?**
   (If more than one date, report the most recent.)
   
   Month  Day  Year
   01  11  2017

2. **In what facility did the incident occur?**
   
   Name
   Goshen Secure Center
   
   City/Place
   Goshen, NY

3. **Where did the incident occur?** *(Mark (X) all that apply.)*
   
   01 [ ] In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
   02 [ ] In the perpetrator’s cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor’s office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify
   13 [X] Location unknown

4. **Did the incident take place in an area subject to video monitoring?**
   
   01 [X] Yes
   02 [ ] No
   03 [ ] Don’t know

5. **What time did the incident occur?** *(Mark (X) all that apply.)*
   
   01 [X] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. **How many victims were involved in the incident?**
   Number of victims  1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim’s sex or gender identity?** *(See definitions on page 5.)*
   
   01 [X] Male  03 [ ] Transgender
   02 [ ] Female  04 [ ] Intersex

8. **Victim #1: What was the victim’s age at the time of the incident?**
   
   01 [ ] Under age 13  04 [ ] 18–19
   02 [ ] 13–15  05 [ ] 20–24
   03 [X] 16–17  06 [ ] 25 or older

9. **Victim #1: What was the victim’s race/ethnic origin?** *(Mark (X) all that apply.)*
   
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. **Victim #2: What was the victim’s sex or gender identity?** *(See definitions on page 5.)*
    
    01 [ ] Male  03 [ ] Transgender
    02 [ ] Female  04 [ ] Intersex

11. **Victim #2: What was the victim’s age at the time of the incident?**
    
    01 [ ] Under age 13  04 [ ] 18–19
    02 [ ] 13–15  05 [ ] 20–24
    03 [ ] 16–17  06 [ ] 25 or older
12. **Victim #2: What was the victim’s race/ethnic origin?**
(Mark [X] all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

13. **Did the victim(s) sustain any physical injury during the incident?**
- [X] No (No injury sustained)
- [ ] Yes → **a. What injuries occurred?**
  (Mark [X] all that apply.)
  - [ ] Knife or stab wounds
  - [ ] Broken bones
  - [ ] Anal or vaginal tearing
  - [ ] Chipped or knocked out teeth
  - [ ] Internal injuries
  - [ ] Knocked unconscious
  - [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - [ ] Other – Specify

  → **b. Did the victim(s) receive medical treatment for these injuries?**
  - [ ] Yes
  - [ ] No

14. **Who reported the incident?**
(Mark [X] all that apply.)
- [X] Victim
- [ ] Another youth (non-victim)
- [ ] Victim’s family or friend
- [ ] Correctional officer or front line staff
- [ ] Administrative staff
- [ ] Medical, healthcare, or mental health staff
- [ ] Instructor, teacher, or counselor
- [ ] Other staff (e.g., kitchen worker, maintenance staff)
- [ ] Chaplain or other religious official
- [ ] Perpetrator
- [ ] Perpetrator’s family or friend
- [ ] Grievance coordinator, grievance process, or ombudsperson
- [ ] Attorney or legal guardian (e.g., other than family member)
- [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- [ ] Other – Specify

15. **After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)**
- [X] Given a medical examination
- [ ] Administered a rape kit
- [ ] Tested for HIV/AIDS
- [ ] Tested for other sexually transmitted diseases
- [ ] Provided with counseling or mental health treatment
- [ ] Offered but declined testing or treatment
- [ ] Already released/discharged
- [ ] None of the above

16. **After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)**
- [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- [ ] Placed in a medical unit, ward, or hospital
- [ ] Confined to own cell or room
- [ ] Given a higher custody level/different unit within the facility
- [ ] Transferred to another facility
- [ ] Transferred to another housing unit or dorm, or given a single room or cell
- [X] Separated from perpetrator
- [ ] Issued disciplinary report or loss of privileges
- [ ] Placed in camera room, under closer surveillance, or increased supervision
- [ ] Other – Specify

  → None of the above

17. **What type of sexual violence was involved in the incident?** (See definitions on page 5.)
- [ ] Youth-on–youth nonconsensual sexual act → Complete Section A, below
- [X] Youth-on–youth abusive contact → Complete Section A, below
- [ ] Youth-on–youth sexual harassment → Complete Section A, below
- [ ] Staff sexual misconduct → Complete Section B on pages 4–5
- [ ] Staff sexual harassment → Complete Section B on pages 4–5

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**Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION**

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. **How many youth perpetrators were involved in the incident?**

Number of youth perpetrators . . . 2

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (☒) all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☒ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 ☒ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (☒) all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

25. What was the nature of the incident? (Mark (☒) all that apply.)
   01 ☐ Sexual harassment
   02 ☐ Indecent exposure, masturbation, or voyeurism
   03 ☐ Horseplay
   04 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
   05 ☐ Unwanted touching for sexual gratification or abusive sexual contact
   06 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   07 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   08 ☐ Other – Specify ☒

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (☒) all that apply for all perpetrators.)
   01 ☐ Sexual harassment, sexual innuendo, or verbal comments
   02 ☐ Persuasion or talked into sexual activity
   03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☐ Bribery or blackmail
   05 ☐ Gave victim drugs or alcohol
   06 ☐ Offered protection from other youth
   07 ☐ Threatened with physical harm
   08 ☐ Physically held victim down or restrained in some way
   09 ☐ Physically harmed or injured
   10 ☐ Threatened with a weapon
   11 ☐ Other – Specify ☒
   12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark (☒) all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☐ Confined to own cell or room
   03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
   07 ☐ Given extra work
   08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☐ Sent to counseling or treatment team
   10 ☐ Arrested or referred to law enforcement agency
   11 ☐ Referred for prosecution or indicted
   12 ☐ Convicted, given new sentence, or fined
   13 ☐ Other – Specify ☒
33. Staff #2: What was the gender of the staff?

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<thead>
<tr>
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<tbody>
<tr>
<td>01</td>
<td>□ Male</td>
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34. Staff #2: What was the age of the staff at the time of the incident?

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<tr>
<td>01</td>
<td>□ 24 or younger</td>
<td>05</td>
<td>□ 40–44</td>
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<td>02</td>
<td>□ 25–29</td>
<td>06</td>
<td>□ 45–54</td>
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<td>03</td>
<td>□ 30–34</td>
<td>07</td>
<td>□ 55 or older</td>
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<td>04</td>
<td>□ 35–39</td>
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35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

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<tbody>
<tr>
<td>01</td>
<td>□ White (not of Hispanic origin)</td>
<td>02</td>
<td>□ Black (not of Hispanic origin)</td>
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<tr>
<td>03</td>
<td>□ Hispanic or Latino</td>
<td>04</td>
<td>□ American Indian/Alaska Native (not of Hispanic origin)</td>
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<td>05</td>
<td>□ Asian (not of Hispanic origin)</td>
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<tr>
<td>06</td>
<td>□ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
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<tr>
<td>07</td>
<td>□ Other racial category in your information system – Specify X</td>
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36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

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<tr>
<td>01</td>
<td>□ Full or part time paid employee</td>
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<td>02</td>
<td>□ Contract employee or vendor</td>
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<td>03</td>
<td>□ Volunteer or intern</td>
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<td>04</td>
<td>□ Other – Specify X</td>
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37. What was the primary position description of the staff involved in the incident?

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<td>01</td>
<td>□ Administrator, including wardens, superintendents, assistants and others in administrative positions</td>
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<td>02</td>
<td>□ Correctional officer or supervisory staff</td>
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<td>03</td>
<td>□ Clerical staff including secretaries, clerks, receptionists, and other administrative support</td>
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<td>04</td>
<td>□ Maintenance and other facility support staff, including groundkeepers, janitors, cooks, and drivers</td>
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<td>05</td>
<td>□ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants</td>
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<td>06</td>
<td>□ Education staff, including instructors, teachers, librarians, and education assistants</td>
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<td>07</td>
<td>□ Other program staff</td>
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<td>08</td>
<td>□ Volunteers or Interns</td>
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<td>09</td>
<td>□ Other staff – Specify X</td>
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</table>
38. What sanction was imposed on the staff? (Mark [x] all that apply for all staff involved.)

- 01 [ ] Sent to training or counseling
- 02 [ ] Reprimanded or disciplined
- 03 [ ] Demoted, diminished responsibilities, or suspended temporarily
- 04 [ ] Transferred to another facility or unit
- 05 [ ] Arrested or referred to law enforcement agency
- 06 [ ] Referred for prosecution or indicted
- 07 [ ] Convicted, plead guilty, sentenced, or fined
- 08 [ ] Discharged, terminated, or contract not renewed
- 09 [ ] Staff resigned (prior to completion of investigation)
- 10 [ ] Staff resigned (after investigation was completed)
- 11 [ ] Other – Specify

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [x] all that apply for all staff involved.)

- 01 [ ] Less than 6 months
- 02 [ ] 6 months to 1 year
- 03 [ ] 1 to 5 years
- 04 [ ] 5 to 10 years
- 05 [ ] More than 10 years

---

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE Incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include:

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY 330000000050000003300 Incident Number 2 out of 7

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day  Year
   01  28  2017

2. In what facility did the incident occur?
   Name
   Finger Lakes Residential Center
   City/Place
   Lansing, NY

3. Where did the incident occur? (Mark (X) all that apply.)
   01 ☒ In the victim's cell or room (e.g., if the victim and
       perpetrator share a cell or room, count as the victim's
       cell)
   02 ☐ In the perpetrator's cell or room
   03 ☐ In a dormitory or other multiple housing unit
   04 ☐ In a common area (e.g., shower, dayroom, bathroom)
   05 ☐ In a temporary holding cell or admissions area within the
       facility
   06 ☒ In a program service area (e.g., commissary, kitchen,
       storage, laundry, cafeteria, workshop, hallway)
   07 ☐ In an instructional area (e.g., classroom, school, library,
       conference room)
   08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
   09 ☐ In a medical area (e.g., Infirmary, health clinic)
   10 ☐ In a staff area (office, break room, counselor's office)
   11 ☒ Offsite or while in transit
   12 ☒ Other – Specify ☐
   13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 ☒ Yes
   02 ☐ No
   03 ☐ Don't know

5. What time did the incident occur? (Mark (X) all that apply.)
   01 ☒ Morning (6 a.m. to noon)
   02 ☐ Afternoon (noon to 6 p.m.)
   03 ☒ Evening (6 p.m. to midnight)
   04 ☐ Overnight (midnight to 6 a.m.)
   05 ☐ Unknown

6. How many victims were involved in the incident?
   Number of victims . . . 1

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 ☒ Male
   02 ☐ Female
   03 ☐ Transgender
   04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 ☐ Under age 13
   02 ☐ 13–15
   03 ☒ 16–17
   04 ☒ 18–19
   05 ☒ 20–24
   06 ☒ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
   01 ☒ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☒ Hispanic or Latino
   04 ☒ American Indian/Alaska Native (not of Hispanic origin)
   05 ☒ Asian (not of Hispanic origin)
   06 ☒ Native Hawaiian or Other Pacific Islander
       (not of Hispanic origin)
   07 ☒ Other racial category in your information system –
       Specify ☐

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 ☐ Male
    02 ☒ Female
    03 ☐ Transgender
    04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 ☒ Under age 13
    02 ☒ 13–15
    03 ☒ 16–17
    04 ☒ 18–19
    05 ☒ 20–24
    06 ☒ 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark (X) all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?

- [x] No (No injury sustained)
- [ ] Yes → a. What injuries occurred? (Mark (X) all that apply for all victims.)
  - [ ] Knife or stab wound
  - [ ] Broken bones
  - [ ] Anal or vaginal tearing
  - [ ] Chipped or knocked out teeth
  - [ ] Internal injuries
  - [ ] Knocked unconscious
  - [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - [ ] Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?

- [ ] Yes
- [ ] No

14. Who reported the incident? (Mark (X) all that apply.)

- [x] Victim
- [ ] Another youth (non-victim)
- [ ] Victim's family or friend
- [ ] Correctional officer or front line staff
- [ ] Administrative staff
- [ ] Medical, healthcare, or mental health staff
- [ ] Instructor, teacher, or counselor
- [ ] Other staff (e.g., kitchen worker, maintenance staff)
- [ ] Chaplain or other religious official
- [ ] Perpetrator
- [ ] Perpetrator’s family or friend
- [ ] Grievance coordinator, grievance process, or ombudsperson
- [ ] Attorney or legal guardian (e.g., other than family member)
- [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- [ ] Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- [ ] Given a medical examination
- [ ] Administered a rape kit
- [ ] Tested for HIV/AIDS
- [ ] Tested for other sexually transmitted diseases
- [ ] Provided with counseling or mental health treatment
- [ ] Offered but declined testing or treatment
- [ ] Already released/discharged
- [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- [ ] Placed in a medical unit, ward, or hospital
- [ ] Confined to own cell or room
- [ ] Given a higher custody level/different unit within the facility
- [ ] Transferred to another facility
- [ ] Transferred to another housing unit or dorm, or given a single room or cell
- [ ] Separated from perpetrator
- [ ] Issued disciplinary report or loss of privileges
- [ ] Placed in camera room, under closer surveillance, or increased supervision
- [ ] Other – Specify

- [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)

- [ ] Youth-on–youth nonconsensual sexual act → Complete Section A, below
- [x] Youth-on–youth abusive contact → Complete Section A, below
- [ ] Youth-on–youth sexual harassment → Complete Section A, below
- [ ] Staff sexual misconduct → Complete Section B on pages 4–5
- [ ] Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators ... 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

| 01 | Male       | 03 | Transgender  |
| 02 | Female     | 04 | Intersex     |

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

| 01 | Under age 13 | 04 | 18–19   |
| 02 | 13–15        | 05 | 20–24   |
| 03 | 16–17        | 06 | 25 or older |

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

| 01 | White (not of Hispanic origin) |
| 02 | Black (not of Hispanic origin) |
| 03 | Hispanic or Latino             |
| 04 | American Indian/Alaska Native (not of Hispanic origin) |
| 05 | Asian (not of Hispanic origin) |
| 06 | Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) |
| 07 | Other racial category in your information system – Specify X |

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

| 01 | Male       | 03 | Transgender  |
| 02 | Female     | 04 | Intersex     |

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

| 01 | Under age 13 | 04 | 18–19   |
| 02 | 13–15        | 05 | 20–24   |
| 03 | 16–17        | 06 | 25 or older |

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

| 01 | White (not of Hispanic origin) |
| 02 | Black (not of Hispanic origin) |
| 03 | Hispanic or Latino             |
| 04 | American Indian/Alaska Native (not of Hispanic origin) |
| 05 | Asian (not of Hispanic origin) |
| 06 | Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) |
| 07 | Other racial category in your information system – Specify X |

25. What was the nature of the incident? (Mark (X) all that apply.)

| 02 | Sexual harassment |
| 09 | Indecent exposure, masturbation, or voyeurism |
| 04 | Horseplay |
| 05 | Repeated and unwelcome sexual advances or requests for sexual favors |
| 06 | Unwanted touching for sexual gratification or abusive sexual contact |
| 07 | Pressure or coercion (without force) resulting in a nonconsensual sexual act |
| 08 | Physical force (or the threat of force) resulting in a nonconsensual sexual act |
| 09 | Other – Specify X |

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

| 02 | Persuasion or talked into sexual activity |
| 05 | Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep |
| 04 | Bribery or blackmail |
| 05 | Gave victim drugs or alcohol |
| 06 | Offered protection from other youth |
| 07 | Threatened with physical harm |
| 08 | Physically held victim down or restrained in some way |
| 09 | Physically harmed or injured |
| 10 | Threatened with a weapon |
| 11 | Other – Specify X |
| 12 | None |

27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

| 01 | Placed in solitary confinement or disciplinary segregation |
| 02 | Confined to own cell or room |
| 03 | Placed in higher custody level, restricted unit or program, within the same facility |
| 04 | Transferred to other unit/cell or separated from victim |
| 05 | Transferred to another facility |
| 06 | Loss of "good/gain" time or increase in "bad" time/delayed release |
| 07 | Given extra work |
| 08 | Loss of privileges, disciplinary report or conduct violation, or other reprimand |
| 09 | Sent to counseling or treatment team |
| 10 | Arrested or referred to law enforcement agency |
| 11 | Referred for prosecution or indicted |
| 12 | Convicted, given new sentence, or fined |
| 13 | Other – Specify X |

Arms length supervision
33. Staff #2: What was the gender of the staff?
   - Male □
   - Female □

34. Staff #2: What was the age of the staff at the time of the incident?
   - 24 or younger □
   - 25–29 □
   - 30–34 □
   - 35–39 □
   - 40–44 □
   - 45–54 □
   - 55 or older □

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark □ all that apply.)
   - White (not of Hispanic origin) □
   - Black (not of Hispanic origin) □
   - Hispanic or Latino □
   - American Indian/Alaska Native (not of Hispanic origin) □
   - Asian (not of Hispanic origin) □
   - Native Hawaiian or Other Pacific Islander (not of Hispanic origin) □
   - Other racial category in your information system – Specify □

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark □ all that apply for all staff involved.)
   - Full or part time paid employee □
   - Contract employee or vendor □
   - Volunteer or intern □
   - Other □

37. What was the primary position description of the staff involved in the incident?
   (Mark □ all that apply for all staff involved.)
   - Administrator, including wardens, superintendents, assistants and others in administrative positions □
   - Correctional officer or supervisory staff □
   - Clerical staff including secretaries, clerks, receptionists, and other administrative support □
   - Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers □
   - Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants □
   - Education staff, including instructors, teachers, librarians, and education assistants □
   - Other program staff □
   - Volunteers or interns □
   - Other staff – Specify □
38. What sanction was imposed on the staff?  
(Mark [X] all that apply for all staff involved.)

- 01 □ Sent to training or counseling
- 02 □ Reprimanded or disciplined
- 03 □ Demoted, diminished responsibilities, or suspended temporarily
- 04 □ Transferred to another facility or unit
- 05 □ Arrested or referred to law enforcement agency
- 06 □ Referred for prosecution or indicted
- 07 □ Convicted, plead guilty, sentenced, or fined
- 08 □ Discharged, terminated, or contract not renewed
- 09 □ Staff resigned (prior to completion of investigation)
- 10 □ Staff resigned (after investigation was completed)
- 11 □ Other – Specify □

12 □ No action taken

39. At the time of the incident, how long had the staff worked at the facility?  
(Mark [X] all that apply for all staff involved.)

- 01 □ Less than 6 months
- 02 □ 6 months to 1 year
- 03 □ 1 to 5 years
- 04 □ 5 to 10 years
- 05 □ More than 10 years

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**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;  
**AND**  
Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;  
**OR**  
Contact between the mouth and the penis, vulva, or anus;  
**OR**  
Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;  
**AND**  
Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

IDENTIFICATION INFORMATION

 Incident Number 3 out of 7

1. On what date did the incident occur?
(If more than one date, report the most recent.)
Month Day Year
02 07 2017

2. In what facility did the incident occur?
Name
Industry Residential Center
City/Place
Rush NY

3. Where did the incident occur? (Mark (X) all that apply.)
01 ☐ In the victim's cell or room (e.g., if the victim and
perpetrator share a cell or room, count as the victim's
room)
02 ☐ In the perpetrator's cell or room
03 ☐ In a dormitory or other multiple housing unit
04 ☐ In a common area (e.g., shower, dayroom, bathroom)
05 ☐ In a temporary holding cell or admissions area within
the facility
06 ☐ In a program service area (e.g., commissary, kitchen,
storage, laundry, cafeteria, workshop, hallway)
07 ☐ In an instructional area (e.g., classroom, school, library,
conference room)
08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
09 ☐ In a medical area (e.g., infirmary, health clinic)
10 ☐ In a staff area (office, break room, counselor's office)
11 ☐ Offsite or while in transit
12 ☐ Other – Specify ☐
13 ☐ Location unknown

4. Did the incident take place in an area subject
to video monitoring?
01 ☑ Yes
02 ☐ No
03 ☐ Don't know

5. What time did the incident occur?
(Mark (X) all that apply.)
01 ☐ Morning (6 a.m. to noon)
02 ☑ Afternoon (noon to 6 p.m.)
03 ☐ Evening (6 p.m. to midnight)
04 ☐ Overnight (midnight to 6 a.m.)
05 ☐ Unknown

6. How many victims were involved in the incident?
Number of victims . . . 4

→ If more than two victims were involved, report
their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender
identity? (See definitions on page 5.)
01 ☑ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

8. Victim #1: What was the victim's age at the time
of the incident?
01 ☑ Under age 13
02 ☐ 13–15
03 ☐ 16–17
04 ☐ 18–19
05 ☐ 20–24
06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin?
(Mark (X) all that apply.)
01 ☑ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander
(not of Hispanic origin)
07 ☐ Other racial category in your information system –
Specify ☐

10. Victim #2: What was the victim's sex or gender
identity? (See definitions on page 5.)
01 ☑ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

11. Victim #2: What was the victim's age at the
time of the incident?
01 ☐ Under age 13
02 ☑ 13–15
03 ☐ 16–17
04 ☐ 18–19
05 ☐ 20–24
06 ☐ 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of the survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin?
(Mark (X) all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☒ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☐

13. Did the victim(s) sustain any physical injury during the incident?
02 ☒ No (No injury sustained)
01 ☐ Yes → a. What injuries occurred?
(Mark (X) all that apply for all victims.)
01 ○ Knife or stab wounds
02 ○ Broken bones
03 ○ Anal or vaginal tearing
04 ○ Chipped or knocked out teeth
05 ○ Internal injuries
06 ○ Knocked unconscious
07 ○ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ○ Other – Specify ☐

→ b. Did the victim(s) receive medical treatment for these injuries?
01 ○ Yes
02 ○ No

14. Who reported the incident?
(Mark (X) all that apply.)
01 ☒ Victim
02 ☒ Another youth (non-victim)
03 ☐ Victim’s family or friend
04 ☐ Correctional officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
09 ☐ Chaplain or other religious official
10 ☐ Perpetrator
11 ☐ Perpetrator’s family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsperson
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential Informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 ○ Other – Specify ☐

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 ○ Given a medical examination
02 ○ Administered a rape kit
03 ○ Tested for HIV/AIDS
04 ○ Tested for other sexually transmitted diseases
05 ☒ Provided with counseling or mental health treatment
06 ○ Offered but declined testing or treatment
07 ○ Already released/discharged
08 ○ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 ○ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ○ Placed in a medical unit, ward, or hospital
03 ○ Confined to own cell or room
04 ○ Given a higher custody level/different unit within the facility
05 ○ Transferred to another facility
06 ○ Transferred to another housing unit or dorm, or given a single room or cell
07 ○ Separated from perpetrator
08 ○ Issued disciplinary report or loss of privileges
09 ○ Placed in camera room, under closer surveillance, or increased supervision
10 ☒ Other – Specify ☐
    Increased supervision
11 ○ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 ○ Youth-on–youth nonconsensual sexual act → Complete Section A, below
02 ☒ Youth-on–youth abusive contact → Complete Section A, below
03 ○ Youth-on–youth sexual harassment → Complete Section A, below
04 ○ Staff sexual misconduct → Complete Section B on pages 4–5
05 ○ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - [ ] Male  [ ] Transgender
   - [ ] Female [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   - [ ] Under age 13  [ ] 18–19
   - [ ] 13–15       [ ] 20–24
   - [ ] 16–17       [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [ ] all that apply.)
   - [ ] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify [ ]

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   - [ ] Male  [ ] Transgender
   - [ ] Female [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   - [ ] Under age 13  [ ] 18–19
   - [ ] 13–15       [ ] 20–24
   - [ ] 16–17       [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [ ] all that apply.)
   - [ ] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify [ ]

25. What was the nature of the incident? (Mark [ ] all that apply.)
   - [ ] Sexual harassment, sexual innuendo, or verbal comments
   - [ ] Persuasion or talked into sexual activity
   - [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - [ ] Bribery or blackmail
   - [ ] Gave victim drugs or alcohol
   - [ ] Offered protection from other youth
   - [ ] Threatened with physical harm
   - [ ] Physically held victim down or restrained in some way
   - [ ] Physically harmed or injured
   - [ ] Threatened with a weapon
   - [ ] Other – Specify [ ]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [ ] all that apply for all perpetrators.)
   - [ ] Sexual harassment, sexual innuendo, or verbal comments
   - [ ] Persuasion or talked into sexual activity
   - [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - [ ] Bribery or blackmail
   - [ ] Gave victim drugs or alcohol
   - [ ] Offered protection from other youth
   - [ ] Threatened with physical harm
   - [ ] Physically held victim down or restrained in some way
   - [ ] Physically harmed or injured
   - [ ] Threatened with a weapon
   - [ ] Other – Specify [ ]

27. What sanction was imposed on the perpetrator(s)? (Mark [ ] all that apply for all perpetrators.)
   - [ ] Placed in solitary confinement or disciplinary segregation
   - [ ] Confined to own cell or room
   - [ ] Placed in higher custody level, restricted unit or program, within the same facility
   - [ ] Transferred to other unit/cell or separated from victim
   - [ ] Transferred to another facility
   - [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
   - [ ] Given extra work
   - [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - [ ] Sent to counseling or treatment team
   - [ ] Arrested or referred to law enforcement agency
   - [ ] Referred for prosecution or indicted
   - [ ] Convicted, given new sentence, or fined
   - [ ] Other – Specify [ ]
Section B – STAFF-ON-YOUTH SEXUAL ABUSE

33. Staff #2: What was the gender of the staff?
   01 □ Male  
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  
   02 □ 25–29  
   03 □ 30–34  
   04 □ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark [x] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system — Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark [x] all that apply for all staff involved.)
   01 □ Full or part time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other — Specify

37. What was the primary position description of the staff involved in the incident?
   (Mark [x] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or interns
   09 □ Other staff — Specify

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
   (Mark [x] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system — Specify

31. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  
   02 □ 25–29  
   03 □ 30–34  
   04 □ 35–39

29. How many staff were involved in the incident?
   Number of staff ___________________  
   ➔ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 □ Male  
   02 □ Female

28. What was the nature of the incident?
   (Mark [x] all that apply.)
   01 □ Physical force resulting in a nonconsensual sexual act
   02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
   03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 □ Unwanted touching for sexual gratification
   05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 □ Sexual relationship between youth and staff that appeared to be willing
   08 □ Other — Specify

09 □ Level of coercion unknown

27. If the perpetrator was a youth, go to Section A on pages 2–3.
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)
01 □ Sent to training or counseling
02 □ Reprimanded or disciplined
03 □ Demoted, diminished responsibilities, or suspended temporarily
04 □ Transferred to another facility or unit
05 □ Arrested or referred to law enforcement agency
06 □ Referred for prosecution or indicted
07 □ Convicted, plead guilty, sentenced, or fined
08 □ Discharged, terminated, or contract not renewed
09 □ Staff resigned (prior to completion of investigation)
10 □ Staff resigned (after investigation was completed)
11 □ Other – Specify

12 □ No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)
01 □ Less than 6 months
02 □ 6 months to 1 year
03 □ 1 to 5 years
04 □ 5 to 10 years
05 □ More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;
AND
Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;
OR
Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;
AND
Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE Incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR
Completed, attempted, threatened, or requested sexual acts;

OR
Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR
Repeated profane or obscene language or gestures.

Gender categories

TRANSgendERN: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?  
(If more than one date, report the most recent.)

<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>10</td>
<td>2017</td>
</tr>
</tbody>
</table>

2. In what facility did the incident occur?

- Name: Brentwood Residential Center for Girls
- City/Place: Dix Hills, NY

3. Where did the incident occur? (Mark [X] all that apply.)

- 01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
- 02 [X] In the perpetrator's cell or room
- 03 [X] In a dormitory or other multiple housing unit
- 04 [X] In a common area (e.g., shower, dayroom, bathroom)
- 05 [X] In a temporary holding cell or admissions area within the facility
- 06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
- 07 [X] In an instructional area (e.g., classroom, school, library, conference room)
- 08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
- 09 [X] In a medical area (e.g., infirmary, health clinic)
- 10 [X] In a staff area (office, break room, counselor's office)
- 11 Offsite or while in transit
- 12 Other – Specify [X]
- 13 Location unknown

4. Did the incident take place in an area subject to video monitoring?

- 01 [X] Yes
- 02 No
- 03 Don't know

5. What time did the incident occur? (Mark [X] all that apply.)

- 01 [X] Morning (6 a.m. to noon)
- 02 Afternoon (noon to 6 p.m.)
- 03 Evening (6 p.m. to midnight)
- 04 [X] Overnight (midnight to 6 a.m.)
- 05 Unknown

6. How many victims were involved in the incident?

Number of victims: 1

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 Male
- 02 Female
- 03 Transgender
- 04 Intersex

8. Victim #1: What was the victim's age at the time of the incident?

- 01 Under age 13
- 02 13–15
- 03 16–17
- 04 18–19
- 05 20–24
- 06 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 Male
- 03 Transgender
- 02 Female
- 04 Intersex

11. Victim #2: What was the victim's age at the time of the incident?

- 01 Under age 13
- 02 13–15
- 03 16–17
- 04 18–19
- 05 20–24
- 06 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin?  
(Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)  
02 ☐ Black (not of Hispanic origin)  
03 ☐ Hispanic or Latino  
04 ☐ American Indian/Alaska Native (not of Hispanic origin)  
05 ☐ Asian (not of Hispanic origin)  
06 ☐ Native Hawaiian or Other Pacific Islander  
(not of Hispanic origin)  
07 ☐ Other racial category in your information system – Specify  

13. Did the victim(s) sustain any physical injury during the incident?  
02 ☒ No (No injury sustained)  
01 ☐ Yes → a. What injuries occurred?  
(Mark [X] all that apply for all victims.)  
01 ☐ Knife or stab wounds  
02 ☐ Broken bones  
03 ☐ Anal or vaginal tearing  
04 ☐ Chipped or knocked out teeth  
05 ☐ Internal injuries  
06 ☐ Knocked unconscious  
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  
08 ☐ Other – Specify  

→ b. Did the victim(s) receive medical treatment for these injuries?  
01 ☐ Yes  
02 ☐ No  

14. Who reported the incident?  
(Mark [X] all that apply.)  
01 ☐ Victim  
02 ☐ Another youth (non-victim)  
03 ☐ Victim’s family or friend  
04 ☒ Correctional officer or front line staff  
05 ☐ Administrative staff  
06 ☐ Medical, healthcare, or mental health staff  
07 ☐ Instructor, teacher, or counselor  
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)  
09 ☐ Chaplain or other religious official  
10 ☐ Perpetrator  
11 ☐ Perpetrator’s family or friend  
12 ☐ Grievance coordinator, grievance process, or ombudsperson  
13 ☐ Attorney or legal guardian (e.g., other than family member)  
14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)  
15 ☐ Other – Specify  

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)  
01 ☒ Given a medical examination  
02 ☐ Administered a rape kit  
03 ☐ Tested for HIV/AIDS  
04 ☐ Tested for other sexually transmitted diseases  
05 ☐ Provided with counseling or mental health treatment  
06 ☐ Offered but declined testing or treatment  
07 ☐ Already released/discharged  
08 ☐ None of the above  

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)  
01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation  
02 ☐ Placed in a medical unit, ward, or hospital  
03 ☐ Confined to own cell or room  
04 ☐ Given a higher custody level/different unit within the facility  
05 ☐ Transferred to another facility  
06 ☒ Transferred to another housing unit or dorm, or given a single room or cell  
07 ☐ Separated from perpetrator  
08 ☐ Issued disciplinary report or loss of privileges  
09 ☐ Placed in camera room, under closer surveillance, or increased supervision  
10 ☒ Other – Specify  

11 ☐ None of the above  

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)  
01 ☐ Youth-on-youth nonconsensual sexual act  
→ Complete Section A, below  
02 ☒ Youth-on-youth abusive contact  
→ Complete Section A, below  
03 ☐ Youth-on-youth sexual harassment  
→ Complete Section A, below  
04 ☐ Staff sexual misconduct  
→ Complete Section B on pages 4–5  
05 ☐ Staff sexual harassment  
→ Complete Section B on pages 4–5  

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.  

18. How many youth perpetrators were involved in the incident?  
Number of youth perpetrators . . . . 1  

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
   01 □ Male      03 □ Transgender
   02 □ Female    04 □ Intersex

20. Perpetrator #1: What was the youth perpetrator’s age at the time of the incident?
   01 □ Under age 13  04 □ 18–19
   02 □ 13–15        05 □ 20–24
   03 □ 16–17        06 □ 25 or older

21. Perpetrator #1: What was the youth perpetrator’s race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander
         (not of Hispanic Origin)
   07 □ Other racial category in your information system – Specify [ ]

22. Perpetrator #2: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
   01 □ Male      03 □ Transgender
   02 □ Female    04 □ Intersex

23. Perpetrator #2: What was the youth perpetrator’s age at the time of the incident?
   01 □ Under age 13  04 □ 18–19
   02 □ 13–15        05 □ 20–24
   03 □ 16–17        06 □ 25 or older

24. Perpetrator #2: What was the youth perpetrator’s race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander
         (not of Hispanic Origin)
   07 □ Other racial category in your information system – Specify [ ]

25. What was the nature of the incident? (Mark [X] all that apply.)
   02 □ Sexual harassment
   03 □ Indecent exposure, masturbation, or voyeurism
   04 □ Horseplay
   05 □ Repeated and unwelcome sexual advances or requests for sexual favors
   06 □ Unwanted touching for sexual gratification or
         abusive sexual contact
   07 □ Pressure or coercion (without force) resulting
         in a nonconsensual sexual act
   08 □ Physical force (or the threat of force) resulting
         in a nonconsensual sexual act
   09 □ Other – Specify [ ]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   02 □ Sexual harassment, sexual innuendo, or verbal comments
   03 □ Persuasion or talked into sexual activity
   04 □ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   05 □ Bribery or blackmail
   06 □ Gave victim drugs or alcohol
   07 □ Offered protection from other youth
   08 □ Threatened with physical harm
   09 □ Physically held victim down or restrained in
         some way
   10 □ Physically harmed or injured
   11 □ Threatened with a weapon
   12 □ Other – Specify [ ]

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   01 □ Placed in solitary confinement or disciplinary segregation
   02 □ Confinement to own cell or room
   03 □ Placed in higher custody level, restricted unit or
         program, within the same facility
   04 □ Transferred to other unit/cell or separated from
         victim
   05 □ Transferred to another facility
   06 □ Loss of “good/gain” time or increase in “bad”
         time/delayed release
   07 □ Given extra work
   08 □ Loss of privileges, disciplinary report or conduct
         violation, or other reprimand
   09 □ Sent to counseling or treatment team
   10 □ Arrested or referred to law enforcement agency
   11 □ Referred for prosecution or indicted
   12 □ Convicted, given new sentence, or fined
   13 □ Other – Specify [ ]

______________________________
10/20/2018
### Section B – STAFF-ON-YOUTH SEXUAL ABUSE

- **If the perpetrator was a youth, go to Section A on pages 2–3.**

#### 28. What was the nature of the incident?

- Mark (X) all that apply.
  - 01 □ Physical force resulting in a nonconsensual sexual act
  - 02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
  - 03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
  - 04 □ Unwanted touching for sexual gratification
  - 05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
  - 06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
  - 07 □ Sexual relationship between youth and staff that appeared to be willing
  - 08 □ Other – Specify

- 09 □ Level of coercion unknown

#### 29. How many staff were involved in the incident?

- Number of staff __________________

- **If more than two staff were involved, report their characteristics in Notes on page 5.**

#### 30. Staff #1: What was the gender of the staff?

- 01 □ Male
- 02 □ Female

#### 31. Staff #1: What was the age of the staff at the time of the incident?

- 01 □ 24 or younger
- 02 □ 25–29
- 03 □ 30–34
- 04 □ 35–39
- 05 □ 40–44
- 06 □ 45–54
- 07 □ 55 or older

#### 32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?

- Mark (X) all that apply.
  - 01 □ White (not of Hispanic origin)
  - 02 □ Black (not of Hispanic origin)
  - 03 □ Hispanic or Latino
  - 04 □ American Indian/Alaska Native (not of Hispanic origin)
  - 05 □ Asian (not of Hispanic origin)
  - 06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
  - 07 □ Other racial category in your information system – Specify

#### 33. Staff #2: What was the gender of the staff?

- 01 □ Male
- 02 □ Female

#### 34. Staff #2: What was the age of the staff at the time of the incident?

- 01 □ 24 or younger
- 02 □ 25–29
- 03 □ 30–34
- 04 □ 35–39
- 05 □ 40–44
- 06 □ 45–54
- 07 □ 55 or older

#### 35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

- Mark (X) all that apply.
  - 01 □ White (not of Hispanic origin)
  - 02 □ Black (not of Hispanic origin)
  - 03 □ Hispanic or Latino
  - 04 □ American Indian/Alaska Native (not of Hispanic origin)
  - 05 □ Asian (not of Hispanic origin)
  - 06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
  - 07 □ Other racial category in your information system – Specify

#### 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

- Mark (X) all that apply for all staff involved.
  - 01 □ Full or part time paid employee
  - 02 □ Contract employee or vendor
  - 03 □ Volunteer or intern
  - 04 □ Other – Specify

#### 37. What was the primary position description of the staff involved in the incident?

- Mark (X) all that apply for all staff involved.
  - 01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
  - 02 □ Correctional officer or supervisory staff
  - 03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
  - 04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
  - 05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
  - 06 □ Education staff, including instructors, teachers, librarians, and education assistants
  - 07 □ Other program staff
  - 08 □ Volunteers or Interns
  - 09 □ Other staff – Specify
38. What sanction was imposed on the staff?  
(Mark (✓) all that apply for all staff involved.)
- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify
- [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility?  
(Mark (✓) all that apply for all staff involved.)
- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

**NOTES**

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe)**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

**SEXUAL HARASSMENT BY ANOTHER YOUTH**: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT**: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Scenes, occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT**: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER**: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX**: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY 330000000050000003300 Incident Number 5 out of 7

1. On what date did the incident occur?  
   (If more than one date, report the most recent.)  
   Month Day Year  
   02 09 2017

2. In what facility did the incident occur?  
   Name  
   Industry Residential Center  
   City/Place  
   Rush, NY

3. Where did the incident occur? (Mark [X] all that apply.)  
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)  
   02 [X] In the perpetrator's cell or room  
   03 [X] In a dormitory or other multiple housing unit  
   04 [X] In a common area (e.g., shower, dayroom, bathroom)  
   05 [X] In a temporary holding cell or admissions area within the facility  
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)  
   07 [X] In an instructional area (e.g., classroom, school, library, conference room)  
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)  
   09 [X] In a medical area (e.g., infirmary, health clinic)  
   10 [X] In a staff area (office, break room, counselor's office)  
   11 [X] Offsite or while in transit  
   12 [X] Other – Specify  
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?  
   01 [X] Yes  
   02 [ ] No  
   03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)  
   01 [X] Morning (6 a.m. to noon)  
   02 [X] Afternoon (noon to 6 p.m.)  
   03 [X] Evening (6 p.m. to midnight)  
   04 [ ] Overnight (midnight to 6 a.m.)  
   05 [ ] Unknown

6. How many victims were involved in the incident?  
   Number of victims . . . 2  
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)  
   01 [X] Male  
   02 [ ] Female  
   03 [ ] Transgender  
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?  
   01 [ ] Under age 13  
   02 [X] 13–15  
   03 [X] 16–17  
   04 [ ] 18–19  
   05 [ ] 20–24  
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)  
   01 [X] White (not of Hispanic origin)  
   02 [ ] Black (not of Hispanic origin)  
   03 [ ] Hispanic or Latino  
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)  
   05 [ ] Asian (not of Hispanic origin)  
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)  
    01 [X] Male  
    02 [ ] Female  
    03 [ ] Transgender  
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?  
    01 [ ] Under age 13  
    02 [X] 13–15  
    03 [X] 16–17  
    04 [ ] 18–19  
    05 [ ] 20–24  
    06 [ ] 25 or older

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Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
(Mark [x] all that apply.)
01 ☐ White (not of Hispanic origin)
02 [x] Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify □

13. Did the victim(s) sustain any physical injury during the incident?
02 [x] No (No injury sustained)
01 ☐ Yes → a. What injuries occurred?
(Mark [x] all that apply for all victims.)
01 ☐ Knife or stab wounds
02 ☐ Broken bones
03 ☐ Anal or vaginal tearing
04 ☐ Chipped or knocked out teeth
05 ☐ Internal injuries
06 ☐ Knocked unconscious
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ☐ Other – Specify □

→ b. Did the victim(s) receive medical treatment for these injuries?
01 ☐ Yes
02 ☐ No

14. Who reported the incident?
(Mark [x] all that apply.)
01 [x] Victim
02 ☐ Another youth (non-victim)
03 ☐ Victim's family or friend
04 ☐ Correctional officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
09 ☐ Chaplain or other religious official
10 ☐ Perpetrator
11 ☐ Perpetrator's family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsperson
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mall)
15 ☐ Other – Specify □

15. After the incident was reported, was the victim(s) – (Mark [x] all that apply for all victims.)
01 ☐ Given a medical examination
02 ☐ Administered a rape kit
03 ☐ Tested for HIV/AIDS
04 ☐ Tested for other sexually transmitted diseases
05 ☐ Provided with counseling or mental health treatment
06 ☐ Offered but declined testing or treatment
07 ☐ Already released/discharged
08 ☐ None of the above

16. After the incident was reported, was the victim(s) – (Mark [x] all that apply for all victims.)
01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ☐ Placed in a medical unit, ward, or hospital
03 ☐ Confined to own cell or room
04 ☐ Given a higher custody level/different unit within the facility
05 ☐ Transferred to another facility
06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
07 ☐ Separated from perpetrator
08 ☐ Issued disciplinary report or loss of privileges
09 ☐ Placed in camera room, under closer surveillance, or increased supervision
10 [x] Other – Specify □
   Increased supervision
11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 ☐ Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 ☐ Youth-on-youth abusive contact → Complete Section A, below
03 [x] Youth-on-youth sexual harassment → Complete Section A, below
04 ☐ Staff sexual misconduct → Complete Section B on pages 4–5
05 ☐ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION
→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . 1

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 ☒ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 ☐ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

25. What was the nature of the incident? (Mark ☒ all that apply.)
   02 ☐ Sexual harassment
   03 ☐ Indecent exposure, masturbation, or voyeurism
   04 ☐ Horseplay
   05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
   06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
   07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 ☐ Other – Specify ☐

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Sexual harassment, sexual innuendo, or verbal comments
   02 ☐ Persuasion or talked into sexual activity
   03 ☐ Surprised the victim with unwanted touching, grabbing, or gropeing, or victim was asleep
   04 ☐ Bribery or blackmail
   05 ☐ Gave victim drugs or alcohol
   06 ☐ Offered protection from other youth
   07 ☐ Threatened with physical harm
   08 ☐ Physically held victim down or restrained in some way
   09 ☐ Physically harmed or injured
   10 ☐ Threatened with a weapon
   11 ☐ Other – Specify ☐
   12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☐ Confined to own cell or room
   03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
   07 ☐ Given extra work
   08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☐ Sent to counseling or treatment team
   10 ☐ Arrested or referred to law enforcement agency
   11 ☐ Referred for prosecution or indicted
   12 ☐ Convicted, given new sentence, or fined
   13 ☒ Other – Specify ☐

Arms length supervision
33. Staff #2: What was the gender of the staff?  
01 □ Male  
02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?  
01 □ 24 or younger  
02 □ 25–29  
03 □ 30–34  
04 □ 35–39  
05 □ 40–44  
06 □ 45–54  
07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?  
(Mark □ all that apply.)  
01 □ White (not of Hispanic origin)  
02 □ Black (not of Hispanic origin)  
03 □ Hispanic or Latino  
04 □ American Indian/Alaska Native (not of Hispanic origin)  
05 □ Asian (not of Hispanic origin)  
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
07 □ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?  
(Mark □ all that apply for all staff involved.)  
01 □ Full or part time paid employee  
02 □ Contract employee or vendor  
03 □ Volunteer or intern  
04 □ Other – Specify

37. What was the primary position description of the staff involved in the incident?  
(Mark □ all that apply for all staff involved.)  
01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions  
02 □ Correctional officer or supervisory staff  
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support  
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  
06 □ Education staff, including instructors, teachers, librarians, and education assistants  
07 □ Other program staff  
08 □ Volunteers or Interns  
09 □ Other staff – Specify
**38. What sanction was imposed on the staff?**
(Mark (X) all that apply for all staff involved.)

- ☐ Sent to training or counseling
- ☐ Reprimanded or disciplined
- ☐ Demoted, diminished responsibilities, or suspended temporarily
- ☐ Transferred to another facility or unit
- ☐ Arrested or referred to law enforcement agency
- ☐ Referred for prosecution or indicted
- ☐ Convicted, plead guilty, sentenced, or fined
- ☐ Discharged, terminated, or contract not renewed
- ☐ Staff resigned (prior to completion of investigation)
- ☐ Staff resigned (after investigation was completed)
- ☐ Other – Specify □

- ☐ No action taken

**39. At the time of the incident, how long had the staff worked at the facility?**
(Mark (X) all that apply for all staff involved.)

- ☐ Less than 6 months
- ☐ 6 months to 1 year
- ☐ 1 to 5 years
- ☐ 5 to 10 years
- ☐ More than 10 years

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### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- Contact between the mouth and the penis, vulva, or anus;
- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE Incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;
- Completed, attempted, threatened, or requested sexual acts;
- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY 330000000050000003300  
Incident Number 6 out of 7

1. **On what date did the incident occur?**
   (If more than one date, report the most recent.)
   
<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>23</td>
<td>2017</td>
</tr>
</tbody>
</table>

2. **In what facility did the incident occur?**
   
   Name: Highland Residential Center
   
   City/Place: Highland, NY

3. **Where did the incident occur?** (Mark [x] all that apply.)
   
   - [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   - [x] In the perpetrator's cell or room
   - [ ] In a dormitory or other multiple housing unit
   - [ ] In a common area (e.g., shower, dayroom, bathroom)
   - [ ] In a temporary holding cell or admissions area within the facility
   - [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   - [ ] In an instructional area (e.g., classroom, school, library, conference room)
   - [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   - [ ] In a medical area (e.g., infirmary, health clinic)
   - [x] In a staff area (office, break room, counselor's office)
   - [ ] Offsite or while in transit
   - [ ] Other – Specify:
   - [ ] Location unknown

4. **Did the incident take place in an area subject to video monitoring?**
   
   - [ ] Yes
   - [ ] No
   - [x] Don't know

5. **What time did the incident occur?** (Mark [x] all that apply.)
   
   - [ ] Morning (6 a.m. to noon)
   - [ ] Afternoon (noon to 6 p.m.)
   - [ ] Evening (6 p.m. to midnight)
   - [ ] Overnight (midnight to 6 a.m.)
   - [ ] Unknown

6. **How many victims were involved in the incident?**
   Number of victims . . . 2

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim's sex or gender identity?** (See definitions on page 5.)
   
   - [x] Male
   - [ ] Transgender
   - [ ] Female
   - [ ] Intersex

8. **Victim #1: What was the victim's age at the time of the incident?**
   
   - [x] Under age 13
   - [ ] 13–15
   - [ ] 16–17
   - [ ] 18–19
   - [ ] 20–24
   - [ ] 25 or older

9. **Victim #1: What was the victim's race/ethnic origin?**
   (Mark [x] all that apply.)
   
   - [x] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify:

10. **Victim #2: What was the victim's sex or gender identity?** (See definitions on page 5.)
    
    - [x] Male
    - [ ] Transgender
    - [ ] Female
    - [ ] Intersex

11. **Victim #2: What was the victim's age at the time of the incident?**
    
    - [ ] Under age 13
    - [x] 13–15
    - [ ] 16–17
    - [ ] 18–19
    - [ ] 20–24
    - [ ] 25 or older

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**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
(Mark [X] all that apply.)
- 01 ☐ White (not of Hispanic origin)
- 02 ☑ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander
  (not of Hispanic origin)
- 07 ☐ Other racial category in your information system –
  Specify ✕

13. Did the victim(s) sustain any physical injury during the incident?
- 01 ☐ Yes → a. What injuries occurred?
  (Mark [X] all that apply for all victims.)
  - 01 ☐ Knife or stab wounds
  - 02 ☐ Broken bones
  - 03 ☐ Anal or vaginal tearing
  - 04 ☐ Chipped or knocked out teeth
  - 05 ☐ Internal injuries
  - 06 ☐ Knocked unconscious
  - 07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - 08 ☐ Other – Specify ✕

  → b. Did the victim(s) receive medical treatment for these injuries?
  - 01 ☐ Yes
  - 02 ☐ No

14. Who reported the incident?
(Mark [X] all that apply.)
- 01 ☑ Victim
- 02 ☐ Another youth (non-victim)
- 03 ☐ Victim's family or friend
- 04 ☐ Correctional officer or front line staff
- 05 ☐ Administrative staff
- 06 ☐ Medical, healthcare, or mental health staff
- 07 ☐ Instructor, teacher, or counselor
- 08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
- 09 ☐ Chaplain or other religious official
- 10 ☐ Perpetrator
- 11 ☐ Perpetrator's family or friend
- 12 ☐ Grievance coordinator, grievance process, or ombudsperson
- 13 ☐ Attorney or legal guardian (e.g., other than family member)
- 14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- 15 ☐ Other – Specify ✕

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
- 01 ☑ Given a medical examination
- 02 ☐ Administered a rape kit
- 03 ☐ Tested for HIV/AIDS
- 04 ☐ Tested for other sexually transmitted diseases
- 05 ☐ Provided with counseling or mental health treatment
- 06 ☐ Offered but declined testing or treatment
- 07 ☐ Already released/discharged
- 08 ☐ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
- 01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- 02 ☐ Placed in a medical unit, ward, or hospital
- 03 ☐ Confined to own cell or room
- 04 ☐ Given a higher custody level/different unit within the facility
- 05 ☐ Transferred to another facility
- 06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
- 07 ☐ Separated from perpetrator
- 08 ☐ Issued disciplinary report or loss of privileges
- 09 ☐ Placed in camera room, under closer surveillance, or increased supervision
- 10 ☐ Other – Specify ✕
  disclosed upon discharge
- 11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
- 01 ☐ Youth-on-youth nonconsensual sexual act → Complete Section A, below
- 02 ☐ Youth-on-youth abusive contact → Complete Section A, below
- 03 ☐ Youth-on-youth sexual harassment → Complete Section A, below
- 04 ☐ Staff sexual misconduct → Complete Section B on pages 4–5
- 05 ☐ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
- [ ] Male
- [ ] Female
- [ ] Transgender
- [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
- [ ] Under age 13
- [ ] 13-15
- [ ] 16-17
- [ ] 18-19
- [ ] 20-24
- [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
- [ ] Male
- [ ] Female
- [ ] Transgender
- [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
- [ ] Under age 13
- [ ] 13-15
- [ ] 16-17
- [ ] 18-19
- [ ] 20-24
- [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark (X) all that apply.)
- [ ] Sexual harassment
- [ ] Indecent exposure, masturbation, or voyeurism
- [ ] Horseplay
- [ ] Repeated and unwelcome sexual advances or requests for sexual favors
- [ ] Unwanted touching for sexual gratification or abusive sexual contact
- [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
- [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
- [ ] Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)
- [ ] Sexual harassment, sexual innuendo, or verbal comments
- [ ] Persuasion or talked into sexual activity
- [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- [ ] Bribery or blackmail
- [ ] Gave victim drugs or alcohol
- [ ] Offered protection from other youth
- [ ] Threatened with physical harm
- [ ] Physically held victim down or restrained in some way
- [ ] Physically harmed or injured
- [ ] Threatened with a weapon
- [ ] Other – Specify

27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)
- [ ] Placed in solitary confinement or disciplinary segregation
- [ ] Confinement for too many cell in room
- [ ] Placed in higher custody level, restricted unit or program, within the same facility
- [ ] Transferred to other unit or separated from victim
- [ ] Transferred to another facility
- [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
- [ ] Given extra work
- [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
- [ ] Sent to counseling or treatment team
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, given new sentence, or fined
- [ ] Other – Specify
28. What was the nature of the incident?
(Mark [X] all that apply.)
01 [ ] Physical force resulting in a nonconsensual sexual act
02 [ ] Pressure or abuse of power resulting in a nonconsensual sexual act
03 [ ] Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 [ ] Unwanted touching for sexual gratification
05 [ ] Sexual harassment or repeated verbal statements of a sexual nature by staff
06 [ ] Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 [X] Sexual relationship between youth and staff that appeared to be willing
08 [ ] Other – Specify
09 [ ] Level of coercion unknown

29. How many staff were involved in the incident?
Number of staff . . . 1

30. Staff #1: What was the gender of the staff?
01 [ ] Male
02 [X] Female

31. Staff #1: What was the age of the staff at the time of the incident?
01 [ ] 24 or younger
02 [ ] 25–29
03 [ ] 30–34
04 [ ] 35–39
05 [ ] 40–44
06 [X] 45–54
07 [ ] 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [X] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?
01 [ ] Male
02 [ ] Female

34. Staff #2: What was the age of the staff at the time of the incident?
01 [ ] 24 or younger
02 [ ] 25–29
03 [ ] 30–34
04 [ ] 35–39
05 [ ] 40–44
06 [ ] 45–54
07 [ ] 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark [X] all that apply for all staff involved.)
01 [X] Full or part-time paid employee
02 [ ] Contract employee or vendor
03 [ ] Volunteer or intern
04 [ ] Other – Specify

37. What was the primary position description of the staff involved in the incident?
(Mark [X] all that apply for all staff involved.)
01 [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
02 [ ] Correctional officer or supervisory staff
03 [ ] Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 [ ] Maintenance and other facility support staff, including groundkeepers, janitors, cooks, and drivers
05 [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 [X] Education staff, including instructors, teachers, librarians, and education assistants
07 [ ] Other program staff
08 [ ] Volunteers or Interns
09 [ ] Other staff – Specify
38. **What sanction was imposed on the staff?**  
(Mark [ ] all that apply for all staff involved.)

- [ ] Sent to training or counseling  
- [ ] Reprimanded or disciplined  
- [ ] Demoted, diminished responsibilities, or suspended temporarily  
- [x] Transferred to another facility or unit  
- [x] Arrested or referred to law enforcement agency  
- [x] Referred for prosecution or indicted  
- [x] Convicted, plead guilty, sentenced, or fined  
- [ ] Discharged, terminated, or contract not renewed  
- [x] Staff resigned (prior to completion of investigation)  
- [ ] Staff resigned (after investigation was completed)  
- [ ] Other – Specify [ ]

12 [ ] No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**  
(Mark [ ] all that apply for all staff involved.)

- [ ] Less than 6 months  
- [ ] 6 months to 1 year  
- [x] 1 to 5 years  
- [ ] 5 to 10 years  
- [ ] More than 10 years

---

### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**  
Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR  
Contact between the mouth and the penis, vulva, or anus;

**OR**  
Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**  
Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;
  
  **OR**  
  Completed, attempted, threatened, or requested sexual acts;
  
  **OR**  
  Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**  
Repeated profane or obscene language or gestures.

### Gender categories

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
STATE: NY

330000000050000003300

Incident Number 7 out of 7

1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month  Day  Year
   10  01  2017

2. In what facility did the incident occur?
   Name
   Taberg Residential Center
   City/Place
   Taberg, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim’s cell or room (e.g., if the victim and
         perpetrator share a cell or room, count as the victim’s
         cell)
   02 [ ] In the perpetrator’s cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within the
         facility
   06 [ ] In a program service area (e.g., commissary, kitchen,
           storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library,
           conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., Infirmary, health clinic)
   10 [ ] In a staff area (office, break room, counselor’s office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02 [ ] No
   03 [ ] Don’t know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims 2

   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim’s sex or gender identity? (See definitions on page 5.)
   01 [ ] Male
   02 [X] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim’s age at the time of the incident?
   01 [ ] Under age 13
   02 [X] 13-15
   03 [X] 16-17
   04 [ ] 18-19
   05 [ ] 20-24
   06 [ ] 25 or older

9. Victim #1: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander
          (not of Hispanic origin)
   07 [ ] Other racial category in your information system –
          Specify

10. Victim #2: What was the victim’s sex or gender identity? (See definitions on page 5.)
    01 [ ] Male
    02 [X] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim’s age at the time of the incident?
    01 [ ] Under age 13
    02 [X] 13-15
    03 [X] 16-17
    04 [ ] 18-19
    05 [ ] 20-24
    06 [ ] 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
   02 [X] No (No injury sustained)
   01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
   03 [ ] Knife or stab wounds
   02 [ ] Broken bones
   06 [ ] Anus or vaginal tearing
   04 [ ] Chipped or knocked out teeth
   05 [ ] Internal injuries
   06 [ ] Knocked unconscious
   07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, walls
   08 [ ] Other – Specify [ ]

→ b. Did the victim(s) receive medical treatment for these injuries?
   01 [ ] Yes
   02 [ ] No

14. Who reported the incident? (Mark [X] all that apply.)
   02 [X] Victim
   03 [ ] Another youth (non-victim)
   04 [ ] Victim’s family or friend
   05 [ ] Correctional officer or front line staff
   06 [ ] Administrative staff
   07 [ ] Medical, healthcare, or mental health staff
   08 [ ] Instructor, teacher, or counselor
   09 [ ] Other staff (e.g., kitchen worker, maintenance staff)
   10 [ ] Chaplain or other religious official
   11 [ ] Perpetrator
   12 [ ] Perpetrator’s family or friend
   13 [ ] Attorney or legal guardian (e.g., other than family member)
   14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 [ ] Other – Specify [ ]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 [ ] Given a medical examination
   02 [ ] Administered a rape kit
   03 [ ] Tested for HIV/AIDS
   04 [X] Tested for other sexually transmitted diseases
   05 [ ] Provided with counseling or mental health treatment
   06 [ ] Offered but declined testing or treatment
   07 [ ] Already released/discharged
   08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   03 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 [ ] Placed in a medical unit, ward, or hospital
   01 [X] Confined to own cell or room
   04 [ ] Given a higher custody level/different unit within the facility
   05 [ ] Transferred to another facility
   06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
   07 [X] Separated from perpetrator
   08 [ ] Issued disciplinary report or loss of privileges
   09 [ ] Placed in camera room, under closer surveillance, or increased supervision
   10 [ ] Other – Specify [ ]

11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 [X] Youth-on-youth nonconsensual sexual act → Complete Section A, below
   02 [ ] Youth-on-youth abusive contact → Complete Section A, below
   03 [ ] Youth-on-youth sexual harassment → Complete Section A, below
   04 [X] Staff sexual misconduct → Complete Section B on pages 4–5
   05 [X] Staff sexual harassment → Complete Section B on pages 4–5

Section A - YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male         03 [x] Transgender
   02 [x] Female        04 [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13   04 [ ] 18–19
   02 [ ] 13–15          05 [ ] 20–24
   03 [ ] 16–17          06 [x] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [x] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify [ ]

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male         03 [x] Transgender
   02 [x] Female        04 [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13   04 [ ] 18–19
   02 [ ] 13–15          05 [ ] 20–24
   03 [ ] 16–17          06 [x] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [x] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify [ ]

25. What was the nature of the incident? (Mark [x] all that apply.)
   02 [ ] Sexual harassment
   03 [ ] Indecent exposure, masturbation, or voyeurism
   04 [ ] Horseplay
   05 [ ] Repeated and unwelcome sexual advances or requests for sexual favors
   06 [ ] Unwanted touching for sexual gratification or abusive sexual contact
   07 [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 [ ] Other – Specify [ ]

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [x] all that apply for all perpetrators.)
   01 [ ] Sexual harassment, sexual innuendo, or verbal comments
   02 [ ] Persuasion or talked into sexual activity
   03 [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 [ ] Bribery or blackmail
   05 [ ] Gave victim drugs or alcohol
   06 [ ] Offered protection from other youth
   07 [ ] Threatened with physical harm
   08 [ ] Physically held victim down or restrained in some way
   09 [ ] Physically harmed or injured
   10 [ ] Threatened with a weapon
   11 [ ] Other – Specify [ ]
   12 [ ] None

27. What sanction was imposed on the perpetrator(s)? (Mark [x] all that apply for all perpetrators.)
   01 [ ] Placed in solitary confinement or disciplinary segregation
   02 [ ] Confinement to own cell or room
   03 [ ] Placed in higher custody level, restricted unit or program, within the same facility
   04 [ ] Transferred to other unit/cell or separated from victim
   05 [ ] Transferred to another facility
   06 [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
   07 [ ] Given extra work
   08 [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 [ ] Sent to counseling or treatment team
   10 [ ] Arrested or referred to law enforcement agency
   11 [ ] Referred for prosecution or indicted
   12 [ ] Convicted, given new sentence, or fined
   13 [ ] Other – Specify [ ]
→ If the perpetrator was a youth, go to Section A on pages 2–3.

28. What was the nature of the incident? (Mark [X] all that apply.)

01 □ Physical force resulting in a nonconsensual sexual act
02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 □ Unwanted touching for sexual gratification
05 [X] Sexual harassment or repeated verbal statements of a sexual nature by staff
06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
07 □ Sexual relationship between youth and staff that appeared to be willing
08 □ Other – Specify [X]
09 □ Level of coercion unknown

29. How many staff were involved in the incident?
Number of staff . . . 1

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
01 [X] Male
02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?

01 □ 24 or younger
02 [X] 25–29
03 □ 30–34
04 □ 35–39
05 □ 40–44
06 □ 45–54
07 □ 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 [X] White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 [X] Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify [X]

33. Staff #2: What was the gender of the staff?

01 □ Male
02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 □ 24 or younger
02 □ 25–29
03 □ 30–34
04 □ 35–39
05 □ 40–44
06 □ 45–54
07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify [X]

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

01 [X] Full or part time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other – Specify [X]

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 [X] Correctional officer or supervisory staff
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Volunteers or Interns
09 □ Other staff – Specify [X]
38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [X] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify [ ]

12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

- [X] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.