

THE BUREAU OF EARLY CHILDHOOD SERVICES

POLICY STATEMENT

ID NUMBER: 06-3

TOPIC: Compliance Issues as they relate to the Americans with Disabilities Act

MODALITIES IMPACTED: All Modalities

APPLICABLE REGULATIONS: Title 18 of The New York State Code of Rules and regulations (18 NYCRR) § 414.11(g)(2), 416.11(j)(2), 417.11(j)(2), 418-1.11(j)(2), 418-2.11 (i)(2)

CONTACT: Kathleen Pickel

Phone: (518) 474-9454

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This document provides guidance on the interaction of the New York State Office of Children and Family Services (OCFS) child day care regulations and the Americans with Disabilities Act (ADA), with particular regard to the statutory and regulatory provisions that address the administration of medications to children in day care programs.

Summary Statement – Child care providers that are considering not administering medications to children in their programs, or that have selected not to administer medications in their day care programs, still must comply with the ADA. There may be circumstances where compliance with the ADA may require a child care provider to administer medications to a child with a disability that is either currently enrolled in the program or who is currently beginning the enrollment process for the program. Under those circumstances, the program must be authorized to administer medications in accordance with the OCFS regulations.

Regulatory Provisions:

The regulations for school age child care programs and day care centers include regulatory provisions, which state the following:

“Nothing in this section shall be deemed to require any provider to administer any medication, treatment, or other remedy except to the extent that such medication, treatment or remedy is required under the provisions of the Americans with Disabilities Act.” [18 NYCRR Section 414.11(g)(2) and 418-1.11(j)(2)]

The regulations for family and group family day care homes and small day care centers contain a similar provision:

“Nothing in this section shall be deemed to require any caregiver to administer any medication, treatment, or other remedy except to the extent that such medication, treatment or remedy is required under the provisions of the Americans with Disabilities Act.” [18 NYCRR Sections 416.11(j)(2), 417.11(j)(2) and 418-2.11(i)(2)]

Thus, while the regulations generally offer day care providers the option to choose to not administer medications, treatments or other remedies, that option is qualified. Where the ADA would require that a provider administer medications, treatment, or some other remedy, it is possible that a provider might be required to become authorized to administer medications, or have staff become authorized, and to prepare a health care plan that provides for the administration of medications.

Compliance with the ADA

What Is the Public Accommodations Provision of the ADA?

The basic requirement of the ADA is that places of public accommodation may not discriminate against children with disabilities unless the presence of such children would: (1) pose a direct threat to the health or safety of others; or (2) require a fundamental alteration in the nature of the program. Programs that are subject to the ADA must make reasonable accommodations to enable children with disabilities to participate in the program. All regulated day care programs, including those operated out of a family home or residence, fall within the ADA’s definition of a public accommodation except for day care programs operated by religious entities.

In this context, “reasonable accommodations” are modifications to policies and practices that do not constitute a fundamental alteration of the program. Determining exactly what constitutes a reasonable accommodation and at what point the accommodation becomes a fundamental alteration of the program must be evaluated and resolved on a case-by-case basis. There are, however, some general principles and standards that apply to all ADA situations.

Individualized Assessments

When a parent seeks admission of a child with disabilities to a day care program or wishes to keep a child with disabilities in a day care program, the day care provider must make an individualized assessment of the needs of that child and determine whether the child can be accommodated in the day care program without making fundamental alterations in the program. Day care programs cannot establish uniform policies that they will not accept children with disabilities or even that they will not accept children with certain specified disabilities; each situation must be individually assessed to review all the various factors before a decision on whether or not to accept the child with a disability into the program is made.

Fundamental Alteration

To determine whether accepting a child with a disability will constitute a fundamental alteration of the program, the program must analyze the nature and cost of any changes to the program's policies and practices that would be necessary to accommodate the child.

Cost, although not the only factor, is one factor to consider in determining whether accommodating a child with a disability would constitute a fundamental alteration of the program.

If the assessment is that the child cannot be accommodated without a fundamental alteration of the program, the provider should discuss the matter with the parent and explain the rationale for being unable to accept the child.

Direct Threats

The ADA does not require that a day care program accept a child who poses a direct threat to the health or safety of others into the day care program. In addition, if the public accommodation needed to accept the child with a disability into the day care program poses a significant risk to the health and safety of others and there is no other reasonable accommodation that could be made to eliminate that health and safety risk, then the day care program does not have to accept the child with a disability into the day care program. The determination of whether a particular child poses a direct threat to others must be based on an individualized assessment; a day care program may not categorize certain types of disabilities as automatically constituting a direct threat to others. Conditions posing a direct threat may include diseases that are actively infectious or that are communicable through the sort of incidental contact that would commonly occur in day care settings. Providers may ask parents or medical professionals for relevant information where the child may have such a condition in order to properly assess whether the condition poses a direct threat to others.

Children with HIV or AIDS cannot be excluded on the basis that they pose a direct threat to others. HIV and AIDS cannot be easily transmitted through the kinds of incidental contact that commonly occur in day care programs and as such do not pose a direct threat to the health or safety of others.

Administration of Medications

The ADA may require that a day care program give medications to children with disabilities, in some circumstances, in order to make reasonable accommodations to enable such children be able to attend the program. The practical ramification of the ADA in New York State is that day care providers should be prepared to obtain, in a timely fashion, the required training in order to administer at least certain basic types of medications if required by children with disabilities where such administration will enable the child to attend the day care program. **If a provider would be in violation of**

the ADA by refusing to administer medication to a child with a disability, and either has such children already in the program or parents or guardians seek to enroll such children, the provider must take steps in a timely manner to become authorized to administer medications in accordance with OCFS regulations, modify its health care plan with the approval of a health care consultant to provide for the administration of medications, and administer any medication required by the ADA. If it is determined that a provider must enroll children with disabilities and must administer medications to children to be in compliance with the ADA, then the provider must enroll children within the same time frame the provider would enroll children without a disability. If there is a waiting list for all children and children with disabilities seek to enroll, the provider must begin to take the necessary steps to become authorized to administer medications so the provider can administer medications as soon as the children are enrolled in the program. A provider may not place children with disabilities on a waiting list simply because the children have disabilities.

A provider also may not advise a parent that the provider must wait to enroll a child with a disability simply because the provider has not completed the process to be authorized to administer medications. The provider must enroll a child with a disability as soon as a place for the child is open. This may force a provider to accept a child with a disability into the program without being legally able to administer the child's medication under New York State law. If this situation occurs, the provider and the parent or guardian must meet and put together a written plan of how the child's medication will be provided while the provider becomes authorized to administer medications. **In no way does this plan allow the provider or any other individual working for or with the provider, who is not already legally able to administer medications to a child, to administer medications to a child with a disability while the provider pursues authorization to administer medications.**

When developing the necessary plan to maintain a minimal capacity to meet the needs of any child with a disability currently or potentially served by the program, the number of staff authorized to administer medications need only reflect the ability to respond to these limited medication needs. However, the staffing does need to be sufficient to cover all shifts of care offered by the program where medications would have to be administered.

OCFS recommends that providers who have opted not to administer medications have a detailed plan in place on how they plan to comply with the ADA should the situation arise where they must administer medications to comply with the ADA. That plan should include familiarity with the steps necessary to become authorized to administer medications and who the provider would contact to begin the process to become authorized to administer medications. The plan should also include some ideas on how a child with a disability, if enrolled before the provider is authorized to administer medications, will receive medications during the time the provider becomes authorized to administer medications.

If a provider is or becomes authorized to administer medications so that he/she may administer medications to a child with a disability in the program in order to

comply with the ADA, the program may decide whether it will or will not also administer medications to other children in the program who do not have a disability. Providers do not have to administer medications, even though they are authorized by OCFS to do so, to any children who do not have a disability.

Finally, all day care programs that already serve a child with a disability or that have a child with a disability seek enrollment in the program should be authorized to administer medications in New York State in the manner specified in the OCFS regulations.

Additional Questions and Resources

Question: If a licensor/registrar believes that a day care program has opted not to administer medication to a child with a disability and their decision is believed to be a violation of the day care regulation, what action should the licensor/registrar take?

Answer: There are a number of actions that a licensor/registrar must take

- They must cite the provider for violating the regulation and follow OCFS guidelines for substantiating the violation.
- They must offer to give the program ADA resource materials and refer them to the US Department of Justice's information Line. **(1-800-514-0301)**
- If the parent of the child with a disability contacts the licensor/registrar, he/she must share with the parent contact information for the US Department of Justice. While OCFS is empowered to substantiate a violation of its regulations, OCFS does not enforce the ADA. The US Department of Justice is the appropriate governmental body to follow-up on the program's non-compliance with the ADA .

Question: What is the ADA's Definition of "Disability?"

Answer: Under the ADA, a person is disabled if he/she:

- Has a physical or mental impairment that substantially limits one or more major life activity;
- Has a record of such impairment; or
- Is regarded as having such an impairment.

Question: Can the meaning of “impairment that limits one or more major life activity” be detailed?

Answer: The following may help to break the meaning of a major life activity down into simpler terms. The term “impairment” refers to a condition in which there is a partial or total inability by a person to perform a social, occupational, or other activity. The term “major life activity” is not defined by the ADA, and as such, we are obligated to construe it in accordance with its common meaning. Major life activities, therefore, would include the various activities embraced within the scope of one's life. For example, walking, seeing, hearing, speaking, breathing, learning and working are major life activities.

Question: What if the impairment is intermittent?

Answer: When impairment creates small or intermittent limitations (Example seizure disorders) the focus should be on the effects of the overall impairment. A person cannot

be said to have a disability one day and not the next day. If the impairment is the type that manifests its symptoms on some days but not all days, and goes on intermittently throughout one's life than the person is said to have impairment.

Question: Can a person be regarded as having a disability because others believe they have an impairment or treat them as if they do?

Answer: Yes, a disability can be claimed if the person's impairment substantially limits major life activities only as a result of the attitudes of others toward the impairment. For example, a child who has a facial abnormality may be shunned or rejected for enrollment at a day care center because of a perceived notion that the child is either contagious or will scare others. This child's parent may claim that their child is being discriminated against because of a disability.

Question: Where would a parent file a complaint if they believed their child is being discriminated against because of a disability?

Answer: Complaints about violations of title III by public accommodations and commercial facilities should be filed with:

**U.S. Department of Justice
Civil Rights Division
950 Pennsylvania Avenue, N.W.
Disability Rights Section - NYAV
Washington, D.C. 20530**

N.B. If the parent wants their complaint to be considered for referral to the Department of Justice's ADA Mediation Program, they should mark "Attention: Mediation" on the outside of the envelope.

The US Department of Justice also operates a toll-free ADA Information Line to provide information and publications to the public about the requirements of the ADA. Foreign language services are also available. To obtain general ADA information, get answers to technical questions, order free ADA materials, or ask about filing a complaint, parents may call: 800-514-0301 (voice) 800-514-0383 (TTY)

A person may also report violations of day care regulations to the New York State Office of Children and Family Service's **Child Care Complaint Line** by calling:
1-(800) 732-5207

Question: Are there any cases or mediations that reflect the US Department of Justice's views on ADA cases that relate to child care programs?

Answer: Yes. United States vs. Happy Time Day Care Center, Kiddie Ranch and ABC Nursery. The US Department of Justice has also resolved three matters through formal settlement agreements with the Sunshine Child Center, KinderCare Learning Centers, and La Petite Academy. Summaries and details of these materials may be found on the ADA website or by contacting the US Department of Justice.

Conclusion

Attached is a list from the US Department Of Justice of commonly asked questions addressing some of the issues discussed above and providing guidance on other issues concerning the ADA. Providers with questions about the ADA are encouraged to review this material for additional guidance. Providers with specific ADA issues should contact the Department of Justice about these issues at: 1-800-514-0301.

In addition, the ADA Home Page, which is updated frequently, contains the US Department of Justice's regulations and technical assistance materials, as well as, press releases on ADA cases and other issues. The home page may be found at: www.usdoj.gov/crt/ada/adahom1.htm



Approved [X] Date 3-28-06

A handwritten signature in black ink, appearing to read "Jayanne J. Smith". The signature is written in a cursive style.

U.S. Department of Justice

Civil Rights Division

Disability Rights Section

**COMMONLY ASKED QUESTIONS ABOUT CHILD
CARE CENTERS AND THE AMERICANS WITH
DISABILITIES ACT**

Coverage

1. Q: Does the Americans with Disabilities Act -- or "ADA" -- apply to child care centers?

A: Yes. Privately-run child care centers -- like other public accommodations such as private schools, recreation centers, restaurants, hotels, movie theaters, and banks -- must comply with title III of the ADA. Child care services provided by government agencies, such as Head Start, summer programs, and extended school day programs, must comply with title II of the ADA. Both titles apply to a child care center's interactions with the children, parents, guardians, and potential customers that it serves.

A child care center's employment practices are covered by other parts of the ADA and are not addressed here. For more information about the ADA and employment practices, please call the Equal Employment Opportunity Commission (see question 30).

2. Q: Which child care centers are covered by title III?

A: Almost all child care providers, regardless of size or number of employees, must comply with title III of the ADA. Even small, home-based centers that may not have to follow some State laws are covered by title III.

The exception is child care centers that are actually run by religious entities such as churches, mosques, or synagogues. Activities controlled by religious organizations are not covered by title III.

Private child care centers that are operating on the premises of a religious organization, however, are generally **not** exempt from title III. Where such areas are leased by a child care program not controlled or operated by the religious organization, title III applies to the child care program but not the religious organization. For example, if a private child care program is operated out of a church, pays rent to the church, and has no other connection to the church, the program has to comply with title III but the church does not.

General Information

3. Q: What are the basic requirements of title III?

A: The ADA requires that child care providers not discriminate against persons with disabilities on the basis of disability, that is, that they provide children and parents with disabilities with an equal opportunity to participate in the child care center's programs and services. Specifically:

- Centers cannot exclude children with disabilities from their programs unless their presence would pose a *direct threat* to the health or safety of others or require a *fundamental alteration* of the program.
- Centers have to make *reasonable modifications* to their policies and practices to integrate children, parents, and guardians with disabilities into their programs unless doing so would constitute a *fundamental alteration*.
- Centers must provide appropriate auxiliary aids and services needed for *effective communication* with children or adults with disabilities, when doing so would not constitute an *undue burden*.
- Centers must generally make their facilities accessible to persons with disabilities. Existing facilities are subject to the *readily achievable* standard for barrier removal, while newly constructed facilities and any altered portions of existing facilities must be *fully accessible*.

4. Q: How do I decide whether a child with a disability belongs in my program?

A: Child care centers cannot just assume that a child's disabilities are too severe for the child to be integrated successfully into the center's child care program. The center must make an *individualized assessment* about whether it can meet the particular

needs of the child without fundamentally altering its program. In making this assessment, the caregiver must not react to unfounded preconceptions or stereotypes about what children with disabilities can or cannot do, or how much assistance they may require. Instead, the caregiver should talk to the parents or guardians and any other professionals (such as educators or health care professionals) who work with the child in other contexts. Providers are often surprised at how simple it is to include children with disabilities in their mainstream programs.

Child care centers that are accepting new children are not required to accept children who would pose a *direct threat* (see question 8) or whose presence or necessary care would *fundamentally alter* the nature of the child care program.

5. Q: My insurance company says it will raise our rates if we accept children with disabilities. Do I still have to admit them into my program?

A: Yes. Higher insurance rates are not a valid reason for excluding children with disabilities from a child care program. The extra cost should be treated as overhead and divided equally among all paying customers.

6. Q: Our center is full and we have a waiting list. Do we have to accept children with disabilities ahead of others?

A: No. Title III does not require providers to take children with disabilities out of turn.

7. Q: Our center specializes in "group child care." Can we reject a child just because she needs individualized attention?

A: No. Most children will need individualized attention occasionally. If a child who needs one-to-one attention due to a disability can be integrated without fundamentally altering a child care program, the child cannot be excluded solely because the child needs one-to-one care.

For instance, if a child with Down Syndrome and significant mental retardation applies for admission and needs one-to-one care to benefit from a child care program, and a personal assistant will be provided at no cost to the child care center (usually by the parents or through a government program), the child cannot be excluded from the program solely because of the need for one-to-one care. Any modifications necessary to integrate such a child must be made if they are reasonable and would not fundamentally alter the program. This is not to suggest that all children with Down Syndrome need one-to-one care or must be accompanied by a personal assistant in order to be successfully integrated into a mainstream child care program. As in other cases, an *individualized assessment* is required. But the ADA generally does not require centers to hire additional staff or provide constant one-to-one supervision of a particular child with a disability.

8. Q: What about children whose presence is dangerous to others? Do we have to take them, too?

A: No. Children who pose a *direct threat*-- a substantial risk of serious harm to the health and safety of others -- do not have to be admitted into a program. The determination that a child poses a direct threat may not be based on generalizations or stereotypes about the effects of a particular disability; it must be based on an *individualized assessment* that considers the particular activity and the actual abilities and disabilities of the individual.

In order to find out whether a child has a medical condition that poses a significant health threat to others, child care providers may ask all applicants whether a child has any diseases that are communicable through the types of incidental contact expected to occur in child care settings. Providers may also inquire about specific conditions, such as active infectious tuberculosis, that in fact pose a direct threat.

9. Q: One of the children in my center hits and bites other children. His parents are now saying that I can't expel him because his bad behavior is due to a disability. What can I do?

A: The first thing the provider should do is try to work with the parents to see if there are reasonable ways of curbing the child's bad behavior. He may need extra naps, "time out," or changes in his diet or medication. If reasonable efforts have been made and the child continues to bite and hit children or staff, he may be expelled from the program even if he has a disability. The ADA does not require providers to take any action that would pose a *direct threat*-- a substantial risk of serious harm -- to the health or safety of others. Centers should not make assumptions, however, about how a child with a disability is likely to behave based on their

past experiences with other children with disabilities. Each situation must be considered individually.

10. Q: One of the children in my center has parents who are deaf. I need to have a long discussion with them about their child's behavior and development. Do I have to provide a sign language interpreter for the meeting?

A: It depends. Child care centers must provide effective communication to the customers they serve, including parents and guardians with disabilities, unless doing so poses an undue burden. The person with a disability should be consulted about what types of auxiliary aids and services will be necessary in a particular context, given the complexity, duration, and nature of the communication, as well as the person's communication skills and history. Different types of *auxiliary aids and services* may be required for lengthy parent-teacher conferences than will normally be required for the types of incidental day-to-day communication that take place when children are dropped off or picked up from child care. As with other actions required by the ADA, providers cannot impose the cost of a qualified sign language interpreter or other auxiliary aid or service on the parent or guardian.

A particular auxiliary aid or service is not required by title III if it would pose an *undue burden*, that is, a significant difficulty or expense, relative to the center or parent company's resources.

11. Q: We have a "no pets" policy. Do I have to allow a child with a disability to bring a service animal, such as a seeing eye dog?

A: Yes. A service animal is **not** a pet. The ADA requires you to modify your "no pets" policy to allow the use of a service animal by a person with a disability. This does not mean that you must abandon your "no pets" policy altogether, but simply that you must make an exception to your general rule for service animals.

12. Q: If an older child has delayed speech or developmental disabilities, can we place that child in the infant or toddler room?

A: Generally, no. Under most circumstances, children with disabilities must be placed in their age-appropriate classroom, unless the parents or guardians agree otherwise.

13. Q: Can I charge the parents for special services provided to a child with a disability, provided that the charges are reasonable?

A: It depends. If the service is required by the ADA, you cannot impose a surcharge for it. It is only if you go beyond what is required by law that you can charge for those services. For instance, if a child requires complicated medical procedures that can only be done by licensed medical personnel, and the center does not normally have such personnel on staff, the center would not be required to provide the medical services under the ADA. If the center chooses to go beyond its legal obligation and provide the services, it may charge the parents or guardians accordingly. On the other hand, if a center is asked to do simple procedures that are required by the ADA -- such as finger-prick blood glucose tests for children with diabetes (see question 20) -- it cannot charge the

parents extra for those services. To help offset the costs of actions or services that are required by the ADA, including but not limited to architectural barrier removal, providing sign language interpreters, or purchasing adaptive equipment, some tax credits and deductions may be available (see question 24).

Personal Services

14. Q: Our center has a policy that we will not give medication to any child. Can I refuse to give medication to a child with a disability?

A: No. In some circumstances, it may be necessary to give medication to a child with a disability in order to make a program accessible to that child. While some state laws may differ, generally speaking, as long as reasonable care is used in following the doctors' and parents' or guardians written instructions about administering medication, centers should not be held liable for any resulting problems. Providers, parents, and guardians are urged to consult professionals in their state whenever liability questions arise.

15. Q: We diaper young children, but we have a policy that we will not accept children more than three years of age who need diapering. Can we reject children older than three who need diapering because of a disability?

A: Generally, no. Centers that provide personal services such as diapering or toileting assistance for young children must reasonably modify their policies and provide diapering services for older children who need it due to a disability. Generally speaking, centers that diaper infants should diaper older children with disabilities when they would not have to leave other children unattended to do so.

Centers must also provide diapering services to young children with disabilities who may need it more often than others their age.

Some children will need assistance in transferring to and from the toilet because of mobility or coordination problems. Centers should not consider this type of assistance to be a "personal service."

16. Q: We do not normally diaper children of any age who are not toilet trained. Do we still have to help older children who need diapering or toileting assistance due to a disability?

A: It depends. To determine when it is a reasonable modification to provide diapering for an older child who needs diapering because of a disability and a center does not normally provide diapering, the center should consider factors including, but not limited to, (1) whether other non-disabled children are young enough to need intermittent toileting assistance when, for instance, they have accidents; (2) whether providing toileting assistance or diapering on a regular basis would require a child care provider to leave other children unattended; and (3) whether the center would have to purchase diapering tables or other equipment.

If the program never provides toileting assistance to any child, however, then such a personal service would not be required for a child with a disability. Please keep in mind that even in these circumstances, the child could not be excluded from the program because he or she was not toilet trained if the center can make other arrangements, such as having a parent or personal assistant come and do the diapering.

Issues Regarding Specific Disabilities

17. Q: Can we exclude children with HIV or AIDS from our program to protect other children and employees?

A: No. Centers cannot exclude a child solely because he has HIV or AIDS. According to the vast weight of scientific authority, HIV/AIDS cannot be easily transmitted during the types of incidental contact that take place in child care centers. Children with HIV or AIDS generally can be safely integrated into all activities of a child care program. Universal precautions, such as wearing latex gloves, should be used whenever caregivers come into contact with children's blood or bodily fluids, such as when they are cleansing and bandaging playground wounds. This applies to the care of all children, whether or not they are known to have disabilities.

18. Q: Must we admit children with mental retardation and include them in all center activities?

A: Centers cannot generally exclude a child just because he or she has mental retardation. The center must take reasonable steps to integrate that child into every activity provided to others. If other children are included in group sings or on playground expeditions, children with disabilities should be included as well. Segregating children with disabilities is not acceptable under the ADA.

19. Q: What about children who have severe, sometimes life-threatening allergies to bee stings or certain foods? Do we have to take them?

A: Generally, yes. Children cannot be excluded on the sole basis that they have been identified as having severe allergies to bee stings or certain foods. A center needs to be prepared to take appropriate steps in the event of an allergic reaction, such as administering a medicine called "epinephrine" that will be provided in advance by the child's parents or guardians.

The Department of Justice's settlement agreement with La Petite Academy addresses this issue and others (see question 26).

20. Q: What about children with diabetes? Do we have to admit them to our program? If we do, do we have to test their blood sugar levels?

A: Generally, yes. Children with diabetes can usually be integrated into a child care program without fundamentally altering it, so they should not be excluded from the program on the basis of their

diabetes. Providers should obtain written authorization from the child's parents or guardians and physician and follow their directions for simple diabetes-related care. In most instances, they will authorize the provider to monitor the child's blood sugar -- or "blood glucose" -- levels before lunch and whenever the child appears to be having certain easy-to-recognize symptoms of a low blood sugar incident. While the process may seem uncomfortable or even frightening to those unfamiliar with it, monitoring a child's blood sugar is easy to do with minimal training and takes only a minute or two. Once the caregiver has the blood sugar level, he or she must take whatever simple actions have been recommended by the child's parents or guardians and doctor, such as giving the child some fruit juice if the child's blood sugar level is low. The child's parents or guardians are responsible for providing all appropriate testing equipment, training, and special food necessary for the child.

The Department of Justice's settlement agreements with KinderCare and La Petite Academy address this issue and others (see question 26).

21. Q: Do we have to help children take off and put on their leg braces and provide similar types of assistance to children with mobility impairments?

A: Generally, yes. Some children with mobility impairments may need assistance in taking off and putting on leg or foot braces during the child care day. As long as doing so would not be so time consuming that other children would have to be left unattended, or so complicated that it can only be done by licensed health care

professionals, it would be a *reasonable modification* to provide such assistance.

The Department of Justice's settlement agreement with the Sunshine Child Center of Gillett, Wisconsin, addresses this issue and others (see question 26).

Making the Child Care Facility Accessible

22. Q: How do I make my child care center's building, playground, and parking lot accessible to people with disabilities?

A: Even if you do not have any disabled people in your program now, you have an ongoing obligation to remove barriers to access for people with disabilities. Existing privately-run child care centers must remove those architectural barriers that limit the participation of children with disabilities (or parents, guardians, or prospective customers with disabilities) if removing the barriers is *readily achievable*, that is, if the barrier removal can be easily accomplished and can be carried out without much difficulty or expense. Installing offset hinges to widen a door opening, installing grab bars in toilet stalls, or rearranging tables, chairs, and other furniture are all examples of barrier removal that might be undertaken to allow a child in a wheelchair to participate in a child care program. Centers run by government agencies must insure that their programs are accessible unless making changes imposes

an undue burden; these changes will sometimes include changes to the facilities.

23. Q: We are going to build a new facility. What architectural standards do we have to follow to make sure that our facility is accessible to people with disabilities?

A: Newly constructed privately-run child care centers -- those designed and constructed for first occupancy after January 26, 1993 -- must be readily accessible to and usable by individuals with disabilities. This means that they must be built in strict compliance with the ADA Standards for Accessible Design. New centers run by government agencies must meet either the ADA Standards or the Uniform Federal Accessibility Standards.

Tax Provisions

24. Q: Are there tax credits or deductions available to help offset the costs associated with complying with the ADA?

A: To assist businesses in complying with the ADA, Section 44 of the IRS Code allows a tax credit for small businesses and Section 190 of the IRS Code allows a tax deduction for all businesses.

The tax credit is available to businesses that have total revenues of \$1,000,000 or less in the previous tax year or 30 or fewer full-time

employees. This credit can cover 50% of the eligible access expenditures in a year up to \$10,250 (maximum credit of \$5,000). The tax credit can be used to offset the cost of complying with the ADA, including, but not limited to, undertaking barrier removal and alterations to improve accessibility; provide sign language interpreters; and for purchasing certain adaptive equipment.

The tax deduction is available to all businesses with a maximum deduction of \$15,000 per year. The tax deduction can be claimed for expenses incurred in barrier removal and alterations.

To order documents about the tax credit and tax deduction provisions, contact the Department of Justice's ADA Information Line (see question 30).

The Department of Justice's Enforcement Efforts

25. Q: What is the Department of Justice's enforcement philosophy regarding title III of the ADA?

A: Whenever the Department receives a complaint or is asked to join an on-going lawsuit, it first investigates the allegations and tries to resolve them through informal or formal settlements. The vast majority of complaints are resolved voluntarily through these efforts. If voluntary compliance is not forthcoming, the Department may have to litigate and seek injunctive relief, damages for aggrieved individuals, and civil penalties.

26. Q: Has the United States entered into any settlement agreements involving child care centers?

A: The Department has resolved three matters through formal settlement agreements with the Sunshine Child Center, KinderCare Learning Centers, and La Petite Academy.

- In the first agreement, Sunshine Child Center in Gillett, Wisconsin, agreed to: (1) provide diapering services to children who, because of their disabilities, require diapering more often or at a later age than nondisabled children; (2) put on and remove the complainant's leg braces as necessary; (3) ensure that the complainant is not unnecessarily segregated from her age-appropriate classroom; (4) engage in readily achievable barrier removal to its existing facility; and (5) design and construct its new facility (planned independently of the Department's investigation) in a manner that is accessible to persons with disabilities.

- In 1996, the Department of Justice entered into a settlement agreement with KinderCare Learning Centers -- the largest chain of child care centers in the country -- under which KinderCare agreed to provide appropriate care for children with diabetes, including providing finger-prick blood glucose tests. In 1997, La Petite Academy -- the second-largest chain -- agreed to follow the same procedures.

- In its 1997 settlement agreement with the Department of Justice, La Petite Academy also agreed to keep epinephrine on hand to administer to children who have severe and possibly life-threatening allergy attacks due to exposure to certain foods or bee stings and to make changes to some of its programs so that children with cerebral palsy can participate.

The settlement agreements and their attachments, including a waiver of liability form and parent and physician authorization form, can be obtained by calling the Department's ADA Information Line or through the Internet (see question 30). Child care centers and parents or guardians should consult a lawyer in their home state to determine whether any changes need to be made before the documents are used.

27. Q: Has the Department of Justice ever sued a child care center for ADA violations?

A: Yes. On June 30, 1997, the United States filed lawsuits against three child care providers for refusing to enroll a four-year-old child because he has HIV. See **United States v. Happy Time Day Care Center**, (W.D. Wisc.); **United States v. Kiddie Ranch**, (W.D. Wisc.); and **United States v. ABC Nursery, Inc.** (W.D. Wisc.).

28. Q: Does the United States ever participate in lawsuits brought by private citizens?

A: Yes. The Department sometimes participates in private suits either by intervention or as *amicus curiae* -- "friend of the court." One suit in which the United States participated was brought by a disability rights group against KinderCare Learning Centers. The United States supported the plaintiff's position that KinderCare had to make its program accessible to a boy with multiple disabilities including mental retardation. The litigation resulted in KinderCare's

agreement to develop a model policy to allow the child to attend one of its centers with a state-funded personal assistant.

Additional Resources

29. Q: Are there any reference books or video tapes that might help me further understand the obligations of child care providers under title III?

A: Through a grant from the Department of Justice, The Arc published *All Kids Count: Child Care and the ADA*, which addresses the ADA's obligations of child care providers. Copies are available for a nominal fee by calling The Arc's National Headquarters in Arlington, Texas:

800-433-5255 (voice)

800-855-1155 (TDD)

Under a grant provided by the Department of Justice, Eastern Washington University (EWU) produced eight 5-7 minute videotapes and eight accompanying booklets on the ADA and child care providers. The videos cover different ADA issues related to child care and can be purchased as a set or individually by contacting the EWU at:

509-623-4246 (voice)

TDD: use relay service

30. Q: I still have some general questions about the ADA. Where can I get more information?

A: The Department of Justice operates an ADA Information Line. Information Specialists are available to answer general and technical questions during business hours on the weekdays. The Information Line also provides 24-hour automated service for ordering ADA materials and an automated fax back system that delivers technical assistance materials to fax machines or modems.

800-514-0301 (voice)

800-514-0383 (TDD)

The ADA Home Page, which is updated frequently, contains the Department of Justice's regulations and technical assistance materials, as well as press releases on ADA cases and other issues. Several settlement agreements with child care centers are also available on the Home Page.

www.usdoj.gov/crt/ada/adahom1.htm

The Department of Justice also operates an ADA Electronic Bulletin Board, on which a wide variety of information and documents are available.

202-514-6193 (by computer modem)

There are ten regional Disability and Business Technical Assistance Centers, or DBTAC's, that are funded by the Department of Education to provide technical assistance under the ADA. One toll-free number connects to the center in your region.

800-949-4232 (voice & TDD)

The Access Board offers technical assistance on the ADA Accessibility Guidelines.

800-872-2253 (voice)

800-993-2822 (TDD)

Electronic Bulletin Board

202-272-5448

The Equal Employment Opportunity Commission, or EEOC, offers technical assistance on the ADA provisions for employment which apply to businesses with 15 or more employees.

Employment questions

800-669-4000 (voice)

800-669-6820 (TDD)

Employment documents

800-669-3362 (voice)

800-800-3302 (TDD)

If you have further questions about child care centers or other requirements of the ADA, you may call the U.S. Department of Justice's toll-free ADA Information Line at: 800-514-0301 (voice) or 800-514-0383 (TDD).

Note: Reproduction of this document is encouraged.

10/97