

April 1, 2018 - March 31, 2024 Niagara County Plan—2023 Annual Update
Child Care Assistance Program (CCAP)

The CCAP section of the plan is effective on the date that it is approved by OCFS. The approval date for the CCAP section of the plan can be found on [the OCFS website](#).

Part One: NYS Child Care Block Grant

I. Administration

Instructions

1. Total Estimated Funds Available: \$4284807.00
2. Projected spending for FFY 2023–2024: \$7000000.00
3. Does your district have a contract or formal agreement with another organization to perform functions using the NYSCCBG?
 Yes No

If "Yes", please provide information about the organizations performing these functions:

Function	Organization	Amount of Contract
<input type="checkbox"/> CCAP eligibility screening	<div style="border: 1px dashed #ccc; height: 20px;"></div>	\$
<input type="checkbox"/> Determining if legally exempt providers meet OCFS-approved additional local standards	<div style="border: 1px dashed #ccc; height: 20px;"></div>	\$
<input type="checkbox"/> Assistance in locating care	<div style="border: 1px dashed #ccc; height: 20px;"></div>	\$
<input type="checkbox"/> Child care information systems	<div style="border: 1px dashed #ccc; height: 20px;"></div>	\$
<input type="checkbox"/> Payment processing	<div style="border: 1px dashed #ccc; height: 20px;"></div>	\$
<input type="checkbox"/> Other <i>Please specify function:</i> <div style="border: 1px dashed #ccc; padding: 2px;">Registering family day care and school-age child care programs</div>	Niagara Community Action Program	\$180824.00

II. Federal and Local Priorities

Instructions

1. Rank the following federally mandated priorities: Very low income, Families who have a child with special needs, Families who are experiencing homelessness.
 - Priority #1: Very low income
 - Priority #2: Families who have a child with special needs
 - Priority #3: Families who are experiencing homelessness
2. Does your district have local priorities?
 Yes No

III. Funding Set Asides

Instructions

1. Does the district plan to set aside portions of its NYSCCBG allocations to serve one or more of its priority populations?
 Yes No

IV. Waiting List

1. The district will establish a waiting list when there are not sufficient NYSCCBG funds to open all eligible cases.

- Yes No

2. Is the district currently utilizing a waiting list due to insufficient NYSCCBG funds to open all eligible cases?

- Yes No

V. District Options of Other Eligible Families (Category 3 Cases)

Please select which families your district chooses to serve:

1. Families Receiving Temporary Assistance:

a. When child care services are necessary for a parent or caretaker to participate in an approved activity in addition to their required work activity

- Yes No

Limitations:

N/A

b. When child care services are necessary for a sanctioned parent or caretaker to participate in unsubsidized employment, whereby the parent or caretaker relative receives earned wages at a level equal to or greater than the minimum amount required under Federal and State Labor Law

- Yes No

Limitations:

N/A

2. Families Receiving Temporary Assistance or Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is participating in an approved substance abuse treatment program, or in screening for or an assessment of the need for substance abuse treatment

- Yes No

Limitations:

N/A

b. When child care services are needed for the child to be protected because the child's caretaker is experiencing homelessness and participating in an approved activity

- Yes No

Limitations:

N/A

c. When child care services are needed for the child to be protected because the child's caretaker is receiving services for victims of domestic violence and participating in an approved activity, or in screening for or an assessment of the need for services for victims of domestic violence

- Yes No

Limitations:

N/A

d. When child care services are needed for the child to be protected because the child's caretaker is in an emergency situation

Yes No

Limitations:

N/A

e. When child care services are needed for the child's caretaker to attend a two-year degree granting program at a community college, a two-year college, or an undergraduate college leading to an associate degree or a certificate of completion

Yes No

Limitations:

N/A

f. When child care services are needed for the child's caretaker to attend a four year college or university program leading to a bachelor's degree provided the program is reasonably expected to improve the earning capacity of the caretaker and the caretaker can demonstrate his or her ability to successfully complete the course of study

Yes No

Limitations:

N/A

3. Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is physically or mentally incapacitated

Yes No

Limitations:

N/A

b. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker is physically or mentally incapacitated

Yes No

Limitations:

N/A

c. When child care services are needed for the child to be protected because the child's caretaker has family duties away from home necessitating their absence

Yes No

d. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker has family duties away from home necessitating their absence

Yes No

e. When child care services are needed for the child's caretaker to actively seek employment for a period of up to six months, if the caretaker documents that he or she is currently registered with a New York State Department of Labor's Division of Employment Services Office

Yes No

f. When child care services are needed for the child's caretaker to attend an educational facility providing a standard high school curriculum offered by or approved by the local school district

Yes No

Limitations:

N/A

g. When child care services are needed for the child's caretaker to attend an education program that prepares an individual to obtain a New York State high school equivalency diploma

Yes No

Limitations:

N/A

h. When child care services are needed for the child's caretaker to attend a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level in those areas

Yes No

Limitations:

N/A

i. When child care services are needed for the child's caretaker to attend a program providing literacy training designed to help individuals improve their ability to read and write

Yes No

Limitations:

N/A

j. When child care services are needed for the child's caretaker to attend an English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose native or primary language is other than English

Yes No

Limitations:

N/A

k. When child care services are needed for the child's caretaker to attend a training program *which has a specific occupational goal* and is conducted by an institution licensed or approved by the State Education Department other than a college or university

Yes No

Limitations:

N/A

l. When child care services are needed for the child's caretaker to attend a prevocational skills training program

Yes No

Limitations:

N/A

m. When child care services are needed for the child's caretaker to attend a demonstration project designed for vocational training or other projects approved by the Department of Labor

Yes No

Limitations:

N/A

n. When child care services are needed for the child's caretaker to participate in a program to train workers in an employment field that currently is, or is likely to be, in demand in the near future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program

Yes No

Limitations:

N/A

Note: Unless care is provided under option 2f, the caretaker must complete the selected educational or vocational programs listed above within 48 consecutive calendar months. The caretaker cannot enroll in more than one program.

4. Families without Regard to Income:

a. A family with an open child protective services case when it is determined on a case-by-case basis that such child care is needed to protect the child

Yes No

Limitations:

N/A

VI. Reasonable Distance

Instructions

The following defines "reasonable distance":

Reasonable distance would equal 1 hour. There are child care facilities that are accessible within this time frame.

Describe any steps / consultations made to arrive at your definition:

Meeting held to make a determination with the DSS Commissioner, Director of Services and Daycare Unit Supervisor.

VII. Transportation

Instructions

Are there circumstances where the district will reimburse for transportation?

Yes No

Describe any circumstances and limitations the district will use to reimburse. Include what type of transportation will be reimbursed (public and / or private) and how much your district will pay (per mile or trip). Note that if the district is paying for transportation, the Matrix in the Welfare Management System (WMS) should reflect this choice.

As determined on a case by case basis with approval needed by DSS Commissioner.

Part Two: Title XX Child Care

Instructions

Does the district use Title XX funds to provide child care?

Yes No

Part Three: District Options that Apply to Both NYSCCBG and Title XX

I. Family Share

Instructions

1. Family Share Percentage selected by the district:

1%

Note: The family share percentage entered here must match the percentage entered in the Matrix in the Welfare Management System (WMS) and the Child Care Time and Attendance (CCTA) system.

2. Additional Description of this family share percentage:

N/A

II. Payment to Child Care Providers for Absences

Instructions

Does the district opt to pay for more than 24 absences per child per provider per year?

Yes No

III. Payment to Child Care Providers for Program Closures

Instructions

Does the district opt to pay for program closures?

Yes No

IV. Differential Payment Rates

Instructions

1. Districts must complete the Differential Payment Rate Percent (%) column in the table below for each of the four differential payment rate categories. The state requires a minimum differential of 5% for licensed and registered providers for homelessness and for all providers for non-traditional hours. For these categories, the district must enter "5%" or, if it chooses a higher rate, up to 15%.

The differential payment rate categories for legally exempt providers for homelessness and for nationally accredited programs are optional. If the district chooses not to set differential payment rates, the district must enter zero. If the district chooses to set a differential payment rate, enter the appropriate percentage up to 15 percent (15%). If the district selects a differential payment rate for nationally accredited programs, that rate must be in the range of five percent (5%) to 15 percent (15%). If the district selects a differential payment rate for legally exempt providers for homelessness, the rate may be from 1 percent (1%) to 15 percent (15%).

Differential Payment Rate Category	Differential Payment Rate Percent	Instructions
Homelessness: Licensed and Registered Providers <i>State required minimum of 5%</i>	5%	Enter a percentage (%): 5% to 15% (Must enter at least 5%)
Homelessness: Legally Exempt Providers	0%	Enter 0% or a percentage (%) up to 15%
Non-traditional Hours: All Providers <i>State required minimum of 5%</i>	5%	Enter a percentage (%): 5% to 15% (Must enter at least 5%)
Nationally Accredited Programs: Licensed and Registered Providers <i>Legally exempt child care providers are not eligible for a differential payment rate for accreditation.</i>	0%	Enter 0% or a percentage (%) from 5% to 15%

2. For providers that qualify for multiple differential payment rates, the total percentage may not exceed 25% above the applicable market rate or actual cost of care. However, a district may request a waiver from the Office to establish a payment rate that is in excess of 25% above the applicable market rate upon showing that the 25% maximum is insufficient to provide access within the district to child care providers or services that offer care addressing more than one of the differential payment rate categories. If your district wants to establish a total differential payment rate that is more than 25% above the applicable market rate, describe below why the 25% maximum is insufficient to provide access to such child care providers or services.

N/A

V. Increased Enhanced Market Rate for Legally Exempt Family and In-Home Child Care Providers

Instructions

1. The enhanced market rate for legally exempt family and legally exempt in-home child care providers who have completed 10 or more hours of training annually is set at 70% of the applicable market rates established for registered family day care. Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally exempt family and in-home child care providers who have completed 10 or more hours of training annually and the training has been verified by the legally exempt caregiver enrollment agency.

No Yes

2. If yes, indicate the percent (71%–75%), not to exceed 75%, of the child care market rate established for registered family day care.

%

VI. Enhanced Market Rates for Legally Exempt Group Child Care Programs

Instructions

Answer both questions:

1. Indicate if the district is electing to establish an enhanced market rate for child care services provided by legally exempt group child care programs that have prepared an approved health care plan and have at least one caregiver in each classroom with age appropriate cardiopulmonary resuscitation (CPR) certification and the enhanced requirements have been verified by the enrollment agency.

Yes No

2. Indicate if the district is electing to establish an enhanced market rate for child care services provided by legally exempt group child care programs when, in addition to the training required in 18 NYCRR §415.13, the director has completed an approved course and a minimum of 15 hours of approved training annually and each employee with a caregiving role completes a minimum of 5 hours of approved training annually and the enhanced requirements have been verified by the enrollment agency.

Yes No

If a district chooses to establish both legally exempt group child care enhanced rates and a program is eligible for both enhanced rates, then the enhanced market rate must be based on the percentages selected for each individual market rate, up to a maximum of 87%.

VII. Sleep

Instructions

Does the district choose to expand eligibility for child care assistance beyond the requirements of 18 NYCRR §415.4?

Yes No

VIII. Child Care Exceeding 24 Hours

Does the district choose to pay for child care services that exceed 24 hours?

Yes No

IX. Child Care Services Unit (CCSU)

Instructions

Does the district choose to include 18-, 19-, and / or 20-year-olds in the CCSU?

Yes No

X. Waivers

Instructions

Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Does the district choose to request a waiver(s)?

Yes No

XI. Notices

Instructions

1. The district has chosen to use local equivalent(s) of OCFS required form(s).

Yes No

2. The district elects to use the OCFS-6025, Application for Child Care Assistance (the local district may add the district name and contact information to the form).

Yes No

Part Four: Additional Local Standards for Child Care Providers

The district may propose local standards in addition to the State standards for legally exempt providers who will receive child care assistance payments issued by the district. This section must be completed for each additional local standard that the district wants to implement.

Instructions

The district may propose local standards in addition to the State standards for legally exempt providers who will receive child care assistance payments issued by the district. This section must be completed for each additional local standard that the district wants to implement.

The district must coordinate with the local Enrollment Agency, including, but not limited to:

- Informing the Enrollment Agency of the intent to request an additional standard.
- Developing the stepwise process referenced in Question 6.
- Ensuring that no significant burden of work shall be incurred by the Enrollment Agency as a result of the additional local standard, unless such work is addressed in a separate contract or a formal agreement is in place, which are referenced in Question 4.
- Sharing any consent / release form that may be required.
- Keeping the Enrollment Agency informed of the approval status.

Please note: Districts must consider any additional workloads created when deciding to implement additional standards. Districts are responsible for ensuring that all steps related to additional standards are completed in a timely manner. Failure to do so may impact OCFS' continued approval of any / all additional standards.

1. Select the additional local standard that will be required of child care providers / programs.

- Verification, using the district's local records, that the provider has given the caretaker complete and accurate information regarding any report of child abuse or maltreatment in which he or she is named as an indicated subject (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)
- Local criminal background check (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)
- Site visits by the district
- Other
Please describe:

2. Check below the type of child care program to which the additional local standard will apply:

- Legally exempt relative-only family child care program
- Legally exempt relative-only in-home child care program
- Legally exempt non-relative family child care program
- Legally exempt non-relative in-home child care program
- Legally exempt group program

In cases where the standard is person-specific, indicate the roles of the persons to whom the additional local standard will apply:

Legally exempt relative-only family child care program

- Provider
- Provider's employee
- Provider's volunteer
- Provider's household member age 18 or older

Legally exempt relative-only in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt family child care program

- Provider
- Provider's employee
- Provider's volunteer
- Provider's household member age 18 or older

Legally exempt in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt group program

- Director
- Employee
- Volunteer

3. Exceptions: There may be instances when the district may be unable to enact the additional standard, such as, the applicable person may reside outside of the district's jurisdiction, or the site of care may not be located within the district. In such cases, the district may create an exception to the applicability stated above.

Note: The Child Care Facility System cannot track such exceptions and, therefore, the district remains responsible for notifying the applicable Enrollment Agency, using the OCFS-2114, District Notification to Legally Exempt Caregiver Enrollment Agency, that an additional standard is "not applicable" to the specific provider / person named on the referral list.

Place a checkmark below to show any exception to the applicability of this Local Additional Standard to programs or roles previously identified.

- a. The district will not apply this additional local standard when the applicable person **resides** outside of the district paying child care assistance.
- b. The district will not apply this additional local standard when the **program's site of care is located outside** of the district paying child care assistance.

4. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.

- Local social services staff
- Contracted agency

5. Are there any fees or other costs associated with the additional local standard?

- Yes
- No

Note: Costs associated with the additional local standard cannot be passed on to the provider.

6. Describe, in chronological order, the steps for conducting the additional local standard. Include all agencies involved and their roles. Note that the district's procedures must be in accordance with OCFS Policy.

a. Is a release form required to conduct the local standard?

- Yes
- No

b. If yes, please explain how the release will be obtained and indicate if the enrollment packet will be considered incomplete if the required release forms are not obtained. All release forms must be uploaded in the [Plan Documents](#) area in order to be reviewed and approved as part of the plan.

c. Describe, in detail, how the district will retrieve referrals from CCFS:

d. Describe, in detail, how the district will communicate with providers and other applicable persons:

e. Describe in detail the steps the district takes to determine compliance with the additional local standard:

f. Describe in detail how the additional local standard is met:

g. Describe in detail how the additional local standard is not met:

h. Describe in detail when the additional local standard is not applicable:

i. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has been met:

j. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has not been met:

[Empty dashed box for response to question j]

k. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard is not applicable:

[Empty dashed box for response to question k]

l. Describe in detail how the district will monitor the timeliness of conducting the additional standard and reporting the decision (met / not met / not applicable) to the Enrollment Agency.

[Empty dashed box for response to question l]

m. Indicate how frequently the additional local standard will be applied.

The Standard will be applied (check all that apply):

- At initial enrollment and re-opening
- At each re-enrollment

n. Describe the justification for the additional local standard in the space below.

[Empty dashed box for response to question n]

Instructions

The district may propose local standards in addition to the State standards for legally exempt providers who will receive child care assistance payments issued by the district. This section must be completed for each additional local standard that the district wants to implement.

The district must coordinate with the local Enrollment Agency, including, but not limited to:

- Informing the Enrollment Agency of the intent to request an additional standard.
- Developing the stepwise process referenced in Question 6.
- Ensuring that no significant burden of work shall be incurred by the Enrollment Agency as a result of the additional local standard, unless such work is addressed in a separate contract or a formal agreement is in place, which are referenced in Question 4.
- Sharing any consent / release form that may be required.
- Keeping the Enrollment Agency informed of the approval status.

Please note: Districts must consider any additional workloads created when deciding to implement additional standards. Districts are responsible for ensuring that all steps related to additional standards are completed in a timely manner. Failure to do so may impact OCFS' continued approval of any / all additional standards.

1. Select the additional local standard that will be required of child care providers / programs.

- Verification, using the district's local records, that the provider has given the caretaker complete and accurate information regarding any report of child abuse or maltreatment in which he or she is named as an indicated subject (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)
- Local criminal background check (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)
- Site visits by the district
- Other

Please describe:

[Empty dashed box for description of other standard]

2. Check below the type of child care program to which the additional local standard will apply:

- Legally exempt relative-only family child care program
- Legally exempt relative-only in-home child care program
- Legally exempt non-relative family child care program
- Legally exempt non-relative in-home child care program
- Legally exempt group program

In cases where the standard is person-specific, indicate the roles of the persons to whom the additional local standard will apply:

Legally exempt relative-only family child care program

- Provider
- Provider's employee
- Provider's volunteer

Provider's household member age 18 or older

Legally exempt relative-only in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt family child care program

- Provider
- Provider's employee
- Provider's volunteer
- Provider's household member age 18 or older

Legally exempt in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt group program

- Director
- Employee
- Volunteer

3. Exceptions: There may be instances when the district may be unable to enact the additional standard, such as, the applicable person may reside outside of the district's jurisdiction, or the site of care may not be located within the district. In such cases, the district may create an exception to the applicability stated above.

Note: The Child Care Facility System cannot track such exceptions and, therefore, the district remains responsible for notifying the applicable Enrollment Agency, using the OCFS-2114, District Notification to Legally Exempt Caregiver Enrollment Agency, that an additional standard is "not applicable" to the specific provider / person named on the referral list.

Place a checkmark below to show any exception to the applicability of this Local Additional Standard to programs or roles previously identified.

- a. The district will not apply this additional local standard when the applicable person **resides** outside of the district paying child care assistance.
- b. The district will not apply this additional local standard when the **program's site of care is located outside** of the district paying child care assistance.

4. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.

- Local social services staff
- Contracted agency

5. Are there any fees or other costs associated with the additional local standard?

- Yes
- No

Note: Costs associated with the additional local standard cannot be passed on to the provider.

6. Describe, in chronological order, the steps for conducting the additional local standard. Include all agencies involved and their roles. Note that the district's procedures must be in accordance with OCFS Policy.

N/A

a. Is a release form required to conduct the local standard?

- Yes
- No

b. If yes, please explain how the release will be obtained and indicate if the enrollment packet will be considered incomplete if the required release forms are not obtained. All release forms must be uploaded in the [Plan Documents](#) area in order to be reviewed and approved as part of the plan.

N/A

c. Describe, in detail, how the district will retrieve referrals from CCFS:

N/A

d. Describe, in detail, how the district will communicate with providers and other applicable persons:

N/A

e. Describe in detail the steps the district takes to determine compliance with the additional local standard:

N/A

f. Describe in detail how the additional local standard is met:

N/A

g. Describe in detail how the additional local standard is not met:

N/A

h. Describe in detail when the additional local standard is not applicable:

N/A

i. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has been met:

N/A

j. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has not been met:

N/A

k. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard is not applicable:

N/A

l. Describe in detail how the district will monitor the timeliness of conducting the additional standard and reporting the decision (met / not met / not applicable) to the Enrollment Agency.

N/A

m. Indicate how frequently the additional local standard will be applied.

The Standard will be applied (check all that apply):

- At initial enrollment and re-opening
- At each re-enrollment

n. Describe the justification for the additional local standard in the space below.

N/A

Part Five: Fraud and Abuse Control Activities

I. Front End Detection System (FEDS) Plan

Each district must submit a CCAP FEDS plan as part of their CFSP. The Front End Detection System (FEDS) is an anti-fraud tool that is designed to identify erroneous or fraudulent information on an assistance application before a case is opened and any benefits are issued.

Instructions

1. Which of the following indicators, if any, does the district use to determine which CCAP applications suggest a higher than acceptable risk for fraudulent or erroneous CCAP payments?

- P.O. Box supplied as a mailing address without a reasonable explanation
- Applicant has a history of denial, case closing or overpayment, resulting from an investigation
- Applicant is self-employed, but cannot provide adequate business records
- Primary tenant with no utility bills in their name
- Information on application is inconsistent with prior case information
- Applicant unsure of their own address
- Applicant cannot supply documentation to verify identity, or identity is suspect

- Documentation or information provided by applicant is inconsistent with the application
- Child care provider lives in the same household as the child
- No absent parent information or information is inconsistent with the application
- Other
Please specify:

2. Please describe the step-by-step FEDS process for CCAP applications.

a. Describe how the application is reviewed for FEDS indicators and referred to the appropriate investigative unit:

- Social Services Worker (SSW) reviews application at the time of submission
- If no criteria present, SSW will complete FEDS referral form and indicate no criteria found and give to Senior SSW
 - Sr. SSW holds onto forms and use to complete monthly OCFS FEDS report that is submitted to OCFS via e-mail to the district mailbox
- If any of the criteria listed above are present, SSW takes application and findings to Sr. SSW who reviews
 - Sr. SSW will attempt to contact client to resolve or obtain information pertaining to the criteria flagged for Fraud
 - If attempt at resolution is failed, Sr. SSW will complete FEDS referral form to forward to Program Integrity Unit
- Once Program Integrity Unit reports findings, Sr. SSW will advise SSW of any corrective action to be taken on case and hold referral form to use in completing monthly OCFS FEDS report that is submitted to OCFS via e-mail to the district mailbox

b. Describe how the referral is sent to the investigative unit and assigned to an investigator:

When one or more indicators are found on the FEDS referral form and they cannot be resolved by the Social Services Worker, the referral is submitted to the Senior SSW. Senior SSW will send referral via interoffice mail to the Program Integrity Unit to conduct a FEDS interview.

c. Describe how the investigator completes a report of investigation which includes findings and recommendations:

- Program Integrity Unit will review referral and conduct an investigation. If needed, an interview or home visit will be scheduled to determine if there is fraud present.
- After investigation is completed, Program Integrity Unit will return findings and corrective actions, if any, to Sr. SSW.

d. Describe the process for completing and submitting the Monthly FEDS Report to OCFS by the tenth of the following month including the staff member responsible for submitting the report to OCFS:

Senior SSW will tally all FEDS referrals received throughout the month, complete the excel spreadsheet provided by OCFS and submit report via OCFS district mailbox.

3. List all local CCAP FEDS forms. These forms must be uploaded with your CFSP in the Plan Documents area:

FEDS Referral and Resolution Form

II. Program Integrity

1. Each social services district must submit a description of the sampling methodology used to determine which providers of child care assistance services will be reviewed for the purpose of comparing the child care provider's attendance forms for children receiving CCAP services with any Child and Adult Care Food Program (CACFP) inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

Describe the sampling methodology and review process:

The enrollment agency, The Child Care Resource Network, will provide the district with copies of the Child Adult Care Food Program (CACFP) inspection forms three times a year. Three times a year the district will randomly select 1/3 of the CACFP inspection forms, which receive child care subsidy and compare these forms with the child care providers' attendance forms to verify that child care was actually provided on the attendance forms.

2. Each social services district must establish a random sampling methodology used to determine which cases will require verification of a recipient's continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

Please note: The district cannot use criteria such as race, color, sex, gender identity, sexual orientation, disability, religious creed, political belief or any other factors prohibited by law as indicators in drawing the sample.

Describe the sampling methodology and review process:

- Three times a year, the report is given to Senior SSW to randomly designate 1/3 of providers for review. Sr. SSW provides report to SSW to compare with actual invoices received.
- After review, information is logged keeping track of who was audited, any discrepancies are brought to the attention of the Sr. SSW who determines next course of action with guidance from Principal SSW and Director of Services, if needed.

III. Inspections of Child Care Provider Records and Premises

The district may choose to make announced or unannounced inspections of the records and premises of any provider / program that provides child care to children in receipt of child care assistance to determine if the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district per 18 NYCRR §415.4.

The district has the right to make inspections of any child care provider prior to children in receipt of child care assistance receiving care, including care in a home, to determine if the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the district.

The district must report violations of regulations as follows:

- Violations by a licensed or registered child care provider must be reported to the applicable Office of Children and Families (OCFS) Regional Office.
- Violations or areas of non-compliance by an enrolled or enrolling legally exempt child care provider must be reported to the applicable Enrollment Agency.

Does the district choose to make inspections of such child care providers / programs?








Yes No

Submission & Approval

Submitted by County

Approved by State

Section Review Activity Log

 lehneya Collett granted this section State approval.	September 20, 2023 11:20 AM ▲
 Meghan Lutz submitted this section on behalf of the County.	September 15, 2023 12:09 PM
 Meghan Lutz reset approvals and recommendations by updating the "Part Five: Fraud and Abuse Control Activities" form.	September 15, 2023 12:09 PM
 Meghan Lutz submitted this section on behalf of the County.	August 31, 2023 11:58 AM
 Meghan Lutz un-submitted this section on behalf of the County. The reason was: "Corrections needed."	August 31, 2023 7:45 AM
 Meghan Lutz submitted this section on behalf of the County.	August 7, 2023 9:22 AM
 Billie Tylec began work on this section.	June 9, 2023 10:37 AM ▼