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APPENDIX K
Child Care Administration

Describe how your local district is organized to administer the child care program, including any functions that are subcontracted to an outside agency.

1. Identify the unit that has primary responsibility for the administration of child care for:

- Public Assistance Families: Family of Woodstock, Inc.
- Transitioning Families: Family of Woodstock, Inc.
- Income Eligible Families: Family of Woodstock, Inc.
- Title XX: Columbia County Department of Social Services

2. Provide the following information on the use of New York State Child Care Block Grant (NYSCCBG) Funds.

FFY 2014-2015 Rollover funds (available from the NYSCCBG ceiling report in the claiming system):	\$0.00
Estimate FFY 2015-16 Rollover Funds	\$0.00
Estimate of Flexible Funds for Families (FFS) for child care subsidies.....	\$0.00
NYSCCBG Allocation 2014-15	\$1,357,201.00
Estimate of Local Share	\$7,848.00
Total Estimated NYSCCBG Amount	\$1,365,049.00
a. Subsidy.....	\$1,357,201.00
b. Other program costs excluding subsidy.....	\$0.00
c. Administrative costs.....	\$66,616.00

3. Does your district have a contract or formal agreement with another organization to perform any of the following functions?

Function	Organization	Amount of Contract
<input checked="" type="checkbox"/> Eligibility screening	Family of Woodstock, Inc.	\$ 216,294.00
<input type="checkbox"/> Determining if legally-exempt providers meet State-approved additional standards		
<input type="checkbox"/> Assistance in locating care		
<input type="checkbox"/> Child Care Information Systems		
<input type="checkbox"/> Other		

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APPENDIX L

Other Eligible Families if Funds are Available (Required)

Listed below are the optional categories of eligible families that your district can include as part of its County Plan. Select any categories your county wants to serve using the NYSCCBG funds and describe any limitations associated with the category.

Optional Categories	Option	Limitations
1. Public Assistance (PA) families participating in an approved activity in addition to their required work activity.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification Required
2. PA families or families with income up to 200% of the State Income Standard when the caretaker is: <ul style="list-style-type: none"> <li data-bbox="240 726 906 800">a) participating in an approved substance abuse treatment program <li data-bbox="240 919 906 951">b) homeless <li data-bbox="240 1077 906 1108">c) a victim of domestic violence <li data-bbox="240 1272 906 1304">d) in an emergency situation of short duration 	<ul style="list-style-type: none"> <li data-bbox="922 726 1084 800"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 919 1084 993"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1077 1084 1150"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1272 1084 1346"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No 	<ul style="list-style-type: none"> <li data-bbox="1101 726 1453 905">Verification Required - documenting that the individual is successfully participating in a substance abuse program <li data-bbox="1101 919 1453 1066">Must be enrolled in homeless service @ DSS (re-evaluate every 2 weeks) <li data-bbox="1101 1077 1453 1262">Verification Required - documentation that the individual is participating in a domestic violence program <li data-bbox="1101 1272 1453 1381">Verification Required - ex.- fire and dispossessed from home.
3. Families with an open child protective services case when child care is needed to protect the child.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Referral from the local Department of Social Services Child Protective Unit required
4. Families with income up to 200% of the State Income Standard when child care services are needed because the child’s caretaker:		
a) is physically or mentally incapacitated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification Required - <ul style="list-style-type: none"> <li data-bbox="1101 1717 1453 1791">a) Must be a current daycare recipient. <li data-bbox="1101 1801 1453 1875">b) Will be returning to work within 6 weeks <li data-bbox="1101 1885 1453 1925">c) Medical Verification

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Optional Categories	Option	Limitations
b) has family duties away from home	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Form must be completed by a medical doctor.
5. Families with income up to 200% of the State Income Standard when child care services are needed for the child’s caretaker to actively seek employment for a period up to six months.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification required and only one time eligible per lifetime
6. PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification Required (Work Verification Form)
7. Families with income up to 200% of the State Income Standard when child care services are needed for the child’s caretaker to participate in: <ul style="list-style-type: none"> <li data-bbox="240 905 906 1052">a) a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district <li data-bbox="240 1062 906 1167">b) an education program that prepares an individual to obtain a NYS High School equivalency diploma <li data-bbox="240 1209 906 1388">c) a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level <li data-bbox="240 1409 906 1535">d) a program providing literacy training designed to help individuals improve their ability to read and write <li data-bbox="240 1556 906 1734">e) English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose primary language is other than English <li data-bbox="240 1755 906 1934">f) a two-year full-time degree granting program at a community college, a two-year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion 	<ul style="list-style-type: none"> <li data-bbox="922 905 1084 1052"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1062 1084 1199"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1209 1084 1388"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1409 1084 1545"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1556 1084 1734"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <li data-bbox="922 1755 1084 1934"> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No 	<ul style="list-style-type: none"> <li data-bbox="1101 905 1458 1052">Verification Required - (Education Verification Form and School Advisor Verification Form) <li data-bbox="1101 1062 1458 1199">Verification Required - (Education Verification Form and School Advisor Verification Form) <li data-bbox="1101 1209 1458 1388">Verification Required - (Education Verification Form and School Advisor Verification Form) <li data-bbox="1101 1409 1458 1545">Verification Required - (Education Verification Form and School Advisor Verification Form) <li data-bbox="1101 1556 1458 1734">Verification Required - (Education Verification Form and School Advisor Verification Form) <li data-bbox="1101 1755 1458 1934">Release and verification required to receive current schedule and grades. Must maintain an overall C average.

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Optional Categories	Option	Limitations
g) a training program, which has a specific occupational goal and is conducted by an institution other than a college or university that is licensed or approved by the State Education Department	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Only if an Associate Degree has not been obtained. Release and verification required to receive current schedule and grades.
h) a prevocational skill training program such as a basic education and literacy training program	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification Required - (Education Verification Form and School Advisor Verification Form)
i) a demonstration project designed for vocational training or other project approved by the Department of Labor Note: The parent/caretaker must complete the selected programs listed under number seven within 30 consecutive calendar months. The parent/caretaker cannot enroll in more than one program.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verification Required - (Education Verification Form and School Advisor Verification Form)
8. PA recipients or low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associate’s degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity) as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
9. PA recipients or low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associate’s degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
10. PA recipients or low-income families with incomes up to 200% of the State Income Standard	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

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Optional Categories	Option	Limitations
<p>who are satisfactorily participating in a four-year college or university program leading to a bachelor’s degree and that is reasonably expected to lead to an improvement in the parent/caretaker’s earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.</p>		
<p>11. Families with incomes up to the 200% of the State Income Standard when child care services are needed for the child’s caretaker to participate in a program to train workers in an employment field that currently is or is likely to be in demand in the future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program, provided that child care services are only used for the portion of the day the caretaker is able to document is directly related to the caretaker engaging in such a program.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Verification Required - (Education Verification Form and School Advisor Verification Form)</p>

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APPENDIX M

Reasonable Distance, Very Low Income, Family Share, Case Closing and Openings, Recertification Period, Fraud and Abuse Control Activities, Inspections (Required)

Reasonable Distance

Define “reasonable distance” based on community standards for determining accessible child care.

- The following defines “reasonable distance”: The definition of reasonable distance as it applies to public assistance applicants/recipients seeking accessible child care is as follows:

The maximum ‘reasonable’ distance that a TANF recipient would be expected to travel from home to work activity with a stop at a day care provider along the way is 15 miles.

Describe any steps/consultations made to arrive at your definition: A committee was formed of Department Staff and community organization staff to determine appropriate reasonable distance.

Very Low Income

Define “very low income” as it is used in determining priorities for child care benefits.

“Very Low Income” is defined as 150% of the State Income Standard.

Family Share

“Family share” is the weekly amount paid towards the costs of the child care services by the child’s parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The weekly family share of child care costs is calculated by applying the family share percentage against the amount of the family’s annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by the county 20%.

Note: The percentage selected here must match the percentage selected in Title XX Program Matrix in WMS.

Case Closings

The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe below how districts will select cases to be closed in the event that there are insufficient or no funds available.

1. Identification of local priorities in addition to the required federal priorities (select one).
 - The district has identified local priorities in addition to the required federal priorities (Complete Section 2)
 - The district has not identified local priorities in addition to the required federal priorities (Complete Section 3).
2. Describe how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to

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those families that have lower priorities in order to serve families with higher priorities. Describe in the space below how the district will select cases to be closed in the event that there are insufficient or no funds available.

a. The district will select cases to be closed based **ONLY** on income.

No.

Yes. Check 1 or 2 below.

1) The district will close cases from the highest income to lowest income.

2) The district will close cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:

b. The district will select cases to be closed based **ONLY** on categories of families.

No.

Yes. List the categories in the order that they will be closed, including the optional categories selected in Appendix L:

c. The district will select cases to be closed based on a combination of income and family category.

No.

Yes. List the categories and income groupings in the order that they will be closed:

Teen parent enrolled and actively attending high school will be closed first.

Next we will close cases by income bands from highest to lowest income in the following order: 200-175% State Income Standard (SIS), 174-150% SIS, 149-125%, 124-100%.

Families with children who have special needs will be closed last.

d. The district will select cases to be closed on a basis other than the options listed above.

No.

Yes. Describe how the district will select cases to be closed in the event that there are insufficient funds to maintain the district's current case load:

e. The last cases to be closed will be those that fall under federal priorities. Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

Very low income Rank 1 Rank 2

Families that have a child with special needs Rank 1 Rank 2

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3. If all NYSCCBG funds are committed, case closings for families that are not eligible under a child care guarantee and are not a federally mandated priority must be based on the length of time in receipt of services. The length of time used to close cases may be based either on the shortest or longest time the family has received child care services, but must be consistent for all families.
 - a. Identify how the district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

Very low income Rank 1 Rank 2

Families that have a child with special needs Rank 1 Rank 2
 - b. The district will close cases based on the federal priorities and the amount of time the family has been receiving child care services.

Shortest time receiving child care services

Longest time receiving child care services
4. The district will establish a waiting list for families whose cases were closed because our county did not have sufficient funds to maintain our current caseload.

No.

Yes. Describe how these cases will be selected to be reopened if funds become available:

Cases will be opened in the following order: Families who have children with special needs will be opened first. Then cases will be opened by income bands: 100- 124% State Income Standard (SIS), 125-149% (SIS), 150-174% (SIS) and 175-200% (SIS) will be opened last.

Case Openings

Describe below how priority is given to federally mandated priorities and how the district will select cases to be opened in the event that insufficient funds are available.

1. The first cases to be opened will be those that fall under the federal priorities.

Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be opened first.

Very low income Rank 1 Rank 2

Families that have a child with special needs Rank 1 Rank 2
2. The district will select cases to be opened based ONLY on income.

No.

Yes. Check 1 or 2 below.

 - 1) The district will open cases from the lowest income to highest income.
 - 2) The district will open cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:

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3. The district will select cases to be opened based ONLY on category.

No.

Yes. List the categories in the order that they will be opened, including the optional categories selected in Appendix L:

4. The district will select cases to be opened based on a combination of income and category of family.

No.

Yes. List the categories and income groupings in the order that they will be opened:
Families who have children with special needs will be opened first.

Next, families with very low income will be open. We will open cases by income bands from lowest to highest in the following order: 100-124% State Income Standard (SIS), 125-149% (SIS), 150-174% (SIS) and 175-200% (SIS).

Last, we will open teen parents enrolled and actively attending high school.

5. The district selects cases to be opened on a basis other than the options listed above.

No.

Yes. Describe how the district will select cases to be opened in the event that there are not sufficient funds to open all eligible families:

6. The district will establish a waiting list when there are not sufficient funds to open all eligible cases.

No.

Yes. Describe how these cases will be selected to be opened when funds become available:

Cases will be opened in the following order: Families who have children with special needs will be opened first.

Then cases will be opened by income bands: 100- 124% State Income Standard (SIS), 125-149% (SIS), 150-174% (SIS) and 175-200% (SIS).

Teen parents enrolled and actively attending high school will be opened last.

The district's recertification period is every six months twelve months

Fraud and Abuse Control Activities

Describe below the criteria the district will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment in addition to procedures for referring such applications to the district's front-end detection system.

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The following FEDS indicators will be used prior to generating a referral:

Working off the books, Supported by loans/friends, Self-employed but without adequate business records, No defined residence address, An individual has no documentation to verify his/her identity or the id is suspect, Documentation or information is suspicious, Prior history of denial and or provider fraud, Application inconsistent with prior case, and no absent parent information or inconsistent information, as well as household composition.

Describe the sampling methodology used to determine which cases will require verification of an applicant’s or recipient’s continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

Columbia County verifies 100% childcare services cases no less than every 6 months. At recertification all families are required to provide documentation, which verifies their need for child care.

Describe the sampling methodology used to determine which providers of subsidized child care services will be reviewed for the purpose of comparing the child care provider’s attendance forms for children receiving subsidized child care services with any Child and Adult Care Food Program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

On a quarterly basis Columbia County will compare the subsidy attendance forms against all CACFP Program inspection forms of 20% providers that participate in CACFP and care for subsidized children to verify that child care was provided on the days listed on the attendance.

Inspections of Child Care Provider Records and Premises

The district may choose to make announced or unannounced inspections of the records and premises of a provider/program that provides child care for subsidized children for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district Per 18 NYCRR 415.4(h) (3). Does the district choose to make inspections of such child care providers/programs?

No.

Yes. Provide the details of your inspections plan below.

A. The following *types* of subsidized child care providers/programs are subject to this requirement:

Legally-Exempt Child Care

In-Home;

Family Child Care;

Group programs not operating under the auspices of another government agency

Group programs operating under the auspices of another government agency

Licensed or Registered

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- Family Day Care; Registered School Age Child Care
 Group Family Day Care; Day Care Centers; Small Day Care Centers;

B. The district *does* OR *does not*:

Reserve the right to make inspections *PRIOR to subsidized children receiving care* in a **home** where the inspection is for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district.

C. The district will report violations of regulations as follows:

- Violations by a licensed or registered child care provider will be reported to the applicable Office of Children and Families (OCFS) Regional Office.
- Violations by an enrolled or enrolling legally-exempt child care provider will be reported to the applicable Enrollment Agency.

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Districts have some flexibility to administer their child care subsidy programs to meet local needs. Check which options that your district wishes to include in your county plan. Complete the attached appendices for any area(s) checked.

1. The district has chosen to establish funding set-asides for NYSCCBG (complete Appendix O).
2. The district is using Title XX funds for the provision of child care services (complete Appendix P).
3. The district has chosen to establish additional local standards for child care providers (complete Appendix Q).
4. The district has chosen to make payments to child care providers for absences (complete Appendix R).
5. The district has chosen to make payments to child care providers for program closures (complete Appendix S).
6. The district has chosen to pay for transportation to and from a child care provider (complete Appendix T).
7. The district has chosen to pay up to 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix T).
8. The district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix T).
9. The district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training, which has been verified by the Legally-Exempt Caregiver Enrollment Agency (complete Appendix T).
10. The district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix T).
11. The district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix U).
12. The district has chosen to include 18-, 19- or 20-year-olds in the Child Care Services Unit (complete Appendix U)
13. The district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix U).
14. The district has chosen to pay for breaks in activity for low income families (non public assistance families). Complete Appendix U.

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15. The district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification, and/or enrollment forms (attach copies of the local equivalent forms your district uses).

Any previous approvals for local equivalent forms will not be carried forward into this county plan. Therefore, any local equivalent forms a district wishes to establish or renew must be included in this plan and will be subject to review and approval by OCFS.

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APPENDIX O

Funding Set-Asides (Optional)

Total NYSCCBG Block Grant Amount, Including Local Funds

Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$
Total Set-Asides	\$

Describe for each category the rationale behind specific set-aside amounts from the NYSCCBG (e.g., estimated number of children).

Category:
Description:

Category:
Description:

Category:
Description:

Category:
Description:

The following amounts are set aside for specific priorities from the Title XX block grant:

Category:	\$
Category:	\$
Category:	\$
Total Set-Asides (Title XX)	\$

Describe for each category the rationale behind specific amounts set aside from of the Title XX block grant (e.g., estimated number of children).

Category:

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Description:

Category:

Description:

Category:

Description:

Category:

Description:

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APPENDIX P

Title XX Child Care (Optional)

Enter projected total Title XX expenditures for the plan’s duration:\$ 20,000.00

Indicate the financial eligibility limits (percentage of State Income Standard) your district will apply based on family size. Maximum reimbursable limits are 275% for a family of one or two, 255% for a family of three, and 225% for a family of four or more. Districts that are utilizing Title XX funds *only* for child protective and/or preventive child care services must not enter financial eligibility limits as these services are offered without regard to income.

Family Size: (2) 275% (3) 255% (4) 225%

Programmatic Eligibility for Income Eligible Families (Check all that apply.)

- Title XX: employment education/training
 seeking employment illness/incapacity
 homelessness domestic violence
 emergency situation of short duration
 participating in an approved substance abuse treatment program

Does the district apply any limitations to the programmatic eligibility criteria?

- Yes No

(See Technical Assistance #1 for information on limiting eligibility.)

If yes, describe eligibility criteria:

Does the district prioritize certain eligible families for Title XX funding?

- Yes No

If yes, describe which families will receive priority:

Does the district use Title XX funds for child care for open child protective services cases?

- Yes No

Does the district use Title XX funds for child care for open child preventive services cases?

- Yes No

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APPENDIX Q

Additional Local Standards for Child Care Providers (Optional)

The district may propose local standards in addition to the State standards for legally-exempt providers who will receive child care subsidies. This appendix must be completed for **each** additional standard that the district wishes to implement.

1. Check or describe in the space provided below the additional local standards that will be required of child care providers/programs.

- Verification that the provider has given the parent/caretaker complete and accurate information regarding any report of child abuse or maltreatment in which they are named as an indicated subject
- Local criminal background check
- Requirement that providers that care for subsidized children for 30 or more hours a week participate in the Child and Adult Food Care Program (CACFP)
- Site visits by the local district
- Other (please describe):

2. Check below the type of child care program to which the additional standard will apply and indicate the roles of the persons to whom it will apply in cases where the standard is person-specific.

- Legally-exempt family child care program. Check all that apply.
 - Provider Provider’s Employee Provider’s Volunteer
 - Provider’s household member age 18 or older
- Legally-exempt in-home child care program. Check all that apply.
 - Provider Provider’s Employee Provider’s Volunteer
- Legally-exempt group providers not operating under the auspices of another government agency. Check all that apply.
 - Provider Provider’s Employee Provider’s Volunteer
- Legally-exempt group providers operating under the auspices of another government or tribal agency. Check all that apply.
 - Provider Provider’s Employee Provider’s Volunteer

3. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.

- Local social services staff

Provide the name of the unit and contact person: Deborah Amerling, Director of Services

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- Contracted agency

Provide the name of the agency and contact person:

4. Are there any costs associated with the additional standard?

- Yes No

Note: Costs associated with the additional standard cannot be passed on to the provider.

5. Describe the steps for evaluating whether the additional local standard has been met.

Providers of legally exempt child care and all household members, 18 years of age and older will be asked to sign a release which will allow the Columbia County Department of Social Services to conduct a local child welfare database check of the records related to the child care attestations for the sole purpose of verifying the accuracy of the information submitted to the parent/caretaker. Household members 18 years or older will only be required to complete the release when care is not in the home of the child.

If a legally exempt provider or household member refuses to sign the release, which would allow Columbia County Department of Social Services to review only the existing local child welfare records related to the child care attestations, the enrollment package will be considered incomplete and the enrollment process cannot move forward and will be withdrawn by the enrollment agency.

When a local database check of the records related to the child care attestations reveal that a legally exempt provider and/or household member(s) age 18 years of age and older are an indicated subject of a child abuse or maltreatment report the proposed provider will be required to submit to Columbia County Department of Social Services a copy of the written statement provided to the parent/caretaker concerning the indicated incident(s). This statement must be signed by the parent/caretaker acknowledging receipt of this information and the parent/caretaker's wish to use this child care provider.

If the information regarding the indicated child protective report submitted by the provider does not substantially correlate with the information obtained from the local database check of the records related to the child care attestations the Columbia County Department of Social Services will notify the provider that they have ten (10) calendar days to clarify the discrepancies in the information submitted to the District's and parent/caretaker. Within the ten (10) calendar day period the provider must submit information to Columbia County Department of Social Services and the parent/caretaker that either substantially correlates with the information obtained by the District's local database check of the records related to the child care attestations or the provider submits information to the District which documents that the information obtained from the local database check of the records related to the child care attestations is inaccurate. If the provider fails to clarify the discrepancies the Columbia County Department of Social Services will notify the Enrollment Agency that the additional standard has not been met.

If the information regarding the indicated child protective report correlates with the information obtained from the local database check and the parent/caretaker still chooses to use the provider, the Enrollment Agency will be notified that the additional standard has been met.

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6. Indicate how frequently reviews of the additional standard will be conducted. Check all that apply.

Legally-Exempt Programs:

- Initial enrollment During the 12-month enrollment period
 Re-enrollment Other

7. In the space below, described the procedures the district will use to notify the Legally-Exempt Caregiver Enrollment Agency (EA) as to whether the legally-exempt provider is in compliance with the additional local standards. Districts must notify the EA within 25 days from the date they received the referral from the EA. (Districts need to describe this procedure only if the additional local standard is applied to legally-exempt child care providers.)

Once our Department has determined whether or not the additional local standard has been met using the procedures outlined in number five our Department will notify the Enrollment Agent in writing if the additional local standard has been met or not met. The district will maintain a file, which documents the information used to determine whether or not the additional local standard has been met.

8. Describe the justification for the additional standard in the space below.

To ensure information that could affect the safety of the children in legally exempt childcare settings is shared.

The Department of Social Services wants to make sure that providers have told parents about known child protective histories for themselves and any adults 18 years or older residing in the provider's home where child care is to take place.

In rare situations, providers are deemed unsafe to be around any children by the Family and Children Services Units due to a serious child abuse history and the Department wants to make sure parents have a chance to make educated decisions about using providers.

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APPENDIX R

Payment to Child Care Providers for Absences (Optional)

The following providers are eligible for payment for absences (check all that are eligible):

- Day Care Center Legally-Exempt Group
 Group Family Day Care School Age Child Care
 Family Day Care

Our county will only pay for absences to providers with which the district has a contract or letter of intent.

- Yes No

Base period (check one) 3 months 6 months

Number of absences allowed during base period:

Period	Routine Limits (# of days)	Extenuating Circumstances (# of days)	Total Number of Absences Allowed (# of days)
In a month	12	1	13/month
Base period	24	6	30/6 months

List reasons for absences for which the district will allow payment:

Child Absences such as: illnesses, appointments, non-custodial visits, court appointments

Family Absences such as: death in family, jury duty, family court appearances, and 2 medically documented parent/guardian illnesses per case

List any limitations on the above providers' eligibility for payment for absences:

Must be contracted.

Absences will not be paid for end of care.

Absences must be used for "Scheduled Hours".

Provider must list reason(s) for absence(s).

Note: Legally-exempt family child care and in-home child care providers are **not** eligible to receive payment for absences.

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APPENDIX S

Payment to Child Care Providers for Program Closures (Optional)

The following providers are eligible for payment for program closures:

- Day Care Center Legally-Exempt Group
 Group Family Day Care School Age Child Care
 Family Day Care

The county will only pay for program closures to providers with which the district has a contract or letter of intent.

- Yes No

Enter the number of days allowed for program closures (maximum allowable time for program closures is five days).

5

List the allowable program closures for which the county will provide payment.

Five days for the following holidays: New Year's Day, Christmas Day, Independence Day, Thanksgiving Day, Memorial Day and/or observance days.

Note: Legally-exempt family child care and in-home child car providers are **not** allowed to be reimbursed for program closures.

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APPENDIX T

Transportation, Differential Payment Rates, Enhanced Market Rate for Legally-Exempt and In-Home Providers, and Sleep (Optional)

Transportation

Describe any circumstances and limitations your county will use to reimburse for transportation. Include what type of transportation will be reimbursed (public vs. private) and how much your county will pay (per mile or trip). Note that if the county is paying for transportation, the Program Matrix in WMS should reflect this choice.

N/A

Differential Payment Rates

Indicate the percentage above the market rate your county has chosen.

- Accredited programs may receive a differential payment up to 0% above market rate.
- Care during non-traditional hours may be paid up to 10% above market rate.
- Limitations to the above differentials:

Non-traditional hours are defined as:

- Evening/Night hours – after 6pm up to 6am
- Weekend – after 6pm Friday up to 6am Monday

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is more than 15% above the applicable market rate, describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

Enhanced Market Rate for Legally-Exempt Family and In-Home Child Care Providers

Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally-exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt caregiver enrollment agency.

- No.
- Yes. Our market rate will not exceed 75% of the child care market rate established for registered family day care.

Sleep

The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps, as well as any limitations pertaining to payment:

All requests for sleep time must be submitted in writing. Written requests will be reviewed on a case by case basis considering but not limited to the following:

- 1) The parent/caretaker works the 3rd shift fulltime (8 hours)
- 2) There is no other responsible adult in the household to care the children while the parent/

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caretaker sleeps before or after the shift.

Indicate the number of hours allowed by your district (maximum number of hours allowed is eight).

Up to 6 hours as approved by the Day Care Unit Supervisor.

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APPENDIX U

**Child Care Exceeding 24 Hours, Child Care Services Unit, Waivers,
and Breaks in Activities (Optional)**

Child Care Exceeding 24 Hours

Child Care services may exceed 24 consecutive hours when such services are provided on a short-term emergency basis or in other situations where the caretaker’s approved activity necessitates care for 24 hours on a limited basis. Check below under what circumstances the county will pay for child care exceeding 24 hours.

- On a short-term or emergency basis
- The caretaker’s approved activity necessitates care for 24 hours on a limited basis

Describe any limitations for payment of child care services that exceed 24 consecutive hours.

Emergency basis only to be evaluated on a case by case basis.

Child Care Services Unit (CCSU)

Indicate below if your county will include 18-, 19-, or 20-year-olds in the CCSU, which is used in determining family size and countable family income.

The district will include the following in the CCSU (check all that apply).

- 18-year-olds
- 19-year-olds
- 20-year-olds

OR

The district will only include the following in the CCSU when it will benefit the family (check all that apply)

- 18-year-olds
- 19-year-olds
- 20-year-olds

Describe the criteria your district will use to determine whether or not 18-, 19-, or 20-year olds are included in the CCSU.

Waivers

Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Describe and justify why your county is requesting a waiver.

Breaks in Activities

Districts may pay for child care services for low income families during breaks in activities either for a period not to exceed two weeks or for a period not to exceed four weeks when child care arrangements would otherwise be lost and the subsequent activity is expected to begin within that period. Indicate below if your county will make such payments (check one).

- Two weeks
- Four weeks

Districts may provide child care services while the caretaker is waiting to enter an approved activity or employment or on a break between approved activities. The following low income families are eligible for child care services during a break in activities (check any that are eligible):

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- Entering an activity
- Waiting for employment
- On a break between activities