

Child Care Assistance Program (CCAP)

The CCAP section of the plan is effective on the date that it is approved by OCFS. The approval date for the CCAP section of the plan can be found on [the OCFS website](#).

Part One: NYS Child Care Block Grant

I. Administration

1. Total Estimated Funds Available: \$9596077.00
2. Projected spending for FFY 2023–2024: \$4095767.00

3. Does your district have a contract or formal agreement with another organization to perform functions using the NYSCCBG?

- Yes No

If "Yes", please provide information about the organizations performing these functions:

Function	Organization	Amount of Contract
<input checked="" type="checkbox"/> CCAP eligibility screening	Chemung County Child Care Council	90000.00
<input checked="" type="checkbox"/> Determining if legally exempt providers meet OCFS-approved additional local standards	Chemung County Child Care Council	5000.00
<input checked="" type="checkbox"/> Assistance in locating care	Chemung County Child Care Council	5000.00
<input type="checkbox"/> Child care information systems		
<input checked="" type="checkbox"/> Payment processing	Chemung County Child Care Council	35000.00
<input type="checkbox"/> Other <i>Please specify function:</i>		

II. Federal and Local Priorities

1. Rank the following federally mandated priorities: Very low income, Families who have a child with special needs, Families who are experiencing homelessness.

Priority #1: **Very low income**

Chemung County: Child Care Assistance Program (CCAP)

Priority #2: **Families who are experiencing homelessness**

Priority #3: **Families who have a child with special needs**

2. Does your district have local priorities?

Yes No

III. Funding Set Asides

1. Does the district plan to set aside portions of its NYSCCBG allocations to serve one or more of its priority populations?

Yes No

IV. Waiting List

1. The district will establish a waiting list when there are not sufficient NYSCCBG funds to open all eligible cases.

Yes No

2. Is the district currently utilizing a waiting list due to insufficient NYSCCBG funds to open all eligible cases?

Yes No

V. District Options of Other Eligible Families (Category 3 Cases)

Please select which families your district chooses to serve:

1. Families Receiving Temporary Assistance:

a. When child care services are necessary for a parent or caretaker to participate in an approved activity in addition to their required work activity

Yes No

Limitations:

None

b. When child care services are necessary for a sanctioned parent or caretaker to participate in unsubsidized employment, whereby the parent or caretaker relative receives earned wages at a level equal to or greater than the minimum amount required under Federal and State Labor Law

Yes No

Limitations:

None

2. Families Receiving Temporary Assistance or Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is participating in an approved substance abuse treatment program, or in screening for or an assessment of the need for substance abuse treatment

Yes No

Limitations:

This applies to families successfully participating in a program.

b. When child care services are needed for the child to be protected because the child's caretaker is experiencing homelessness and participating in an approved activity

Yes No

Limitations:

This applies only to PA families successfully participating in a program.

c. When child care services are needed for the child to be protected because the child's caretaker is receiving services for victims of domestic violence and participating in an approved activity, or in screening for or an assessment of the need for services for victims of domestic violence

Yes No

Limitations:

This applies to families successfully participating in a program.

d. When child care services are needed for the child to be protected because the child's caretaker is in an emergency situation

Yes No

Limitations:

This applies only to PA families when child care is needed, in non-work related situations, in order to ensure that the child continues to receive child care assistance.

e. When child care services are needed for the child's caretaker to attend a two-year degree granting program at a community college, a two-year college, or an undergraduate college leading to an associate degree or a certificate of completion

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued enrollment at time of re-certification in order to continue to be eligible for child care while attending college. If the parent does not provide documentation of continued enrollment they will no longer be eligible for child care.

f. When child care services are needed for the child's caretaker to attend a four year college or university program leading to a bachelor's degree provided the program is reasonably expected to improve the earning capacity of the caretaker and the caretaker can demonstrate his or her ability to successfully complete the course of study

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued enrollment at time of re-certification in order to continue to be eligible for child care while attending college. If the parent does not provide documentation of continued enrollment they will no longer be eligible for child care.

3. Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is physically or mentally incapacitated

Yes No

Limitations:

The incapacitation must be verified by a licensed medical professional.

b. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker is physically or mentally incapacitated

Yes No

Limitations:

The incapacitation must be verified by a licensed medical professional.

c. When child care services are needed for the child to be protected because the child's caretaker has

family duties away from home necessitating their absence

Yes No

Limitations:

This applies only to PA families successfully participating in a program.

d. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker has family duties away from home necessitating their absence

Yes No

Limitations:

When necessary to accompany parent/caretaker's minor child during hospitalizations and/or receiving treatments, verification of illness required by a licensed medical professional.

e. When child care services are needed for the child's caretaker to actively seek employment for a period of up to six months, if the caretaker documents that he or she is currently registered with a New York State Department of Labor's Division of Employment Services Office

Yes No

Limitations:

Limited to a period of up to three months and childcare up to 40 hours per week.

f. When child care services are needed for the child's caretaker to attend an educational facility providing a standard high school curriculum offered by or approved by the local school district

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

g. When child care services are needed for the child's caretaker to attend an education program that prepares an individual to obtain a New York State high school equivalency diploma

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

h. When child care services are needed for the child's caretaker to attend a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level in those areas

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

i. When child care services are needed for the child's caretaker to attend a program providing literacy training designed to help individuals improve their ability to read and write

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

j. When child care services are needed for the child's caretaker to attend an English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose native or primary language is other than English

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

k. When child care services are needed for the child's caretaker to attend a training program *which has a specific occupational goal* and is conducted by an institution licensed or approved by the State Education Department other than a college or university

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued enrollment at time of re-certification in order to continue to be eligible for child care while attending a training program. If the parent does not provide documentation of continued enrollment they will no longer be eligible for child care.

l. When child care services are needed for the child's caretaker to attend a prevocational skills training program

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

m. When child care services are needed for the child's caretaker to attend a demonstration project designed for vocational training or other projects approved by the Department of Labor

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

n. When child care services are needed for the child's caretaker to participate in a program to train workers in an employment field that currently is, or is likely to be, in demand in the near future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued participation at time of re-certification in order to

continue eligibility. If the parent does not provide documentation of participation, then the case will be closed.

Note: Unless care is provided under option 2f, the caretaker must complete the selected educational or vocational programs listed above within 48 consecutive calendar months. The caretaker cannot enroll in more than one program.

4. Families without Regard to Income:

a. A family with an open child protective services case when it is determined on a case-by-case basis that such child care is needed to protect the child

Yes No

Limitations:

On a case-by-case basis with Children and Family Services administrative level approval, this can be used for families with an open child protective services investigation, family assessment response, a preventive services case as a result of an indicated child protective services case and for cases where a child is placed with a relative or significant caretaker under an Article 10 as well as foster care families caring for a child(ren) in a case.

VI. Reasonable Distance

The following defines "reasonable distance":

Chemung County DSS defines reasonable distance as 22 miles between home or work with child care accessible along the way.

Describe any steps / consultations made to arrive at your definition:

Contacted the Chemung County Planning Board to determine the distance between county borders. Considered the location of major employers in Chemung County. Consulted the Chemung County Transit to determine the accessibility of bus routes throughout the county. Considered the location of licensed and regulated child care providers throughout the county.

VII. Transportation

Are there circumstances where the district will reimburse for transportation?

Yes

No

VII. Transportation

Are there circumstances where the district will reimburse for transportation?

Yes

No

Part Two: Title XX Child Care

Does the district use Title XX funds to provide child care?

Yes No

I. Funding

1. Enter the projected total of Title XX expenditures for the plan's duration:

\$50000.00

2. Indicate the financial eligibility limits (percentage of State Income Standard) the district will apply based on family size. Maximum reimbursable limits are 375% for a family of one or two, 355% for a family of three, and 325% for a family of four or more. **Districts that are utilizing Title XX funds only for child protective and/or preventive child care services must not enter financial eligibility limits as these services are offered without regard to income.**

Family Size	Eligibility Limit
Two People	300%
Three People	300%
Four People	300%

3. Does your district have a contract or formal agreement with another organization to perform any of the following functions using Title XX for Child Care Assistance?

Yes No

Function	Organization	Amount of Contract
<input checked="" type="checkbox"/> Eligibility screening	Chemung County Child Care Council	\$0
<input checked="" type="checkbox"/> Assistance in locating care	Chemung County Child Care Council	\$0
<input type="checkbox"/> Child care information systems		\$
<input checked="" type="checkbox"/> Payment processing	Chemung County Child Care Council	\$0

Other
Please specify function:

\$

II. Protective and Preventive Cases

1. Does the district use Title XX funds for child care for open child protective services cases?

Yes No

2. Does the district use Title XX funds for child care for open child preventive services cases?

Yes No

III. Programmatic Eligibility

Please select which families your district chooses to serve:

1. Families Receiving Temporary Assistance:

a. When child care services are necessary for a parent or caretaker to participate in an approved activity in addition to their required work activity

Yes No

b. When child care services are necessary for a sanctioned parent or caretaker to participate in unsubsidized employment, whereby the parent or caretaker relative receives earned wages at a level equal to or greater than the minimum amount required under Federal and State Labor Law

Yes No

2. Families Receiving Temporary Assistance or Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is participating in an approved substance abuse treatment program, or in screening for or an assessment of the need for substance abuse treatment

Yes No

Limitations:

This applies to parents/families successfully participating in a program.

b. When child care services are needed for the child to be protected because the child's caretaker is experiencing homelessness and participating in an approved activity

Yes No

c. When child care services are needed for the child to be protected because the child's caretaker is receiving services for victims of domestic violence and participating in an approved activity, or in screening for or an assessment of the need for services for victims of domestic violence

Yes No

d. When child care services are needed for the child to be protected because the child's caretaker is in an emergency situation

Yes No

e. When child care services are needed for the child's caretaker to attend a two-year degree granting program at a community college, a two-year college, or an undergraduate college leading to an associate degree or a certificate of completion

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued enrollment at time of re-certification in order to continue to be eligible for child care while attending college. If the parent does not provide documentation of continued enrollment they will no longer be eligible for child care.

f. When child care services are needed for the child's caretaker to attend a four year college or university program leading to a bachelor's degree provided the program is reasonably expected to improve the earning capacity of the caretaker and the caretaker can demonstrate his or her ability to successfully complete the course of study

Yes No

Limitations:

Verification must be provided via a letter of enrollment or schedule. Parent must provide documentation of continued enrollment at time of re-certification in order to continue to be eligible for child care while attending college. If the parent does not provide documentation of continued enrollment they will no longer be eligible for child care.

3. Families with Very Low Income:

a. When child care services are needed for the child to be protected because the child's caretaker is physically or mentally incapacitated

Yes No

Limitations:

Verification of illness/incapacity must be provided by a licensed medical professional.

b. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker is physically or mentally incapacitated

Yes No

Limitations:

Verification of illness/incapacity must be provided by a licensed medical professional.

c. When child care services are needed for the child to be protected because the child's caretaker has family duties away from home necessitating their absence

Yes No

d. When child care services are needed for the child to be protected because one of the child's caretakers is engaged in work and the child's other caretaker has family duties away from home necessitating their absence

Yes No

e. When child care services are needed for the child's caretaker to actively seek employment for a period of up to six months, if the caretaker documents that he or she is currently registered with a New York State Department of Labor's Division of Employment Services Office

Yes No

f. When child care services are needed for the child's caretaker to attend an educational facility providing a standard high school curriculum offered by or approved by the local school district

Yes No

g. When child care services are needed for the child's caretaker to attend an education program that prepares an individual to obtain a New York State high school equivalency diploma

Yes No

h. When child care services are needed for the child's caretaker to attend a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level in those areas

Yes No

i. When child care services are needed for the child's caretaker to attend a program providing literacy training designed to help individuals improve their ability to read and write

Yes No

j. When child care services are needed for the child's caretaker to attend an English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose native or primary language is other than English

Yes No

k. When child care services are needed for the child's caretaker to attend a training program *which has a specific occupational goal* and is conducted by an institution licensed or approved by the State Education Department other than a college or university

Yes No

l. When child care services are needed for the child's caretaker to attend a prevocational skills training program

Yes No

m. When child care services are needed for the child's caretaker to attend a demonstration project designed for vocational training or other projects approved by the Department of Labor

Yes No

n. When child care services are needed for the child's caretaker to participate in a program to train workers in an employment field that currently is, or is likely to be, in demand in the near future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program

Yes No

Note: Unless care is provided under option 2f, the caretaker must complete the selected educational or vocational programs listed above within 48 consecutive calendar months. The caretaker cannot enroll in more than one program.

IV. Priority

Does the district prioritize certain families for Title XX funding?

Yes No

V. Title XX Set Asides

Does the district plan to set aside portions of its Title XX allocations to serve one or more of its priority populations?

Yes No

VI. Case Closing When Funds Are Limited

Select one of the options listed below and describe the process for closing.

Close cases based on **AMOUNT OF TIME** receiving child care services.

Note: This option must be selected if the district does not have local priorities listed in subsection IV of this form.

If closing based on amount of time...

The district will close cases starting from the shortest time receiving services to the longest time

The district will close cases starting from the longest time receiving services to the shortest time

 Close cases based on **INCOME**.

Close cases based on **CATEGORY OF FAMILY**.

Close cases based on **INCOME AND CATEGORY OF FAMILY**.

Close cases based on **OTHER CRITERIA.**

VII. Waiting List

1. The district will establish a waiting list when there are not sufficient Title XX funds to open all eligible cases

Yes No

2. Is the district currently utilizing a waiting list due to insufficient Title XX funds to open all eligible cases?

Yes No

Part Three: District Options that Apply to Both NYSCCBG and Title XX

I. Family Share

1. Family Share Percentage selected by the district:

1%

2. Additional Description of this family share percentage:

II. Payment to Child Care Providers for Absences

Does the district opt to pay for more than 24 absences per child per provider per year?

Yes No

1. Number of additional absences above the required 24 allowed per child per provider per year:

Required absences paid per year:	24
Additional absences paid per year at district option (enter a number from 1–56):	56
Total number of absences paid per child per provider per year (enter a number from 25–80, must add up to required + additional absences):	80

2. List any limitations on reasons for additional absences for which the district will allow payment:

3. Our district will only pay for additional absences to providers with which the district has a contract or letter of intent.

- Yes No

4. The following providers are eligible for payment for additional absences (check all that are eligible):

- Day care center
- Group family day care
- Family day care
- Informal child care
- Legally exempt group
- School age child care

5. List any other limitations on providers' eligibility for payment for additional absences:

III. Payment to Child Care Providers for Program Closures

Does the district opt to pay for program closures?

- Yes No

1. The following providers are eligible for payment for program closures:

- Day care center
- Group family day care
- Family day care
- Legally exempt group
- School age child care

2. Our district will only pay for program closures to providers with which the district has a contract or letter of intent.

- Yes No

3. Enter the number of days allowed for program closures (maximum allowable time for program closures is five days):

5

4. List the allowable program closures for which the district will provide payment:

- These may include a State, Federal or Nationally recognized holiday, Natural Disaster, a State of Emergency in the County, or other emergency closings due to circumstances beyond the child care program's control, other than a substantiated regulatory violation.
- Reimbursement is only for children in receipt of child care subsidy who would otherwise be present at the child care program.
- Reimbursement is not available for the day the program is closed if the child is in need of child care services and the child receives subsidized child care services, from a different provider, on the same day.

IV. Differential Payment Rates

1. Districts must complete the Differential Payment Rate Percent (%) column in the table below for each of the four differential payment rate categories. The state requires a minimum differential of 5% for licensed and registered providers for homelessness and for all providers for non-traditional hours. For these categories, the district must enter "5%" or, if it chooses a higher rate, up to 15%.

The differential payment rate categories for legally exempt providers for homelessness and for nationally accredited programs are optional. If the district chooses not to set differential payment rates, the district must enter zero. If the district chooses to set a differential payment rate, enter the appropriate percentage up to 15 percent (15%). If the district selects a differential payment rate for nationally accredited programs, that rate must be in the range of five percent (5%) to 15 percent (15%). If the district selects a differential payment rate for legally exempt providers for homelessness, the rate may be from 1 percent (1%) to 15 percent (15%).

Differential Payment Rate Category	Differential Payment Rate Percent
Homelessness: Licensed and Registered Providers <i>State required minimum of 5%</i>	5%
Homelessness: Legally Exempt Providers	0%
Non-traditional Hours: All Providers <i>State required minimum of 5%</i>	5%
Nationally Accredited Programs: Licensed and Registered Providers <i>Legally exempt child care providers are not eligible for a differential payment rate for accreditation.</i>	0%

2. For providers that qualify for multiple differential payment rates, the total percentage may not exceed 25% above the applicable market rate or actual cost of care. However, a district may request a waiver from the Office to establish a payment rate that is in excess of 25% above the applicable market rate upon showing that the 25% maximum is insufficient to provide access within the district to child care providers or services that offer care addressing more than one of the differential payment rate categories. If your district wants to establish a total differential payment rate that is more than 25% above the applicable market rate, describe below why the 25% maximum is insufficient to provide access to such child care providers or services.

Not applicable

V. Increased Enhanced Market Rate for Legally Exempt Family and In-Home Child Care Providers

1. The enhanced market rate for legally exempt family and legally exempt in-home child care providers who have completed 10 or more hours of training annually is set at 70% of the applicable market rates established for registered family day care. Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally exempt family and in-home child care providers who have completed 10 or more hours of training annually and the training has been verified by the legally exempt caregiver

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enrollment agency.

No Yes

2. If yes, indicate the percent (71%–75%), not to exceed 75%, of the child care market rate established for registered family day care.

%

VI. Enhanced Market Rates for Legally Exempt Group Child Care Programs

Answer both questions:

1. Indicate if the district is electing to establish an enhanced market rate for child care services provided by legally exempt group child care programs that have prepared an approved health care plan and have at least one caregiver in each classroom with age appropriate cardiopulmonary resuscitation (CPR) certification and the enhanced requirements have been verified by the enrollment agency.

Yes No

2. Indicate if the district is electing to establish an enhanced market rate for child care services provided by legally exempt group child care programs when, in addition to the training required in 18 NYCRR §415.13, the director has completed an approved course and a minimum of 15 hours of approved training annually and each employee with a caregiving role completes a minimum of 5 hours of approved training annually and the enhanced requirements have been verified by the enrollment agency.

Yes No

If a district chooses to establish both legally exempt group child care enhanced rates and a program is eligible for both enhanced rates, then the enhanced market rate must be based on the percentages selected for each individual market rate, up to a maximum of 87%.

VII. Sleep

Does the district choose to expand eligibility for child care assistance beyond the requirements of 18 NYCRR §415.4?

Yes No

VIII. Child Care Exceeding 24 Hours

Does the district choose to pay for child care services that exceed 24 hours?

Yes No

IX. Child Care Services Unit (CCSU)

Does the district choose to include 18-, 19-, and / or 20-year-olds in the CCSU?

Yes No

If yes, please answer the following:

a. The district will include the following in the CCSU (check all that apply)

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- 18-year-olds
- 19-year-olds
- 20-year-olds

OR

b. The district will only include the following in the CCSU when it will benefit the family (check all that apply)

- 18-year-olds
- 19-year-olds
- 20-year-olds

Describe the criteria your district will use to determine whether 18-, 19-, or 20-year-olds are included in the CCSU.

The district will include 18 and 19 year olds in the CCSU as long as the individual is enrolled as a full time high school student.

X. Waivers

Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Does the district choose to request a waiver(s)?

- Yes No

XI. Notices

1. The district has chosen to use local equivalent(s) of OCFS required form(s).

- Yes No

2. The district elects to use the OCFS-6025, Application for Child Care Assistance (the local district may add the district name and contact information to the form).

- Yes No

Part Four: Additional Local Standards for Child Care Providers

1. Select the additional local standard that will be required of child care providers / programs.

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Verification, using the district's local records, that the provider has given the caretaker complete and accurate information regarding any report of child abuse or maltreatment in which he or she is named as an indicated subject (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)

Local criminal background check (*only applies to legally exempt relative-only family child care and relative-only in-home child care*)

Site visits by the district

Other
Please describe:

2. Check below the type of child care program to which the additional local standard will apply:

Legally exempt relative-only family child care program

Legally exempt relative-only in-home child care program

Legally exempt non-relative family child care program

Legally exempt non-relative in-home child care program

Legally exempt group program

In cases where the standard is person-specific, indicate the roles of the persons to whom the additional local standard will apply:

Legally exempt relative-only family child care program

- Provider
- Provider's employee
- Provider's volunteer
- Provider's household member age 18 or older

Legally exempt relative-only in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt family child care program

- Provider
- Provider's employee
- Provider's volunteer
- Provider's household member age 18 or older

Legally exempt in-home child care program

- Provider
- Provider's employee
- Provider's volunteer

Legally exempt group program

- Director
- Employee
- Volunteer

3. Exceptions: There may be instances when the district may be unable to enact the additional standard, such as, the applicable person may reside outside of the district's jurisdiction, or the site of care may not be located within the district. In such cases, the district may create an exception to the applicability stated above.

Note: The Child Care Facility System cannot track such exceptions and, therefore, the district remains responsible for notifying the applicable Enrollment Agency, using the OCFS-2114, District Notification to Legally Exempt Caregiver Enrollment Agency, that an additional standard is "not applicable" to the specific provider / person named on the referral list.

Place a checkmark below to show any exception to the applicability of this Local Additional Standard to programs or roles previously identified.

- a. The district will not apply this additional local standard when the applicable person **resides** outside of the district paying child care assistance.
- b. The district will not apply this additional local standard when the **program's site of care is located outside** of the district paying child care assistance.

4. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.

- Local social services staff
- Contracted agency

5. Are there any fees or other costs associated with the additional local standard?

- Yes
- No

Note: Costs associated with the additional local standard cannot be passed on to the provider.

6. Describe, in chronological order, the steps for conducting the additional local standard. Include all agencies involved and their roles. Note that the district's procedures must be in accordance with OCFS Policy.

a. Is a release form required to conduct the local standard?

- Yes
- No

b. If yes, please explain how the release will be obtained and indicate if the enrollment packet will be considered incomplete if the required release forms are not obtained.

- c. Describe, in detail, how the district will retrieve referrals from CCFS:
- d. Describe, in detail, how the district will communicate with providers and other applicable persons:
- e. Describe in detail the steps the district takes to determine compliance with the additional local standard:
- f. Describe in detail how the additional local standard is met:
- g. Describe in detail how the additional local standard is not met:
- h. Describe in detail when the additional local standard is not applicable:
- i. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has been met:
- j. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard has not been met:
- k. Describe in detail how the district will inform the Enrollment Agency whether the additional local standard is not applicable:
- l. Describe in detail how the district will monitor the timeliness of conducting the additional standard and reporting the decision (met / not met / not applicable) to the Enrollment Agency.
- m. Indicate how frequently the additional local standard will be applied.
- The Standard will be applied (check all that apply):
- At initial enrollment and re-opening
 - At each re-enrollment
- n. Describe the justification for the additional local standard in the space below.

Part Five: Fraud and Abuse Control Activities

I. Front End Detection System (FEDS) Plan

Each district must submit a CCAP FEDS plan as part of their CFSP. The Front End Detection System (FEDS) is an anti-fraud tool that is designed to identify erroneous or fraudulent information on an assistance application before a case is opened and any benefits are issued.

1. Please mark which of the following indicators, if any, the district uses to determine which CCAP applications suggest a higher than acceptable risk for fraudulent or erroneous CCAP payments:

- P.O. Box supplied as a mailing address without a reasonable explanation
- Applicant has a history of denial, case closing or overpayment, resulting from an investigation
- Applicant is self-employed, but cannot provide adequate business records
- Primary tenant with no utility bills in their name
- Information on application is inconsistent with prior case information
- Applicant unsure of their own address
- Applicant cannot supply documentation to verify identity, or identity is suspect
- Documentation or information provided by applicant is inconsistent with the application
- Child care provider lives in the same household as the child
- No absent parent information or information is inconsistent with the application
- Other
Please specify:
 - applicant is working off the books (currently or previously) -applicant cannot provide birth certificate for a child younger than 6 years of age

2. Please describe the step-by-step FEDS process for CCAP applications.

a. Describe how the application is reviewed for FEDS indicators and referred to the appropriate investigative unit:

The LDSS TANF eligibility worker or the Child Care Council's Subsidy Specialist identifies an application that meets one or more of the above criteria and completes a referral in the OnBase program within one business day of receipt of completed application and documentation.

b. Describe how the referral is sent to the investigative unit and assigned to an investigator:

The referral is made in the OnBase program within one business day of receipt of completed application and documentation. All referrals are tracked both in the OnBase program and an excel spreadsheet for reporting purposes. All supporting documentation is provided to the SIU unit and entered into the individual's case file.

c. Describe how the investigator completes a report of investigation which includes findings and recommendations:

The FEDS investigator receives a referral if indicators cannot be remedied by the eligibility worker. An investigation is conducted using investigative tools including, but not limited to, social media, work number, in house case information and field visit(s) if necessary. Once the investigation is complete, the investigator updates the referral with the outcome of the investigation including any findings and recommendations. This is all done electronically through our Onbase workflow program with a

targeted turnaround time frame from the point of the referral to the return to the eligibility of less than 30 days. Once this referral is updated by the investigator it triggers an automatic email to the eligibility worker for action.

d. Describe the process for completing and submitting the Monthly FEDS Report to OCFS by the tenth of the following month including the staff member responsible for submitting the report to OCFS:

The Supervising Social Services Investigator oversees the Special Investigations Unit. As part of the Supervising Social Services Investigator's administrative responsibilities, the state created FEDS monthly report is completed the 10th of each following month by utilizing data from our OnBase program report and excel spreadsheet, and emailed to ocfs.sm.districtsupport.subsidy@ocfs.ny.gov.

3. List all local CCAP FEDS forms.

Copy of FEDS Referral

Copy of FEDS Letter

II. Program Integrity

1. Each social services district must submit a description of the sampling methodology used to determine which providers of child care assistance services will be reviewed for the purpose of comparing the child care provider's attendance forms for children receiving CCAP services with any Child and Adult Care Food Program (CACFP) inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

Describe the sampling methodology and review process:

Chemung County contracts with the Chemung County Child Care Council to administer the Child Care Subsidy program. The Child Care Council is also the sponsor for the Child Adult Care Food Program (CACFP). The Child Care Council's Program Coordinator will conduct a review on a quarterly basis. The review will consist of at least 20 child care subsidy cases. The Council's Program Coordinator will compare child attendance forms for the subsidy program and the CACFP program and also the on-site monitoring forms completed by the Council's CACFP Specialist. The Council's Program Coordinator will look for any discrepancies in the days and hours the child is reported in care in each of the programs. If there are any discrepancies in the days and hours being billed between each of the programs, the Council's Program Coordinator will review the subsidy file to compare the days and hours the parent was approved for child care to determine if there is a clear reason for any discrepancy. If the Council's Program Coordinator identifies discrepancies related to the child care subsidy billing a referral to the LDSS SIU Fraud Unit will take place

2. Each social services district must establish a random sampling methodology used to determine which cases will require verification of a recipient's continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

Please note: The district cannot use criteria such as race, color, sex, gender identity, sexual orientation, disability, religious creed, political belief or any other factors prohibited by law as indicators in drawing the sample.

Describe the sampling methodology and review process:

On a monthly basis, a minimum of 5 active cases will be reviewed based on a random sample selection. The review will be to verify the need of continued child care throughout an active child care case. As part of the review Chemung County Child Care Council (on behalf of the district) will request employment, education, or activity requirement information to ensure that recipients are still engaged or currently in a temporary cessation.

III. Inspections of Child Care Provider Records and Premises

Chemung County: Child Care Assistance Program (CCAP)

The district may choose to make announced or unannounced inspections of the records and premises of any provider / program that provides child care to children in receipt of child care assistance to determine if the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district per 18 NYCRR §415.4.

The district has the right to make inspections of any child care provider prior to children in receipt of child care assistance receiving care, including care in a home, to determine if the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the district.

The district must report violations of regulations as follows:

- Violations by a licensed or registered child care provider must be reported to the applicable Office of Children and Families (OCFS) Regional Office.
- Violations or areas of non-compliance by an enrolled or enrolling legally exempt child care provider must be reported to the applicable Enrollment Agency.

Does the district choose to make inspections of such child care providers / programs?

- Yes No

Select the organization that will be responsible for conducting inspections:

- Local social services staff

Provide the name of the unit and contact person:

Special Investigations Unit, Tammy Brown, Supervising Social Services Investigator

- Contracted agency

The following types of child care providers / programs in receipt of child care assistance payments are subject to this requirement:

Legally Exempt Child Care

- In-Home
 Family Child Care
 Group programs

Licensed or Registered Child Care

- Family Day Care
 Registered School-Age Child Care
 Group Family Day Care
 Day Care Centers
 Small Day Care Centers