Invest in NY: Child Care Deserts
RFA #2 for Existing Providers Overview
Housekeeping

• All lines are muted.
• All questions must be sent in the Q&A box to all presenters or emailed to: investnychildcare@ocfs.ny.gov
• All questions and their answers will be posted on the OCFS website here: https://ocfs.ny.gov/programs/childcare/deserts/
• This presentation is being recorded and will be posted on the OCFS website.
• This presentation will also be translated into Spanish and posted on the OCFS website.
Agenda

- Grant Timeline & Child Care Deserts Overview
- Child Care Deserts Component A
- Child Care Deserts Component B
- Using the Child Care Deserts Map
- What You Need to Know
Grant Timeline

May 13: RFA #2 for Existing Programs Released
June 30: Application Portal Opens
August 4: Application Portal Closes
September: Awards Announced

Payments begin after licensure changes and vendor review and approval by OCFS
What are Child Care Deserts?

Child Care Deserts are census tracts where there are 3 or more children under 5 years of age for each available child care slot, or there are no available child care slots in that census tract.

- Available child care slots used for the NYS OCFS analysis include infant, toddler, and preschooler slots in licensed day care centers and NYCDOHMH permitted (Article 47 programs only) group day care programs, as well as 6 weeks to 12 year old slots in licensed group family day care and registered family day care, based on licensed capacity as of October 2021.
- All census tracts in New York State have been mapped depending on the number of available slots, and information can be found on the Child Care Desert Map: https://bit.ly/cc_deserts
New York State has identified $100M to address child care deserts by building and expanding licensed child care capacity to those areas of the state with the least supply. The resulting awards will increase the number of available child care slots in New York State in those areas identified as areas of need.

**RFA1**  
$70 million for new providers  
Released on January 28, 2022

**RFA2**  
$30 million for existing providers  
Released in May 2022
NYS Plan to Address Child Care Deserts

Award Allocations by region and provider type (modality).

<table>
<thead>
<tr>
<th>Location</th>
<th>DCC</th>
<th>FDC/GFDC/SDCC</th>
<th>SACC</th>
<th>Total Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Downstate Region</td>
<td>$10,800,000</td>
<td>$3,600,000</td>
<td>$3,600,000</td>
<td>$18,000,000</td>
</tr>
<tr>
<td>Rest of NY</td>
<td>$7,200,000</td>
<td>$2,400,000</td>
<td>$2,400,000</td>
<td>$12,000,000</td>
</tr>
<tr>
<td>Total NYS</td>
<td>$18,000,000</td>
<td>$6,000,000</td>
<td>$6,000,000</td>
<td>$30,000,000</td>
</tr>
</tbody>
</table>

Downstate consists of the New York City, Long Island, and Mid-Hudson REDCs. Rest of State consists of the remaining REDCs not in Downstate.

RFA#2
$30 million for existing providers
Released May 2022
This RFA #2 is offered in two parts, Component A and Component B.

Component A
Expand child care in existing DCC & SACCs

Component B
Expand specific types of child care in existing SDCC, FDC, GFDC
RFA #2: Component A
Component A

Eligible Modalities:

- Day Care Centers (DCC)
- School Age Child Care (SACC) programs
Expand program licensure for up to 25 slots in existing child care programs

Includes a per slot bonus for programs (up to 25 slots) who agree to designate some or all of those new slots for infants/toddlers and/or children with special needs.
Requirements

Slots designated for bonuses must have children enrolled for proposed slots by **six months after the date of their pre-approval letter** to continue to receive bonus payments. If enrollment is not achieved by six months after the date of their pre-approval letter, programs will not receive the per slot bonus until children are enrolled in the infant/toddler and/or special needs slots.
SACC programs can receive bonus funds for serving children with special needs but cannot receive bonus funds for infant/toddler care as they are not eligible to serve the population.
## Component A

Awards are calculated on a per licensed child care slot basis for increasing licensed capacity to up to 25 new slots.

<table>
<thead>
<tr>
<th>Modality</th>
<th>Location</th>
<th>Base Award Amount per New Slot (up to 25 slots)</th>
<th>Special Needs bonus per slot (up to 25 slots)</th>
<th>Infant/Toddler bonus per slot (up to 25 slots)</th>
<th>Maximum Award Amount (25 new slots plus maximum bonuses for 25)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group Day Care (GDC)</td>
<td>New York City</td>
<td>$9,000</td>
<td>$3,000</td>
<td>$3,000</td>
<td>$375,000</td>
</tr>
<tr>
<td>Day Care Center (DCC)</td>
<td>Long Island RO and Westchester RO</td>
<td>$9,000</td>
<td>$3,000</td>
<td>$3,000</td>
<td>$375,000</td>
</tr>
<tr>
<td></td>
<td>Rest of NY</td>
<td>$7,000</td>
<td>$3,000</td>
<td>$3,000</td>
<td>$325,000</td>
</tr>
<tr>
<td>School Age Child Care (SACC)</td>
<td>New York City</td>
<td>$3,300</td>
<td>$3,000</td>
<td>NA</td>
<td>$157,500</td>
</tr>
<tr>
<td></td>
<td>Long Island RO and Westchester RO</td>
<td>$3,300</td>
<td>$3,000</td>
<td>NA</td>
<td>$157,500</td>
</tr>
<tr>
<td></td>
<td>Rest of NY</td>
<td>$2,500</td>
<td>$3,000</td>
<td>NA</td>
<td>$137,500</td>
</tr>
</tbody>
</table>
Component A Deliverables

- License change reflected
- Deliverable 1: Program is open and operating
- Deliverable 2: Program in good standing
- Deliverable 3: Program has applied and/or is actively engaged in QUALITYStarsNY
- Deliverable 4: Staff completed training in compliance with OCFS regulations and/or Article 47 requirements
Component A Deliverables, if applicable

- Deliverable 5: Infant/toddler slots- Bonus slots must be filled by six months after the date of their pre-approval letter

- Deliverable 6: Care is available to children with special needs, as outlined in grant award, with ultimate enrollment six months after the date of their pre-approval letter
RFA #2: Component B
Eligible Modalities

- Small Day Care Centers (SDCC)
- Family Day Care (FDC)
- Group Family Day Care (GFDC)

Only one award per program.
Goal

To expand specific types of child care within existing programs, specifically for infants/toddlers, children with special needs, or expanding program hours to include nontraditional hours.

**Providers must not displace existing enrolled children.**
Programs can apply for a grant to expand based on one of the following criteria:

- expanding the program to provide at least 15 hours per week of non-traditional hours care
- enrolling at least 1 special needs child
- enrolling at least 1 infant/toddler child
Requirements

Slots designated for infants/toddlers or for children with special needs must have children enrolled by six months after the date of their pre-approval letter to continue to receive payments.

If enrollment is not achieved by six months after the date of their pre-approval letter, programs will not receive payments again until children are enrolled in the designated infant/toddler and/or special needs slots.
Component B eligible programs that are already designated as providing nontraditional hour care can only apply to serve children with special needs or infant/toddler and cannot displace existing children.

Providers will not be eligible for payments if they release currently enrolled children to open up new infant/toddler slots or children with special needs slots.
## Component B

Awards are calculated on a program basis.

<table>
<thead>
<tr>
<th>Modality</th>
<th>Location</th>
<th>Award Amount per Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Small Day Care Center (SDCC)</td>
<td>New York City</td>
<td>$18,000</td>
</tr>
<tr>
<td></td>
<td>Long Island RO and Westchester RO</td>
<td>$18,000</td>
</tr>
<tr>
<td></td>
<td>Rest of NY</td>
<td>$14,000</td>
</tr>
<tr>
<td>Family Day Care (FDC)</td>
<td>New York City</td>
<td>$18,000</td>
</tr>
<tr>
<td></td>
<td>Long Island RO and Westchester RO</td>
<td>$18,000</td>
</tr>
<tr>
<td></td>
<td>Rest of NY</td>
<td>$14,000</td>
</tr>
<tr>
<td>Group Family Day Care (GFDC)</td>
<td>New York City</td>
<td>$30,000</td>
</tr>
<tr>
<td></td>
<td>Long Island RO and Westchester RO</td>
<td>$30,000</td>
</tr>
<tr>
<td></td>
<td>Rest of NY</td>
<td>$23,000</td>
</tr>
</tbody>
</table>
Component B Deliverables

- Online FAMS profile shows current enrollment as of the date grant is issued
- License change is reflected, if necessary
- Deliverable 1- Program is open and operating
- Deliverable 2: Program is in good standing
- Deliverable 3: Program has applied and/or is actively engaged in QUALITYStarsNY
- Deliverable 4: Staff completed training in compliance with OCFS regulations and/or Article 47 requirements
Component B Deliverables, if applicable

• Deliverable 5: Non-traditional hours are available as reflected in grant award.

• Deliverable 6: Care is available to children with special needs, as outlined in grant award, with ultimate enrollment six months after the date of their pre-approval letter.

• Deliverable 7: Infant/toddler slot is available and ultimately enrolled by six months after the date of their pre-approval letter as outlined in grant award.
Term of Award & Payment
Term of Award and Payment

- Awards will be prorated based on the duration of grant per provider
- Actual payments may be impacted by not achieving deliverables
- Initial amounts are not guaranteed grant amounts
- Payments begin after any necessary license change and pre-award requirements are met
Term of Award & Payment

Invest in NY Child Care awards are for up to one year and 10 months. Applicants will apply starting no earlier than June 30, 2022 and application period ending no later than August 4, 2022. Once award decisions are made, grant awardees will be sent a pre-approval letter.
Component A

Pre-approval letters will be sent after:

- license change has been approved
- verification of SFS ID as provided or created through the application is complete
- applicants are determined to be responsible by OCFS after submitting their Vendor Responsibility information
Component B

Pre-approval letters will be sent after:

- any necessary license changes have been approved
- grantees have cleared all pre- and post-award requirements
Payment Application

- Upon award, grant payments can be applied to eligible project expenses that applicants paid beginning on the grant application submission date.
- Final grant payments will not be made after June 30, 2024.
- Final award amounts and ongoing payments will not exceed the lower of the number of slots proposed in the application or the capacity approved for the license, and may be adjusted based on quarterly inspections.
Payment & Program Closure

If programs close or reduce capacity before the end of the grant period, they will not be eligible for OCFS grant funds after the date of program closure or capacity reduction and will be required to return any unspent grant funds received to OCFS.

To be eligible for all award payments, all licensed providers must be “In good standing” and not in an ineligible status.
Allowable Expenses
Allowable Expenses

- Program development costs and short-term program operating expenses, including but not limited to:
  - Personnel costs, including payroll, salaries, similar employee compensation, employee benefits, retirement costs, educational costs,
  - Other child care business expenses; and
  - Supporting staff expenses in accessing COVID-19 vaccines;
  - Rent (including under a lease agreement) or payment on any mortgage obligation, Utilities (heat, insurance, electricity)
- Training and technical assistance expenses, including professional development, business trainings, and business services.
# Types of Allowable Expenses

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payroll and Personnel</td>
<td>Payroll · Payroll taxes and fringe benefits · Retirement costs and contributions · Paid sick or family leave · Professional development, education, and training costs · Recruitment expenses · Retention bonuses</td>
</tr>
<tr>
<td>Business Rent or Mortgage</td>
<td>Rent or Mortgage expenses, based on provider cost allocation methodology</td>
</tr>
<tr>
<td>Business Utilities</td>
<td>Heat · Electricity · Telephone · Wi-Fi Service</td>
</tr>
<tr>
<td>Facility Maintenance and Improvements*</td>
<td>Minor renovations to:</td>
</tr>
<tr>
<td></td>
<td>• Create a welcoming environment for children and families</td>
</tr>
<tr>
<td></td>
<td>• Comply with safety guidance related to providing developmentally appropriate practices</td>
</tr>
<tr>
<td></td>
<td>• Make child care programs inclusive and accessible to children and families with disabilities</td>
</tr>
</tbody>
</table>

*Funds cannot be used for construction or major renovations such as structural changes, extension of a facility to increase floor area, or extensive alteration of the facility.
## Types of Allowable Expenses

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods</td>
<td>Food served to children · Diapering and toileting · Safe sleep · Equipment and materials for playing and learning</td>
</tr>
<tr>
<td>Services</td>
<td>Trainers · Child care management services · Business automation training and support services · Food services · Janitorial or cleaning services · Tax and Bookkeeping services</td>
</tr>
<tr>
<td>Fees and other Expenses</td>
<td>Costs related to Statewide Central Register for Child Abuse and Maltreatment (or SCR) Online Clearance System requests · Staff recruitment</td>
</tr>
<tr>
<td>Equipment and Supplies</td>
<td>Indoor and outdoor equipment and supplies · Technology upgrades needed to collect data and report to lead agencies · Office equipment and supplies</td>
</tr>
</tbody>
</table>
Scoring of Grant Applications
Grants will be scored separately by program type (modality) based on whether the proposed program:

- is in a high, medium or low level of child care desert census tract
- is in a REDC with a high medium or low rate of desert
- is in an area with a high score on Diversity Index
- serves a high poverty neighborhood, as identified by the percentage of families at or below 200% Federal Poverty Level.

Existing Programs Scoring
Ties Between Applicants

If there are tie scores among applicants, ties will be resolved by awarding in date/time order of application submission.
Using the NYS Child Care Deserts Map
Child Care Desert Map

- Not required for the grant application, for reference only
- Search by address or zoom into an area
- Click on a Census Tract to see possible score if a program was located there
- All scores and information will be pre-populated in the Grant Application
Child Care Desert Map

Estimated base score by address

Child Care Deserts Map
Instructions online:
Child Care Deserts Map
Instructions English

Child Care Deserts Map
Instructions Spanish / Español
RFA #2:
What You Need to Know
Steps to Apply:

• Step 1: Review Grant Requirements
• Step 2: Determine the projected expansion of the program
• Step 3: Contact your regulator regarding capacity questions
• Step 4: Grant Application Submission: Providers will complete an online application to provide details on their proposed program and how it will address child care deserts in their area of the State.
For reference: CCR&R/REDC Regional Priorities

Child Care Deserts Webpage: https://ocfs.ny.gov/programs/childcare/deserts/existing.php