1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month  Day  Year
   01  15  2018

2. In what facility did the incident occur?
   Name
   Finger Lakes
   City/Place
   Lansing, NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [X] In a temporary holding cell or admissions area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [X] In an instructional area (e.g., classroom, school, library, conference room)
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [X] In a medical area (e.g., infirmary, health clinic)
   10 [X] In a staff area (office, break room, counselor's office)
   11 [X] Offsite or while in transit
   12 [X] Other – Specify
    Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02  No
   03  Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04  Overnight (midnight to 6 a.m.)
   05  Unknown

6. How many victims were involved in the incident?
   Number of victims __________________________
   If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 [X] Male
   02  Female
   03  Transgender
   04  Transgender
   05  Intersex
   06  Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [X] Under age 13
   02  13–15
   03  16–17
   04  18–19
   05  20–24
   06  25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02  Black (not of Hispanic origin)
   03  Hispanic or Latino
   04  American Indian/Alaska Native (not of Hispanic origin)
   05  Asian (not of Hispanic origin)
   06  Native Hawaiian or Other Pacific Islander
   07  Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 [X] Male
    02  Female
    03  Transgender
    04  Transgender

11. Victim #2: What was the victim's age at the time of the incident?
    01 [X] Under age 13
    02  13–15
    03  16–17
    04  18–19
    05  20–24
    06  25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system — Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply.)
01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other — Specify [ ]

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [ ] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [ ] Victim
02 [ ] Another youth (non-victim)
03 [ ] Victim’s family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religious official
10 [ ] Perpetrator
11 [ ] Perpetrator's family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other — Specify [ ]

15. After the incident was reported, was the victim(s) — (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [ ] None of the above

16. After the incident was reported, was the victim(s) — (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level/different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [ ] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other — Specify [ ]

11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Youth-on-youth nonconsensual sexual act → Complete Section A, below
02 [ ] Youth-on-youth abusive contact → Complete Section A, below
03 [ ] Youth-on-youth sexual harassment → Complete Section A, below
04 [ ] Staff sexual misconduct → Complete Section B on pages 4–5
05 [ ] Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . . . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 □ Male 03 □ Transgender
   02 □ Female 04 □ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 □ Under age 13 04 □ 18–19
   02 □ 13–15 05 □ 20–24
   03 □ 16–17 06 □ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 □ Male 03 □ Transgender
   02 □ Female 04 □ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 □ Under age 13 04 □ 18–19
   02 □ 13–15 05 □ 20–24
   03 □ 16–17 06 □ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   02 □ Sexual harassment
   03 □ Indecent exposure, masturbation, or voyeurism
   04 □ Horseplay
   05 □ Repeated and unwelcome sexual advances or requests for sexual favors
   06 □ Unwanted touching for sexual gratification or abusive sexual contact
   07 □ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 □ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 □ Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   01 □ Sexual harassment, sexual innuendo, or verbal comments
   02 □ Persuasion or talked into sexual activity
   03 □ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 □ Bribery or blackmail
   05 □ Gave victim drugs or alcohol
   06 □ Offered protection from other youth
   07 □ Threatened with physical harm
   08 □ Physically held victim down or restrained in some way
   09 □ Physically harmed or injured
   10 □ Threatened with a weapon
   11 □ Other – Specify
   12 □ None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   01 □ Placed in solitary confinement or disciplinary segregation
   02 □ Confined to own cell or room
   03 □ Placed in higher custody level, restricted unit or program, within the same facility
   04 □ Transferred to other unit/cell or separated from victim
   05 □ Transferred to another facility
   06 □ Loss of "good/gain" time or increase in "bad" time/delayed release
   07 □ Given extra work
   08 □ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 □ Sent to counseling or treatment team
   10 □ Arrested or referred to law enforcement agency
   11 □ Referred for prosecution or indicted
   12 □ Convicted, given new sentence, or fined
   13 □ Other – Specify
33. Staff #2: What was the gender of the staff?
   - 01 □ Male
   - 02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   - 01 □ 24 or younger
   - 02 □ 25–29
   - 03 □ 30–34
   - 04 □ 35–39
   - 05 □ 40–44
   - 06 □ 45–54
   - 07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   - 01 □ White (not of Hispanic origin)
   - 02 □ Black (not of Hispanic origin)
   - 03 □ Hispanic or Latino
   - 04 □ American Indian/Alaska Native (not of Hispanic origin)
   - 05 □ Asian (not of Hispanic origin)
   - 06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - 07 □ Other racial category in your information system – Specify ☐

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   - 01 □ Full or part time paid employee
   - 02 □ Contract employee or vendor
   - 03 □ Volunteer or intern
   - 04 □ Other – Specify ☐

37. What was the primary position description of the staff involved in the incident?
   - 01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   - 02 □ Correctional officer or supervisory staff
   - 03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   - 04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   - 05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   - 06 □ Education staff, including instructors, teachers, librarians, and education assistants
   - 07 □ Other program staff
   - 08 □ Volunteers or Interns
   - 09 □ Other staff – Specify ☐
38. What sanction was imposed on the staff? (Mark (X) all that apply for all staff involved.)

01 ☐ Sent to training or counseling
02 ☐ Reprimanded or disciplined
03 ☐ Demoted, diminished responsibilities, or suspended temporarily
04 ☐ Transferred to another facility or unit
05 ☐ Arrested or referred to law enforcement agency
06 ☐ Referred for prosecution or indicted
07 ☐ Convicted, plead guilty, sentenced, or fined
08 ☐ Discharged, terminated, or contract not renewed
09 ☐ Staff resigned (prior to completion of investigation)
10 ☐ Staff resigned (after investigation was completed)
11 ☐ Other – Specify □

12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark (X) all that apply for all staff involved.)

01 ☐ Less than 6 months
02 ☐ 6 months to 1 year
03 ☐ 1 to 5 years
04 ☐ 5 to 10 years
05 ☐ More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month Day Year
   08 06 2018

2. In what facility did the incident occur?
   Name
   S. Kornbight NY
   City/Place
   S. Kornbight NY

3. Where did the incident occur? (Mark [X] all that apply.)
   01 ☐ In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 ☐ In the perpetrator's cell or room
   03 ☐ In a dormitory or other multiple housing unit
   04 ☐ In a common area (e.g., shower, dayroom, bathroom)
   05 ☐ In a temporary holding cell or admissions area within the facility
   06 ☐ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 ☐ In an instructional area (e.g., classroom, school, library, conference room)
   08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
   09 ☐ In a medical area (e.g., infirmary, health clinic)
   10 ☐ In a staff area (office, break room, counselor's office)
   11 ☐ Offsite or while in transit
   12 ☐ Other – Specify ☐
   13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 ☒ Yes
   02 ☐ No
   03 ☐ Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 ☐ Morning (6 a.m. to noon)
   02 ☐ Afternoon (noon to 6 p.m.)
   03 ☐ Evening (6 p.m. to midnight)
   04 ☒ Overnight (midnight to 6 a.m.)
   05 ☐ Unknown

6. How many victims were involved in the incident?
   Number of victims __________
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 ☒ Male
   02 ☐ Female
   03 ☐ Transgender
   04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 ☐ Under age 13
   02 ☐ 13–15
   03 ☐ 16–17
   04 ☐ 18–19
   05 ☐ 20–24
   06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 ☒ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 ☐ Male
    02 ☐ Female
    03 ☐ Transgender
    04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 ☐ Under age 13
    02 ☐ 13–15
    03 ☐ 16–17
    04 ☐ 18–19
    05 ☐ 20–24
    06 ☐ 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01☐ White (not of Hispanic origin)
02☐ Black (not of Hispanic origin)
03☐ Hispanic or Latino
04☐ American Indian/Alaska Native (not of Hispanic origin)
05☐ Asian (not of Hispanic origin)
06☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07☐ Other (specify)

13. Did the victim(s) sustain any physical injury during the incident?
02☐ No (No injury sustained)
01☐ Yes → a. What injuries occurred? (Mark [X] all that apply.)
  01☐ Knife or stab wounds
  02☐ Broken bones
  03☐ Anal or vaginal tearing
  04☐ Chipped or knocked out teeth
  05☐ Internal injuries
  06☐ Knocked unconscious
  07☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
  08☐ Other (specify)

→ b. Did the victim(s) receive medical treatment for these injuries?
  01☐ Yes
  02☐ No

14. Who reported the incident? (Mark [X] all that apply.)
01☐ Victim
02☐ Another youth (non-victim)
03☐ Victim's family or friend
04☐ Correctional officer or front line staff
05☐ Administrative staff
06☐ Medical, healthcare, or mental health staff
07☐ Instructor, teacher, or counselor
08☐ Other staff (e.g., kitchen worker, maintenance staff)
09☐ Chaplain or other religious official
10☐ Perpetrator
11☐ Perpetrator's family or friend
12☐ Grievance coordinator, grievance process, or ombudsman
13☐ Attorney or legal guardian (e.g., other than family member)
14☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15☐ Other (specify)

15. After the incident was reported, was the victim(s)? (Mark [X] all that apply.)
01☐ Given a medical examination
02☐ Administered a rape kit
03☐ Tested for HIV/AIDS
04☐ Tested for other sexually transmitted diseases
05☐ Provided with counseling or mental health treatment
06☐ Offered but declined testing or treatment
07☐ Already released/discharged
08☐ None of the above

16. After the incident was reported, was the victim(s)? (Mark [X] all that apply.)
01☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02☐ Placed in a medical unit, ward, or hospital
03☐ Confined to own cell or room
04☐ Given a higher custody level/different unit within the facility
05☐ Transferred to another facility
06☐ Transferred to another housing unit or dorm, or given a single room or cell
07☐ Separated from perpetrator
08☐ Issued disciplinary report or loss of privileges
09☐ Placed in camera room, under closer surveillance, or increased supervision
10☐ Other (specify)

→ 11☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01☐ Youth-on-youth nonconsensual sexual act
→ Complete Section A, below
02☐ Youth-on-youth abusive contact
→ Complete Section A, below
03☐ Youth-on-youth sexual harassment
→ Complete Section A, below
04☐ Staff sexual misconduct
→ Complete Section B on pages 4–5
05☐ Staff sexual harassment
→ Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male  03 [ ] Transgender
   02 [ ] Female  04 [ ] Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13  04 [ ] 18–19
   02 [ ] 13–15  05 [ ] 20–24
   03 [ ] 16–17  06 [ ] 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 [ ] Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)
   01 [ ] Male  03 [ ] Transgender
   02 [ ] Female  04 [ ] Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
   01 [ ] Under age 13  04 [ ] 18–19
   02 [ ] 13–15  05 [ ] 20–24
   03 [ ] 16–17  06 [ ] 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 [ ] Other racial category in your information system – Specify

25. What was the nature of the incident?
   (Mark [X] all that apply.)
   01 [ ] Sexual harassment
   02 [ ] Indecent exposure, masturbation, or voyeurism
   03 [ ] Horseplay
   04 [ ] Repeated and unwelcome sexual advances or requests for sexual favors
   05 [ ] Unwanted touching for sexual gratification or abusive sexual contact
   06 [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
   07 [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
   08 [ ] Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim?
   (Mark [X] all that apply for all perpetrators.)
   01 [ ] Sexual harassment, sexual innuendo, or verbal comments
   02 [ ] Persuasion or talked into sexual activity
   03 [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 [ ] Bribery or blackmail
   05 [ ] Gave victim drugs or alcohol
   06 [ ] Offered protection from other youth
   07 [ ] Threatened with physical harm
   08 [ ] Physically held victim down or restrained in some way
   09 [ ] Physically harmed or injured
   10 [ ] Threatened with a weapon
   11 [ ] Other – Specify
   12 [ ] None

27. What sanction was imposed on the perpetrator(s)?
   (Mark [X] all that apply for all perpetrators.)
   01 [ ] Placed in solitary confinement or disciplinary segregation
   02 [ ] Confinement to own cell or room
   03 [ ] Placed in higher custody level, restricted unit or program, within the same facility
   04 [ ] Transferred to other unit/cell or separated from victim
   05 [ ] Transferred to another facility
   06 [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
   07 [ ] Given extra work
   08 [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 [ ] Sent to counseling or treatment team
   10 [ ] Arrested or referred to law enforcement agency
   11 [ ] Referred for prosecution or indicted
   12 [ ] Convicted, given new sentence, or fined
   13 [ ] Other – Specify
33. Staff #2: What was the gender of the staff?
   01 □ Male  
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  
   02 □ 25–29  
   03 □ 30–34  
   04 □ 35–39  
   05 □ 40–44  
   06 □ 45–54  
   07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark [□] all that apply.)
   01 □ White (not of Hispanic origin)  
   02 □ Black (not of Hispanic origin)  
   03 □ Hispanic or Latino  
   04 □ American Indian/Alaska Native (not of Hispanic origin)  
   05 □ Asian (not of Hispanic origin)  
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
   07 □ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark [□] all that apply.)
   01 □ Full or part time paid employee  
   02 □ Contract employee or vendor  
   03 □ Volunteer or intern  
   04 □ Other – Specify

37. What was the primary position description of the staff involved in the incident?
   (Mark [□] all that apply.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions  
   02 □ Correctional officer or supervisory staff  
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support  
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  
   06 □ Education staff, including instructors, teachers, librarians, and education assistants  
   07 □ Other program staff  
   08 □ Volunteers or interns  
   09 □ Other staff – Specify
### 38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

- [ ] 01 Sent to training or counseling
- [ ] 02 Reprimanded or disciplined
- [ ] 03 Demoted, diminished responsibilities, or suspended temporarily
- [ ] 04 Transferred to another facility or unit
- [ ] 05 Arrested or referred to law enforcement agency
- [ ] 06 Referred for prosecution or indicted
- [ ] 07 Convicted, plead guilty, sentenced, or fined
- [ ] 08 Discharged, terminated, or contract not renewed
- [ ] 09 Staff resigned (prior to completion of investigation)
- [ ] 10 Staff resigned (after investigation was completed)
- [ ] 11 Other – Specify

- [ ] 12 No action taken

### 39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

- [ ] 01 Less than 6 months
- [ ] 02 6 months to 1 year
- [ ] 03 1 to 5 years
- [ ] 04 5 to 10 years
- [ ] 05 More than 10 years

---

### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month  Day  Year
   012  10  2013

2. In what facility did the incident occur?
   Name
   
   City/Place
   

3. Where did the incident occur? (Mark (X) all that apply.)
   01 ☐ In the victim’s cell or room (e.g., if the victim and
   perpetrator share a cell or room, count as the victim’s
   cell)
   02 ☐ In the perpetrator’s cell or room
   03 ☐ In a dormitory or other multiple housing unit
   04 ☐ In a common area (e.g., shower, dayroom, bathroom)
   05 ☐ In a temporary holding cell or admissions area within
   the facility
   06 ☐ In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallway)
   07 ☐ In an instructional area (e.g., classroom, school, library,
   conference room)
   08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
   09 ☐ In a medical area (e.g., infirmary, health clinic)
   10 ☐ In a staff area (office, break room, counselor’s office)
   11 ☐ Offsite or while in transit
   12 ☐ Other – Specify
   13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 ☐ Yes
   02 ☐ No
   03 ☐ Don’t know

5. What time did the incident occur? (Mark (X) all that apply.)
   01 ☐ Morning (6 a.m. to noon)
   02 ☐ Afternoon (noon to 6 p.m.)
   03 ☐ Evening (6 p.m. to midnight)
   04 ☐ Overnight (midnight to 6 a.m.)
   05 ☐ Unknown

6. How many victims were involved in the incident?
   Number of victims
   
   → If more than two victims were involved, report
   their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)
   01 ☐ Male
   02 ☐ Female
   03 ☐ Transgender
   04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 ☐ Under age 13
   02 ☐ 13–15
   03 ☐ 16–17
   04 ☐ 18–19
   05 ☐ 20–24
   06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander
   (not of Hispanic origin)
   07 ☐ Other racial category in your information system –
   Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)
    01 ☐ Male
    02 ☐ Female
    03 ☐ Transgender
    04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 ☐ Under age 13
    02 ☐ 13–15
    03 ☐ 16–17
    04 ☐ 18–19
    05 ☐ 20–24
    06 ☐ 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
   (Mark [ ] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander
      (not of Hispanic origin)
   07 [ ] Other racial category in your information system –
      Specify

13. Did the victim(s) sustain any physical injury during the incident?
   02 [ ] No (No injury sustained)
   01 [ ] Yes → a. What injuries occurred?
      (Mark [ ] all that apply.)
      01 [ ] Knife or stab wounds
      02 [ ] Broken bones
      03 [ ] Anal or vaginal tearing
      04 [ ] Chipped or knocked out teeth
      05 [ ] Internal injuries
      06 [ ] Knocked unconscious
      07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 [ ] Other – Specify

   → b. Did the victim(s) receive medical treatment for these injuries?
      01 [ ] Yes
      02 [ ] No

14. Who reported the incident?
   (Mark [ ] all that apply.)
   01 [x] Victim
   02 [ ] Another youth (non-victim)
   03 [ ] Victim’s family or friend
   04 [ ] Correctional officer or front line staff
   05 [ ] Administrative staff
   06 [ ] Medical, healthcare, or mental health staff
   07 [ ] Instructor, teacher, or counselor
   08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
   09 [ ] Chaplain or other religious official
   10 [ ] Perpetrator
   11 [ ] Perpetrator’s family or friend
   12 [ ] Grievance coordinator, grievance process, or ombudsman
   13 [ ] Attorney or legal guardian (e.g., other than family member)
   14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 [ ] Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [ ] all that apply for all victims.)
   01 [ ] Given a medical examination
   02 [ ] Administered a rape kit
   03 [ ] Tested for HIV/AIDS
   04 [ ] Tested for other sexually transmitted diseases
   05 [ ] Provided with counseling or mental health treatment
   06 [ ] Offered but declined testing or treatment
   07 [ ] Already released/discharged
   08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [ ] all that apply for all victims.)
   01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 [ ] Placed in a medical unit, ward, or hospital
   03 [ ] Confined to own cell or room
   04 [ ] Given a higher custody level/different unit within the facility
   05 [ ] Transferred to another facility
   06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
   07 [ ] Separated from perpetrator
   08 [ ] Issued disciplinary report or loss of privileges
   09 [ ] Placed in camera room, under closer surveillance, or increased supervision
   10 [ ] Other – Specify

11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 [ ] Youth-on-youth nonconsensual sexual act
      → Complete Section A, below
   02 [ ] Youth-on-youth abusive contact
      → Complete Section A, below
   03 [ ] Youth-on-youth sexual harassment
      → Complete Section A, below
   04 [ ] Staff sexual misconduct
      → Complete Section B on pages 4–5
   05 [ ] Staff sexual harassment
      → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
   Number of youth perpetrators:    

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator's sex or gender identity?  (See definitions on page 5.)

<table>
<thead>
<tr>
<th></th>
<th>Male</th>
<th>Transgender</th>
<th>Female</th>
<th>Intersex</th>
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<tbody>
<tr>
<td>01</td>
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<td>03</td>
<td></td>
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<td>02</td>
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<td>04</td>
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</table>

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

<table>
<thead>
<tr>
<th></th>
<th>Under age 13</th>
<th>18–19</th>
<th>13–15</th>
<th>20–24</th>
<th>16–17</th>
<th>25 or older</th>
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<td>01</td>
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</table>

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin?  (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</th>
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<tr>
<td>03</td>
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<td>X</td>
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</tbody>
</table>

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity?  (See definitions on page 5.)

<table>
<thead>
<tr>
<th></th>
<th>Male</th>
<th>Transgender</th>
<th>Female</th>
<th>Intersex</th>
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<td>01</td>
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<td>02</td>
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<td>04</td>
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</table>

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

<table>
<thead>
<tr>
<th></th>
<th>Under age 13</th>
<th>18–19</th>
<th>13–15</th>
<th>20–24</th>
<th>16–17</th>
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24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin?  (Mark [X] all that apply.)

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<thead>
<tr>
<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</th>
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25. What was the nature of the incident?  (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>Sexual harassment</th>
<th>Indecent exposure, masturbation, or voyeurism</th>
<th>Horseplay</th>
<th>Repeated and unwelcome sexual advances or requests for sexual favors</th>
<th>Unwanted touching for sexual gratification or abusive sexual contact</th>
<th>Pressure or coercion (without force) resulting in a nonconsensual sexual act</th>
<th>Physical force (or the threat of force) resulting in a nonconsensual sexual act</th>
<th>Other – Specify</th>
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26. What type of pressure or physical force was used by the youth perpetrator on the victim?  (Mark [X] all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Sexual harassment, sexual innuendo, or verbal comments</th>
<th>Persuasion or talked into sexual activity</th>
<th>Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep</th>
<th>Bribery or blackmail</th>
<th>Gave victim drugs or alcohol</th>
<th>Offered protection from other youth</th>
<th>Threatened with physical harm</th>
<th>Physically held victim down or restrained in some way</th>
<th>Physically harmed or injured</th>
<th>Threatened with a weapon</th>
<th>Other – Specify</th>
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27. What sanction was imposed on the perpetrator(s)?  (Mark [X] all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Placed in solitary confinement or disciplinary segregation</th>
<th>Confined to own cell or room</th>
<th>Placed in higher custody level, restricted unit or program, within the same facility</th>
<th>Transferred to other unit/cell or separated from victim</th>
<th>Transferred to another facility</th>
<th>Loss of &quot;good/gain&quot; time or increase in &quot;bad&quot; time/delayed release</th>
<th>Given extra work</th>
<th>Loss of privileges, disciplinary report or conduct violation, or other reprimand</th>
<th>Sent to counseling or treatment team</th>
<th>Arrested or referred to law enforcement agency</th>
<th>Referred for prosecution or indicted</th>
<th>Convicted, given new sentence, or fined</th>
<th>Other – Specify</th>
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| 10 |                                                          |                               |                                                                                     |                                                                  |                                |                                                              |                 |                                               |                                   |                                   |                               |                                   |                 |
| 11 |                                                          |                               |                                                                                     |                                                                  |                                |                                                              |                 |                                               |                                   |                                   |                               |                                   |                 |
| 12 |                                                          |                               |                                                                                     |                                                                  |                                |                                                              |                 |                                               |                                   |                                   |                               |                                   |                 |
| 13 |                                                          |                               |                                                                                     |                                                                  |                                |                                                              |                 |                                               |                                   |                                   |                               |                                   |                 |
Section B – STAFF-ON-YOUTH SEXUAL ABUSE

33. Staff #2: What was the gender of the staff?
   01 ☐ Male          06 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 ☐ 24 or younger  06 ☐ 40–44
   02 ☐ 25–29           06 ☐ 45–54
   03 ☐ 30–34           07 ☐ 55 or older
   04 ☐ 35–39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark ☐ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark ☐ all that apply for all staff involved.)
   01 ☐ Full or part time paid employee
   02 ☐ Contract employee or vendor
   03 ☐ Volunteer or intern
   04 ☐ Other – Specify ☐

37. What was the primary position description of the staff involved in the incident?
   (Mark ☐ all that apply for all staff involved.)
   01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 ☐ Correctional officer or supervisory staff
   03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
   07 ☐ Other program staff
   08 ☐ Volunteers or interns
   09 ☐ Other staff – Specify ☐
### 38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify [ ]

- [ ] No action taken

### 39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

---

### Definitions

#### Sexual victimization

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

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Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

- Completed, attempted, threatened, or requested sexual acts;

OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

#### Gender categories

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
### Survey of Sexual Victimization, 2018

#### Substantiated Incident Form (Juvenile)

1. **On what date did the incident occur?**
   
   **(If more than one date, report the most recent.)**
   
<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>01</td>
<td>2018</td>
</tr>
</tbody>
</table>

2. **In what facility did the incident occur?**
   
   **Name:** Finger Lakes Res. Ctr.
   
   **City/Place:** Lansing, NY.

3. **Where did the incident occur?** *(Mark [X] all that apply.)*
   
   - [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   - [ ] In the perpetrator's cell or room
   - [ ] In a dormitory or other multiple housing unit
   - [X] In a common area (e.g., shower, dayroom, bathroom)
   - [ ] In a temporary holding cell or admissions area within the facility
   - [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   - [ ] In an instructional area (e.g., classroom, school, library, conference room)
   - [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   - [ ] In a medical area (e.g., infirmary, health clinic)
   - [ ] In a staff area (office, break room, counselor's office)
   - [ ] Offsite or while in transit
   - [ ] Other – Specify:

4. **Did the incident take place in an area subject to video monitoring?**
   
   - [X] Yes
   - [ ] No
   - [ ] Don't know

5. **What time did the incident occur?** *(Mark [X] all that apply.)*
   
   - [X] Morning (6 a.m. to noon)
   - [ ] Afternoon (noon to 6 p.m.)
   - [ ] Evening (6 p.m. to midnight)
   - [ ] Overnight (midnight to 6 a.m.)
   - [ ] Unknown

6. **How many victims were involved in the incident?**
   
   Number of victims:
   
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim's sex or gender identity?** *(See definitions on page 5.)*
   
   - [X] Male
   - [ ] Female
   - [ ] Transgender
   - [ ] Intersex

8. **Victim #1: What was the victim's age at the time of the incident?**
   
   - [ ] Under age 13
   - [X] 13–15
   - [ ] 16–17
   - [ ] 18–19
   - [ ] 20–24
   - [ ] 25 or older

9. **Victim #1: What was the victim's race/ethnic origin?** *(Mark [X] all that apply.)*
   
   - [X] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify:

10. **Victim #2: What was the victim's sex or gender identity?** *(See definitions on page 5.)*
    
    - [ ] Male
    - [ ] Female
    - [X] Transgender
    - [ ] Intersex

11. **Victim #2: What was the victim's age at the time of the incident?**
    
    - [ ] Under age 13
    - [ ] 13–15
    - [ ] 16–17
    - [X] 18–19
    - [ ] 20–24
    - [ ] 25 or older

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**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark ☑ all that apply.)
   ☐ White (not of Hispanic origin)
   ☐ Black (not of Hispanic origin)
   ☐ Hispanic or Latino
   ☐ American Indian/Alaska Native (not of Hispanic origin)
   ☐ Asian (not of Hispanic origin)
   ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   ☐ Other racial category in your information system — Specify ☑

13. Did the victim(s) sustain any physical injury during the incident?
   ☑ Yes → a. What injuries occurred? (Mark ☑ all that apply.)
      ☐ Knife or stab wounds
      ☐ Broken bones
      ☐ Anal or vaginal tearing
      ☐ Chipped or knocked out teeth
      ☐ Internal injuries
      ☐ Knocked unconscious
      ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
      ☐ Other — Specify ☑

→ b. Did the victim(s) receive medical treatment for these injuries?
   ☐ Yes
   ☐ No

14. Who reported the incident? (Mark ☑ all that apply.)
   ☑ Victim
   ☐ Another youth (non-victim)
   ☐ Victim's family or friend
   ☐ Correctional officer or front line staff
   ☐ Administrative staff
   ☐ Medical, healthcare, or mental health staff
   ☐ Instructor, teacher, or counselor
   ☐ Other staff (e.g., kitchen worker, maintenance staff)
   ☐ Chaplain or other religious official
   ☐ Perpetrator
   ☐ Perpetrator's family or friend
   ☐ Grievance coordinator, grievance process, or ombudsperson
   ☐ Attorney or legal guardian (e.g., other than family member)
   ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   ☐ Other — Specify ☑

15. After the incident was reported, was the victim(s) — (Mark ☑ all that apply for all victims.)
   ☐ Given a medical examination
   ☐ Administered a rape kit
   ☐ Tested for HIV/AIDS
   ☐ Tested for other sexually transmitted diseases
   ☐ Provided with counseling or mental health treatment
   ☐ Offered but declined testing or treatment
   ☐ Already released/discharged
   ☐ None of the above

16. After the incident was reported, was the victim(s) — (Mark ☑ all that apply for all victims.)
   ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   ☐ Placed in a medical unit, ward, or hospital
   ☐ Confined to own cell or room
   ☐ Given a higher custody level/different unit within the facility
   ☐ Transferred to another facility
   ☐ Transferred to another housing unit or dorm, or given a single room or cell
   ☑ Separated from perpetrator
   ☐ Issued disciplinary report or loss of privileges
   ☐ Placed in camera room, under closer surveillance, or increased supervision
   ☐ Other — Specify ☑

   ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   ☐ Youth-ow youth nonconsensual sexual act → Complete Section A, below
   ☐ Youth-ow youth abusive contact → Complete Section A, below
   ☑ Youth-ow youth sexual harassment → Complete Section A, below
   ☐ Staff sexual misconduct → Complete Section B on pages 4–5
   ☐ Staff sexual harassment → Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
   Number of youth perpetrators . . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
   01 ☐ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator’s age at the time of the incident?
   01 ☐ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator’s race/ethnic origin? (Mark ☑ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

22. Perpetrator #2: What was the youth perpetrator’s sex or gender identity? (See definitions on page 5.)
   01 ☐ Male  03 ☐ Transgender
   02 ☐ Female  04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator’s age at the time of the incident?
   01 ☐ Under age 13  04 ☐ 18–19
   02 ☐ 13–15  05 ☐ 20–24
   03 ☐ 16–17  06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator’s race/ethnic origin? (Mark ☑ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify ☐

25. What was the nature of the incident? (Mark ☑ all that apply.)
   01 ☒ Sexual harassment, sexual innuendo, or verbal comments
   02 ☒ Persuasion or talked into sexual activity
   03 ☒ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☒ Bribery or blackmail
   05 ☒ Gave victim drugs or alcohol
   06 ☒ Offered protection from other youth
   07 ☒ Threatened with physical harm
   08 ☒ Physically held victim down or restrained in some way
   09 ☒ Physically harmed or injured
   10 ☒ Threatened with a weapon
   11 ☒ Other – Specify ☐

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark ☑ all that apply for all perpetrators.)
   01 ☒ Sexual harassment, sexual innuendo, or verbal comments
   02 ☒ Persuasion or talked into sexual activity
   03 ☒ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☒ Bribery or blackmail
   05 ☒ Gave victim drugs or alcohol
   06 ☒ Offered protection from other youth
   07 ☒ Threatened with physical harm
   08 ☒ Physically held victim down or restrained in some way
   09 ☒ Physically harmed or injured
   10 ☒ Threatened with a weapon
   11 ☒ Other – Specify ☐

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark ☑ all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☐ Confinement to own cell or room
   03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☐ Loss of “good/gain” time or increase in “bad” time/delayed release
   07 ☐ Given extra work
   08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☐ Sent to counseling or treatment team
   10 ☒ Arrested or referred to law enforcement agency
   11 ☒ Referred for prosecution or indicted
   12 ☒ Convicted, given new sentence, or fined
   13 ☒ Other – Specify ☐

[Signature of Youth Worker]
[Signature of Authorizing Official]
[Signature of Victim]
33. Staff #2: What was the gender of the staff?
   01  □  Male  
   02  □  Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01  □  24 or younger  
   02  □  25–29  
   03  □  30–34  
   04  □  35–39  
   05  □  40–44  
   06  □  45–54  
   07  □  55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark □ all that apply.)
   01  □  White (not of Hispanic origin)  
   02  □  Black (not of Hispanic origin)  
   03  □  Hispanic or Latino  
   04  □  American Indian/Alaska Native (not of Hispanic origin)  
   05  □  Asian (not of Hispanic origin)  
   06  □  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
   07  □  Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark □ all that apply for all staff involved.)
   01  □  Full or part time paid employee  
   02  □  Contract employee or vendor  
   03  □  Volunteer or intern  
   04  □  Other – Specify

37. What was the primary position description of the staff involved in the incident?
   (Mark □ all that apply for all staff involved.)
   01  □  Administrator, including wardens, superintendents, assistants and others in administrative positions  
   02  □  Correctional officer or supervisory staff  
   03  □  Clerical staff including secretaries, clerks, receptionists, and other administrative support  
   04  □  Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  
   05  □  Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  
   06  □  Education staff, including instructors, teachers, librarians, and education assistants  
   07  □  Other program staff  
   08  □  Volunteers or Interns  
   09  □  Other staff – Specify
### 38. What sanction was imposed on the staff?
(Mark all that apply for all staff involved.)

- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

- [ ] No action taken

### 39. At the time of the incident, how long had the staff worked at the facility?
(Mark all that apply for all staff involved.)

- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

### Gender categories

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.