NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES

ENROLLMENT FORM FOR PROVIDER OF LEGALLY-EXEMPT FAMILY CHILD CARE AND LEGALLY-EXEMPT IN-HOME CHILD CARE

Child care providers who are not required by New York State law to be licensed or registered to operate a child day care program use this form to enroll with a legally-exempt caregiver enrollment agency to provide subsidized child care in a residence.



Instructions: Please use black/blue pen.

- Provider: Complete Section 1 Child Care Provider
- Parent/Caretaker: Complete Section 2 Parent Information
- The provider and the parent/caretaker walk through and inspect the site, review sections of the form, then sign and date where indicated.
- Submit the completed form to the enrollment agency serving the location where the child care is being provided.

SECTION 1 - CHILD CA	RE PROVID	DER		
A. CHILD CARE PROV	/IDER ANI	D PROGRAM		
1. CHILD CARE PROVIDER				
☐ Mr. ☐ Mrs. ☐ Ms.	t	First		MI Suffix
Other names known by:				
Other names known by: Maid	en, married, aliases	s, etc.		
2. IDENTIFYING AND CONT				
Enrollment Number:		Site Phone (land line or cell); ()	
(If applicable)				
Date of Birth: / /	•	Social Security #:1		
(mm/dd/yyyy	/)			
Gender (Male or Female):]M □F	Email Address:2		
House Number S	treet			Apt.
Address Line 2				Floor
City		State	Zip	County
4. Home Address: Is you	r home addre	ss the same as the child car	e location given	above?
☐ Yes ☐ No. If no, give ho			5 15 5 a.i.	
House Number Street				Apt.
Address Line 2				Floor
City		State	Zip	County
(For Enrollment Agency Use)	(Fo	r Local District Use)	—'P	
Received Date / /	Par	ent's Case No.		Type: Local 🗌 WMS 🗌
Complete Date / /	LSS	SD Office /Unit /Worker No. /	' /	

¹ The Social Security number is required when the local social services district issues child care subsidy payments directly to a child care provider. Failure to provide the Social Security number may delay payment. The Social Security number of the provider is optional when a local social services district issues child care subsidy checks to the subsidy recipient (parent/ caretaker). If you provide the Social Security number, federal, state, and local agencies may use it for federal reporting, to prevent the duplication of services, and to prevent fraud.

 $^{2\ \}mbox{If you provide}$ an email address, the enrollment agency may use it to contact you.

OCFS-LDSS-4699 (Rev. 05/2018)

	House Nun	nber		Street					Apt.
	Address Li	ne 2							Floor
	City					State	Zip	County	
6.	•	u nr	ovic	ously enrolled as a le	aally-evemnt c		•	County	
Ο.	-			give year enrolled	• .	•			
7.	Do you	read	l En	glish? 🗌 Yes 🗌 No	. If no, what lang	guage do you read	l best?		
8.	Do you	spea	ak E	nglish? 🗌 Yes 🗌 No	. If no, what lan	guage do you spea	ak best?		
9.	Does ar ☐ Yes ☐ No	-		person provide child be:		ME location in w	•	to provide child c	are?
В.	TYPE	0 F	L	EGALLY-EXEM	PT CHILD C	CARE THAT	You Provi	<u>D E</u>	
1.				ement that describe	s the child care	services you see	ek to provide. C	Check 🗹 A, B, or 0	C, and provide
	□ A)	hor	ne.	<u>in-home</u> child care pr (<i>Provider and parent</i> DSS-4699-2, <i>Legally-</i>	/caretaker: Pleas	se read the OCFS	S-LDSS-4699-2A,	then complete, an	
	☐ B)	chil	d w	amily child care provided to does not live in the best.)					
			1)	Relative care – I am aunt/great uncle, brot					unt/uncle, great
			2)	I care for no more that	an two children (r	not counting my ow	vn children or any	children older than	13 years); OR
			3)	I care for three or mo more than three hour		ever, I never have	e more than two c	hildren in care at th	e same time for
	☐ C)	Oth	ner -	I provide care other the	an choices A or E	3 above. Explain:	·		
		(Yo	ou c	annot be enrolled until	you prove that yo	ou are legally-exer	mpt from the licens	sing and registering	requirements.)
2.	Are you a	at lea	ast 1	8 years of age?					
	☐ Yes								
	∐ No.	belo	w to	u must comply with the show you meet the red	quirements. (Che	eck $ec{oldsymbol{arDeta}}$ to show item	is attached.)		ments listed
				e ATTACHED the OCI			-		" 0' " ' 0
		<u></u> □ I	hav (Not	e ATTACHED a copy required for in-home	of my working pa child care provide	apers, which are reers.)	equired if I am a r	minor providing Far	nily Child Care.

Last

C. PEOPLE WHO MAY BE PRESENT AT CHILD CARE LOCATION

People who are present at the child care location when child care is provided and may have contact with child(ren) you care for must be checked against the New York State Sex Offender Registry as required by New York State regulations. These checks apply to the following people:

- An employee a person you hire to work at the child care location
- A volunteer a person who is sometimes at the child care location and has the potential for regular and substantial contact with the children you provide care for
- For family child care, a household member age 18 or older a person who lives in the home where care is provided

NOTE: The enrolled child care provider is the person authorized to care for the subsidized child(ren). The enrolled child care provider must be present and supervising at all times. Employees, volunteers, and household members CANNOT substitute for

	e provider in caring for the child(ren) a					enoid intempers CAN	NOT substitute for
1. Do	you have any employees or volu	inteers, as described	above	?			
	No Yes. If yes, list all in Table	e 1, below and attach ac	dition	al sheets if	necessary	/ .	
TABL	E 1-CHILD CARE PROVIDER'S VOLUNT	EERS AND EMPLOYEES	;				
	Nami (INCLUDE AND SPECIFY MAIDEN NAME ANI VOLUNTEERS AND EMPLOY	O ANY OTHER ALIAS NAMES	BY WH	IICH	ROLE: EMPLOYE OR VOLUNTEE	(M or F)	DATE OF BIRTH
A)							/ /
,	Last	First	MI	Suffix	-		-
B)							/ /
	Last	First	MI	Suffix			
C)	Look	First	MI	Suffix			
Δ)	Last	FIISI	IVII	Sullix			1 1
D)	Last	First	MI	Suffix			1 1
E)							/ /
_,	Last	First	MI	Suffix			
	No Yes. Identify in Table 2 below, every Attach additional sheets if neces	one age 18 and older w			sidence wh	nere care is provided	
TAB	LE 2-HOUSEHOLD MEMBERS AGE	18 AND OVER, LIVING	AT C	HILD CAR	E SITE		
	NCLUDE AND SPECIFY MAIDEN NAME AND A	NAME				GENDER (M OR F)	Date of Birth
Α)						/ /
, ·	Last	First		MI	Suffix		, ,
В)						/ /
	Last	First		MI	Suffix	<u> </u>	
С	<i>,</i>						/ /
_	Last	First		MI	Suffix		
D) Last	First		MI	Suffix	<u> </u>	/ /
Е				<i></i>			/ /
_	Last	First		MI	Suffix		
F)						/ /

Suffix

First

D. OTHER QUALIFICATIONS AND PROGRAM CHARACTERISTICS

previously submitted my certificate to this enrollment agency.

I meet one of the relative exemptions below for every child in my care who receives child care subsidies; therefore, I am not required to complete the training. (State your relationships to the child(ren) below.)

I am grandparent of:

I am great-grandparent of:

I am aunt of:

I am uncle of:

AND, I live in a separate residence.

B) PRE-SERVICE TRAINING FOR EMPLOYEE AND/OR VOLUNTEERS

All employees with a caregiving role and all volunteers with the potential for regular and substantial contact with children in care

- o meet this pre-service health and safety training requirement or
- o meet the relative exemptions (described above) for every child in my care who receives child care subsidies,

AND

I understand that it is my responsibility to

- o keep a copy of the certificate of training to show their completion of this training or
- o have knowledge of their exemption for every child in care who receives subsidies.

☐ Yes.

Not applicable. I do not have any employees with a caregiving role or volunteers with the potential for regular and substantial contact with children in care.

PROVIDER'S ELIGIBILITY FOR ENHANCED RATE BASED ON TRAINING

Legally-exempt **family** and **in-home** child care providers may be eligible to receive an enhanced rate of reimbursement when they have completed 10 hours of training during the past 12 months in the areas specified in Social Services Law 390-a, and they submit satisfactory documentation of the training to the enrollment agency.

Have you completed in the <u>past 12 months</u>, 10 hours of training aimed at improving the quality of the care you provide?

Yes. If yes, you may be eligible to receive an enhanced rate. **ATTACH** the OCFS-LDSS-4699-3, Legally-Exempt

Child Care Training Record Form and your training certificates.

3. FEDERAL FOOD PROGRAM ASSISTANCE

) in care. Are you currently p	articipating in CACFP?				
	 □ A) No. If you want information about CACFP, call: 1(800) 942-3858. □ B) Yes. If yes, provide information about your participation in CACFP and ATTACH proof of your participation dated within the past 12 months below: 						
		1) Sponsoring Agency Na	ne:				
		2) Sponsoring Agency ID	Number (if known):				
		3) Your CACFP Provider N	Number:				
		4) Agreement Number:					
		5) Proof of Participation:	Type of Proof: (Check ☑ below to show proof attached.)				
		Date on Proof: / /	 ☐ CACFP Claim Reimbursement Stub ☐ CACFP Monitoring Checklist (DOH-4118) ☐ CACFP Continuous Application and Agreement (DOH-3705) 				
١.	AMOUNT	You Charge					
		arge parents receiving subsic milar care?	y the same amount that you charge parents for non-subsidy child(ren) of the same				
	☐ A)	Yes					
	□ B)	No. If no, choose the state	ment below, which describes the amount you charge:				
		☐ 1) I charge parents rece	ving subsidy less than I charge other parents.				
		2) I charge parents rece	ving subsidy more than I charge other parents.				

The Child and Adult Care Food Program (CACEP) helps family child care programs to pay for meals and spacks served

5. ADMINISTRATION OF MEDICATION

New York State Law restricts the right to administer medication other than over-the-counter topical ointments, sunscreen, and topically applied insect repellent to specific medical professionals who are authorized by New York State to administer medication. Some individuals are exempt from this requirement based on their relationship to the child, family, or household, and are permitted to administer medications, including the following:

- The child's parent/caretaker, step-parent, legal custodian, legal guardian, or member of the child's household
- A child care provider employed by the parent/caretaker to provide child care in the child's home
- Family members who are related within the third degree of consanguinity to the child's parent or stepparent. This includes the child's grandparent, great-grandparent, great-grandparent, aunt/uncle (and spouse), great aunt/uncle (and spouse), first cousin (and spouse), and brother /sister.
- Child care providers who are trained and authorized by OCFS under the Health Care Plan for Administration of Medication approved by a qualified health care consultant, and who are
 - operating in compliance with the New York State regulation, which includes receiving training on medication administration,
 - authorized by the child's parent/caretaker, stepparent, legal guardian, or legal custodian to administer medication, and
 - o administering medication to subsidized children in care.

To receive OCFS authorization to administer medication, a child care provider must be at least 18 years of age and literate in the language in which the parental permissions and health care provider's instructions will be given. Any person who is **not authorized** by New York State Law or **not exempt** from this legal requirement, may administer <u>only</u> over-the-counter topical ointments, sunscreen, and topical insect repellent. Examples of medication they <u>may not administer</u> include, but are not limited to: Tylenol, Ritalin, insulin, antibiotics, and ear, eye, or nose drops.

A)		I will administer medication in compliance with New York State Law and only to the extent that I am permitted by New ate Law, which I have indicated by my choice on this page below.
B)	If I have	e employees or volunteers, I will make sure that each of my employees and volunteers administers medication in nce with New York State Law and only to the extent permitted by New York State Law.
C)		, your employees or volunteers legally permitted to administer medication to child(ren) in subsidized care? ☑ <u>all</u> statements that apply to you. Provide all other information as it applies.
	<u> </u>	Yes, I am related within the third degree of consanguinity to the child(ren)'s parent or stepparent. Therefore, I am allowed to administer medication to the child(ren) following the health care provider's instructions and when I have appropriate permission from the parent. I am grandparent of:
		☐ I am great-grandparent
		☐ I am great-great-grandparent of:
		☐ I am aunt/uncle of (includes spouse)
		☐ I am great aunt/great uncle (includes spouse) of:
		☐ I am first cousin (includes spouse) of:
		☐ I am brother/sister of:
	<u> </u>	Yes, I am providing care in the home of the following child(ren): Therefore, I am permitted to administer medication to these children when I have appropriate permission from the parent, and I am following the health care provider's instructions.
	3)	Yes, I am a New York State medical professional authorized by the New York State Department of Education (NYSED) to administer medication. Therefore, I am allowed to administer medication to child(ren) in my care when there are appropriate permissions from the parent and when following the health care provider's instructions. a) My profession is one of the following (check ☑ one): Registered Nurse Nurse Practitioner Physician Physician Assistant b) License number: I have attached a copy of my current New York State professional medical license. (Required)
	□ 4)	Yes, I have an OCFS-LDSS-7000, Health Care Plan for the Administration of Medication for Legally-Exempt Provider, approved within the past two years. Therefore, the qualified medications administrant named below is authorized by OCFS to administer medication to subsidized children in my care according to the health care provider's instructions, and when there are appropriate permissions from the parent. a) Plan approval date:/
		Physician Physician Assistant e) License Number:
	□ 5)	No . None of the above permissions apply to me. I am not authorized by OCFS or NYSED. I understand I cannot administer medication to the child(ren) in care, except: <i>over-the-counter topical ointments, sunscreen, and topically applied insect repellent.</i>
D)		interested in seeking authorization to administer medication to child(ren) in subsidized care?
		es, I want to learn how to start the process. Please send me the OCFS-LDSS-7007, Obtaining Authorization to administer Medication to Subsidized Children in Legally-Exempt Care.
	□ N	lo. I will not be seeking authorization to administer medication at this time.

6. Hours of Operation

What hours do you ge	nerally provide care?	Check \square all that apply.			
☐ Mornings	☐ Afternoons	☐ Evenings	☐ Overnight		
☐ Before School	☐ After School				
☐ Weekends	☐ Saturday	☐ Sunday			
	☐ Monday	☐ Tuesday	☐ Wednesday	☐ Thursday	☐ Friday

E. VERIFICATION OF LEGALLY-EXEMPT STATUS

1. CHILD CARE SCHEDULES

- A) For each subsidized child you provide child care for or plan to provide care for, provide ALL the requested information.
- B) For each non-subsidized child provide the same information, except DO NOT provide the child's LAST name.

If your schedule varies, you **must** still provide a schedule for a typical week of care for that child.

CHILD INFORMATION AND CHILD CARE SCHEDULES									
	CHILD'S NAME:			CHILD'S NAME:			CHILD'S NAME:		
	CHILD'S AGE:			CHILD'S AGE:			CHILD'S AGE:		
	PARENT'S NAME:			PARENT'S NAME:			PARENT'S NAME:		
	PROVIDER'S RELA	TIONSHIP TO THE	CHILD:	PROVIDER'S RELATIONSHIP TO THE CHILD:			PROVIDER'S RELATIONSHIP TO THE CHILD:		
	SUBSIDY CASE?	☐ YES	□No	SUBSIDY CASE?	☐ YES	□No	SUBSIDY CASE?	☐ YES	□No
	SCHEDULE OF CHILD CA		₹E	SCHEDULE OF CHILD CARE		SCHEDULE OF CHILD CARE		₹E	
	DROP OFF	PICK UP	HRS / DAY	DROP OFF	PICK UP	HRS / DAY	DROP OFF	PICK UP	HRS / DAY
MONDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
TUESDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
WEDNESDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
THURSDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	AМ РМ		☐ AM ☐ PM	☐ AM ☐ PM	
FRIDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
SATURDAY	☐ AM ☐ PM	☐ AM ☐ PM		□ AM □ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
SUNDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM	
	TOTAL HOURS	S PER WEEK		TOTAL HOUR	S PER WEEK		TOTAL HOUR	RS/ PER WEEK	

CHILD INFORMATION AND CHILD CARE SCHEDULES										
	CHILD'S NAME:			CHILD'S NAME:			CHILD'S NAME:			
	CHILD'S AGE:			CHILD'S AGE:			CHILD'S AGE:			
	PARENT'S NAME:			PARENT'S NAME:			PARENT'S NAME:			
	PROVIDER'S RELATIONSHIP TO THE CHILD:			PROVIDER'S RELATIONSHIP TO THE CHILD:			PROVIDER'S RELATIONSHIP TO THE CHILD:			
	SUBSIDY CASE?	☐ YES	□No	SUBSIDY CASE?	YES	□No	SUBSIDY CASE?	☐ YES	□No	
	SCHEDULE OF CHILD CARE			SCHEDULE OF CHILD CARE			SCHEDULE OF CHILD CARE			
	DROP OFF	PICK UP	HRS / DAY	DROP OFF	PICK UP	HRS / DAY	DROP OFF	PICK UP	HRS / DAY	
MONDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	□ AM □ PM		☐ AM ☐ PM	☐ AM ☐ PM		
TUESDAY	☐ AM	☐ AM		☐ AM	☐ AM		☐ AM	☐ AM		
WEDNESDAY	☐ AM	□ AM		☐ AM	☐ AM		☐ AM	□ AM		
THURSDAY	☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		☐ AM ☐ PM	☐ AM ☐ PM		
FRIDAY	☐ AM	AM		☐ AM	☐ AM		☐ AM	AM		
SATURDAY	☐ AM	☐ AM		☐ AM	☐ AM		☐ AM	☐ AM		
SUNDAY	☐ AM	☐ AM		☐ AM	☐ AM		☐ AM	☐ AM		
	TOTAL HOURS PER WEEK TOTAL HOURS PER WEEK TOTAL HOURS/ PER WEEK									
2. CHILD(REN) IN THE PROVIDER'S CARE										

4	2. CHILD(REN) IN THE PROVIDER'S CARE
A)	How many of your own child(ren) do you care for at this child care location during child care hours? Give numbers below. Do not leave spaces blank. Write "zero," if applicable. 1) Age newborn through 4 years:
	2) Age 5 through 12 years old:
B)	Are you caring for any children, <i>other than your own</i> , who are not receiving child care subsidy funds? 1) Yes. If yes, indicate the number of non-subsidized children, other than your own, below. a) Number of relative non-subsidized children: b) Number of non-relative non-subsidized children:
	Note: All children in care must be listed on the preceding schedule whether receiving subsidies or not. 2) No
C)	Have you started providing child care for all of the children whose schedules you listed above? 1) Yes. 2) No. If no, when care will begin?

NOTE: Any changes in the number of children you care for, the hours you provide care, and the location where you provide care may affect your eligibility as a legally-exempt child care provider and/or require that you become licensed or registered to operate a day care program. Such changes must be reported to the enrollment agency <u>immediately</u>.

F. HEALTH AND SAFETY CHECKLIST ATTESTATION

The provider and parent/caretaker inspect the child care location and complete this section together.

•	•	•	,	•	
The provi	der meets	and will continue to meet the	following basic hea	alth and safety require	ements.
☐ Yes					
□ No					

The provider meets the following basic health and safety requirements before caring for children:

- 1. The provider and all children have two separate and remote ways to leave the building in an emergency.
- 2. The rooms for children at my child care location are well-heated, well-lighted and well-ventilated.
- 3. My child care location is free of unsafe areas (such as swimming pools, open drainage ditches, wells, holes, wood or coal burning stoves, fireplaces, and gas space heaters). If there are unsafe areas, sturdy barriers are in place around the areas that keep the child(ren) from getting to them.
- 4. If child care is provided above the first floor, there are barriers or locks on the windows so the child(ren) cannot fall out.
- 5. The water supply at my child care location is safe. I have working toilets. There is hot and cold running water all the time.
- 6. I, all employees and volunteers who are likely to have regular contact with the child(ren) are physically, emotionally, and mentally able to provide child care.
- 7. I, all employees and volunteers who are likely to have regular contact with the child(ren) are free from any communicable diseases that pose a risk to the health and safety of the child(ren) in care.
 - If I, any employee or volunteer who is likely to have regular contact with the child(ren) has a communicable disease, I must have a statement from such person's health care provider that indicates that the presence of a communicable disease does not pose a risk to the health and safety of the child(ren) in care.
 - If I, or one of the above-mentioned persons has a communicable disease, I have **ATTACHED** a doctor's statement, stating such disease does not pose a risk to the health and safety of the child(ren) in care.
- 8. My child care location is free of any dangerous or unsafe conditions that could hurt a child(ren). This includes but is not limited to the following:
 - Knives and other sharp objects are out of the reach of child(ren).
 - Small rugs, runners, and electrical cords are held in place so a child won't trip.
 - Electrical cords do not run under furniture or rugs, and are out of the reach of small children.
 - Extension cords are not overloaded.
 - Any guns and other firearms are unloaded and stored in a locked drawer or cabinet, and the key is kept in a safe place.
 Ammunition is locked separately.
 - Cords to window blinds and shades are out of the reach of child(ren).
 - Hot liquids are out of the reach of children.
 - Small items that the child(ren) could choke on are out of the child(ren)'s reach.
 - Carbon monoxide detectors are installed where the child(ren) that I provide care for sleep or nap and on each story of the home where care is provided where a carbon monoxide source is located.
- 9. All matches, lighters, medicines, drugs, cleaning materials, detergents, aerosol cans, and other poisonous or toxic materials are stored in their original containers. Care is taken so that they do not come in contact with child(ren), where food is prepared, or otherwise may be a danger to the child(ren). I store all of these materials safely away from the child(ren).
- 10. I will give each child(ren) meals and snacks according to what the parent/caretaker and I have agreed.
- 11. I will refrigerate milk, formula, and any other food that goes bad if not refrigerated.
- 12. I agree not to heat formula, breast milk, and other food items for infants in a microwave oven.
- 13. I will always allow the custodial parent/caretaker to have unlimited access to his/her child(ren) in care, to the program site while the child(ren) is in care, and to any written records concerning the child(ren).
- 14. I will hold fire/evacuation drills monthly with child(ren) during hours that the child(ren) are in care so that the child(ren) and I will know what to do in the case of an emergency.
- 15. I have a working telephone OR can get to one very quickly in an emergency. Emergency telephone numbers for the fire department, local or state police or sheriff's department, poison control center, and ambulance service are posted near the phone and are easy to see.
- 16. I will use protective caps, covers, or permanently installed safety devices on all electrical outlets that a child(ren) could reach when I am caring for a child(ren) under 5 years old.
- 17. Paint and plaster are in good repair so that there is no danger of a child(ren) putting paint or plaster chips in their mouths or of it getting into food.
- 18. I have at least one operating smoke detector on each floor of my child care location. I will check regularly to make sure all detectors work.
- 19. I have a portable first aid kit at my child care location that is easy to get to in an emergency, and my first aid supplies are kept in a clean container or cabinet away from child(ren). It is stocked to treat common childhood injuries and problems. I will always replace things in the first aid kit as soon as possible after something has been used or is too old to be used.

The provider meets the following basic health and safety requirements before caring for children:

- 20. I have RECEIVED from the child(ren)'s parent/caretaker
 - signed proof from a doctor or other health care provider that: the child(ren) has received all of the immunizations appropriate for the child(ren)'s age; <u>OR</u>
 - proof that one or more of the immunizations would harm the child(ren)'s health; OR
 - a statement saying that the child(ren) has not been immunized due to the parent/caretaker's religious beliefs.
- 21. The stairs, railings, porches, and balconies are in good repair.
- 22. For **family** child care providers, all persons living in the home where care is given are free of any communicable diseases. If any person living in the home <u>does have</u> a communicable disease, I must have a statement from the person's health care provider that indicates that the presence of a communicable disease does not pose a risk to the health and safety of the child(ren) in care.
 - ☐ I have **ATTACHED** a doctor's statement, if any person living in the home has a communicable disease, and that such disease does not pose a risk to the health and safety of the child(ren) in care.

G. PROVIDER'S BEHAVIORAL CONDITIONS

The provider and parent/caretaker inspect the child care location and complete this section together.

The provider meets and will continue to meet the following basic health and safety requirements.

☐ Yes

The provider meets and agrees to continue to meet the following basic health and safety requirements before caring for the child(ren):

- 1. I understand and agree that I will never use corporal punishment or let others use corporal punishment while child(ren) are in my care. Corporal punishment means doing things directly to a child(ren)'s body to punish a child, such as:
 - · Spanking, biting, slapping, shaking, twisting, or squeezing
 - Making the child(ren) do physical exercises beyond what is normal
 - · Forcing the child(ren) to stay still for long periods of time
 - Making the child(ren) stay in positions that hurt the child or are bizarre
 - · Bathing the child(ren) in unusually hot or cold water
 - Forcing child(ren) to eat or have in child(ren)'s mouth soap, foods, hot spices or foreign substances
- 2. I understand and agree that I will never use or be under the influence of alcohol or drugs while children are in care, and will make sure that child(ren) being cared for do not have contact with people using drugs or alcohol.
- 3. I understand and agree that I will not smoke or allow smoking in indoor areas or other enclosed areas, such as cars or other vehicles, when child(ren) are present.
- 4. I understand and agree that I will never leave child(ren) alone or unsupervised.
- 5. I understand and agree that I will ALWAYS be present when the child(ren) are in the care of employees, volunteers and if care is provided in a home other than the child's home, household members.

H. RELEVANT HISTORY - PEOPLE AT THE CHILD CARE LOCATION

1. PROVIDER ONLY

A) PROVIDER TERMINATION OF PARENTAL RIGHTS I certify and attest that (Check ☑ one.)	
1) I have never had my parental rights terminated under Social Services Law 384-b or equivalent legal authority.	
2) I have had my parental rights terminated under Social Services Law 384-b or equivalent legal authority.	:-!-
I have ATTACHED the OCFS-4917, History of Termination of Parental Rights and/or Court-Ordered Arti 10/Removal of a Child and Parental Acknowledgement form. ³	cie
B) PROVIDER COURT-ORDERED ARTICLE 10 REMOVAL I certify and attest that (Check 🗹 one.)	
1) I have never had a child(ren) removed from my care by court order in a proceeding under Article 10 (child protective	ve)
of the Family Court Act.	,
2) I have had a child(ren) removed from my care by court order in a proceeding under Article 10 (child protective) of t Family Court Act.	:he
I have ATTACHED the OCFS-4917, History of Termination of Parental Rights and/or Court-Ordered Arti 10/Removal of a Child and Parental Acknowledgement form. ³	cle
C) PROVIDER DAY CARE ENFORCEMENT Note: A child day care program includes licensed or registered day care centers, family day care homes, group family care homes, small day care centers, and/or school-age child care programs.	lay
1) I certify and attest that (Check Ø one.)	
 I have had an application for a license or registration to operate a child day care program denied. I have not had an application for a license or registration to operate a child day care program denied. 	
2) I certify and attest that (Check Ø one.)	
 I have had a license or registration to operate a child day care program revoked or suspended. I have not had a license or registration to operate a child day care program revoked or suspended. 	
3) If you have been denied a license or registration to operate a child day care program, or if you have had a license	e or
registration to operate a child day care program revoked or suspended , complete the following: i) Program Name and Location:	5 0.
ii) I have ATTACHED the OCFS-4916, <i>History of Day Care Enforcement and Parental Acknowledgement.</i> ³	
ii) I i nave Al l'Adrieb the doi d'4310, riistory di bay dale Embre in and i alentai Adribwieugement.	
2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS	
 2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. 	
 2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. 	
 2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. Yes 	ers, eare, who
 2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. Yes No 2) Have you, your employee, your volunteer who has the potential for regular and substantial contact with children in cand IF care is provided in a home other than the child's home, any of the household members 18 years of age or older reside at the location where child care is provided ever been convicted of a crime in New York State or any other place? 	ers, eare, who ?
2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. Yes No 2) Have you, your employee, your volunteer who has the potential for regular and substantial contact with children in c and IF care is provided in a home other than the child's home, any of the household members 18 years of age or older reside at the location where child care is provided ever been convicted of a crime in New York State or any other place? Yes. Give name(s) of person(s) convicted I have ATTACHED the completed OCFS-4915, History of Criminal Convictions and Parental Acknowledgements.	ers, eare, who ?
 2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. Yes No 2) Have you, your employee, your volunteer who has the potential for regular and substantial contact with children in c and IF care is provided in a home other than the child's home, any of the household members 18 years of age or older or reside at the location where child care is provided ever been convicted of a crime in New York State or any other place? Yes. Give name(s) of person(s) convicted I have ATTACHED the completed OCFS-4915, History of Criminal Convictions and Parental Acknowledgement for each person with a criminal history. No B) INDICATED REPORTS OF CHILD ABUSE AND MALTREATMENT 	ers, eare, who ? ent,3
2. PROVIDER, EMPLOYEES, VOLUNTEERS, AND HOUSEHOLD MEMBERS A) CRIMINAL HISTORY 1) I have listed on subsection 1.C of this form: ALL employees, volunteers, with the potential for regular and substantial contact with the child(ren) in care, and if I provide care in a home other than the child's home, all of the household member 18 years of age or older, residing at the location of child care. I certify that I have asked each of them if they have been convicted of a crime. Yes No 2) Have you, your employee, your volunteer who has the potential for regular and substantial contact with children in c and IF care is provided in a home other than the child's home, any of the household members 18 years of age or older reside at the location where child care is provided ever been convicted of a crime in New York State or any other place? Yes. Give name(s) of person(s) convicted I have ATTACHED the completed OCFS-4915, History of Criminal Convictions and Parental Acknowledgement for each person with a criminal history.	ers, are, who ? ent,3 be a)'s e or ted

³ If you need a copy of this form, please contact your local social services district or your legally-exempt caregiver enrollment agency.

I. PROVIDER AGREEMENTS AND CERTIFICATIONS

1. Submitting Updates and Changes of Enrollment Information

- I will immediately submit a new enrollment form to the enrollment agency if I start providing child care at a child care location different from the one given on this form.
- ★ I will inform the enrollment agency <u>immediately</u> if there are changes in
 - · my contact information,
 - the child(ren) I care for, or the hours that I provide care,
 - the people who have contact with the child(ren) in my care,
 - any information provided on the enrollment form or changes to the attachments.
- * I will inform the enrollment agency immediately when
 - any person 18 years or older moves into the household where **family** child care is provided or stays there for more than a few days (**family** child care only).
 - any child(ren) living in the household where family child care is provided, turns 18 (family child care only).
 - I hire or receive help caring for the child(ren).

2. HEALTH AND SAFETY REQUIREMENTS

- X I will continue to meet all the basic health and safety requirements listed on the checklists, and
 - the parent/caretaker and I have inspected the home and completed the "Health and Safety Checklist Attestation" and "Provider's Behavioral Conditions" together.

3. INFORMATION SHARING AND DATABASE CHECKS

- I authorize the enrollment agency and the Child and Adult Care Food Program (CACFP) to exchange information regarding my child care enrollment status and my participation in the CACFP.
- I understand the enrollment agency and the local social services district will exchange information regarding my child care enrollment status.
- I understand that the local social services district will check its child welfare database for my history of any court ordered removal of a child under Family Court Act (FCA) Article 10 and any termination of parental rights.
- I understand that the enrollment agency will check the New York State Sex Offender Registry to determine if I, any volunteer who is likely to have regular contact with child(ren) in care, any employee, and for the legally-exempt **family** child care provider, any person living in the home where child care is provided, age 18 years or older, is listed on the Sex Offender Registry.
- I understand that the enrollment agency will check the New York State Child Care Facility System to determine whether I have ever been denied a child day care license or registration or had a child day care license or registration suspended or revoked.

4. ELIGIBILITY AND PAYMENT

- I understand I cannot be paid as a legally-exempt child care provider if I am the child(ren)'s parent, stepparent, adoptive parent, legal guardian, or other person legally responsible for that child(ren), or if I live in the same household and have a child(ren) in common with the parent.
- I agree to collect the family share (fee) if instructed to do so by the local social services district. I will immediately notify the local social services district if the parent/caretaker fails to pay the required family share.
- 💥 I agree to provide accurate attendance records in a timely manner, as required by the local social services district.
- I understand that I will not be paid by the local social services district for any child care that I provide to a child(ren) receiving a child care subsidy while I am deemed an ineligible provider by the enrollment agency.
- I understand that I may not be eligible to provide child care AND that the local social services district may not be able to pay me when
 - I have a history of Article 10 (child protective) removal of a child by family court order, or
 - I have a history of termination of parental rights, or
 - I have a history of denial, revocation, and/or suspension of a license or registration to operate a child day care program, or
 - I, any volunteer who is likely to have regular contact with the child(ren), any employee, or for family child care, any person age18 years or older living in the home has been convicted of a crime.
- I understand I am not eligible to provide child care if I, any volunteer who is likely to have regular contact with the child(ren), any employee, or person living in the home (other than the child(ren)'s home) age 18 years or older has been convicted of a crime against a child or is listed on the Sex Offender Registry.
- I understand that if the enrollment agency determines I cannot be enrolled, then the local social services district cannot issue payment for care that I have provided. The parent/caretaker has the right and responsibility to decide whether he/she wants to use my child care services. If the parent/caretaker chooses to use my child care services when I cannot be enrolled, the parent/caretaker is responsible to pay me for the child care.

5. OTHER AGREEMENTS

I understand and agree to allow representatives of the enrollment agency, the local social services district, and the State of New York access to the premises where subsidized child care is provided to confirm that information on my enrollment form and/or on attendance forms is true and accurate and that child care services are being provided as listed on these forms. I understand that if I do not allow such access, then I will be considered an ineligible provider, my enrollment will be terminated, and I will not be paid by the local social services district.

- I understand that if I am denied enrollment I may request that the enrollment agency review any extenuating circumstances to determine if an exception could be made to allow me to provide child care. If I request an exception, I must provide all documents or references required by the enrollment agency.
- I understand and agree to meet all of the conditions stated on this form for as long as I am providing child care. I understand that I am required to inform the enrollment agency and the parent/caretaker if there is a change in the information stated on the enrollment form.

6. PROVIDER CERTIFICATION

By signing this form, I certify to the best of my knowledge that

- I understand and agree to continue to meet all of the conditions stated above.
- I have reviewed **Section 2 Parent Information** of this form.
- I understand the decision to enroll me is based on the facts provided and attested to on the enrollment form. Providing false information or deliberately concealing information may result in an inaccurate determination of my eligibility to provide subsidized child care, and/or a denial or termination of enrollment. If I provide child care services while enrolled under false pretenses, or while I am an ineligible child care provider, the local social services district may refuse to issue child care subsidy payments, terminate child care subsidy payments, take legal action against me or the parent/caretaker, and I may be required to repay any money I receive for such services.
- Under the penalty of perjury, I agree that to the best of my knowledge all statements made on this enrollment form and any attachments to it are true and accurate.

PROVIDER'S SIGNATURE	DATE
x	/ /

7. PARENT CERTIFICATION (PROVIDER SECTION)

I have reviewed **Section 1 - Provider Information** of this form. I agree that to the best of my knowledge all statements made on this enrollment form and any attachments to it are true and accurate.

PARENT'S SIGNATURE	DATE
x	/ /

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NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES

SECTION 2 - PARENT INFORMATION

The parent/caretaker receiving or applying for child care subsidy must complete this section and must review and sign Section 1 - Child Care Provider.

A. PARENT'S/CARETAKER'S INFORMATION



1. Parent's/Caretaker's N	ame:	_	
☐ Mr. ☐ Mrs. ☐ Ms.			
Last		First MI Suffi	ix
Other names known by:		Gender: M	F
Maiden, L. Identifying and Contac	married, aliases, etc. t Information:		
Date of Birth: / / (mm/dd/yyyy)	Home Phone: () (area code) phone number	Listed Unlisted	
Work Phone: ()	Cell Phone: ()		
(area code) phone number	(area code) phone number		
Email Address:5		☐ No Email Address	
B. Do you read English?			
,	uages do you read best?		
. Do you speak English?	·		
☐ Yes ☐ No. If no, what langu	uages do you speak best?		
i. Is the child care provided in y		_	
☐ Yes ☐ No			
. Give your home address belo	ow.		
HOME ADDRESS:			
House Number Street		Apt.	
Address Line 2		Floor	•
City	State	Zip County/Borough	
	mailing address the same as your home address please give you		
House Number Street		Apt.	
Address Line 2		Floor	i
City	State	Zip County/Borough	
. Provide information about you	ur child care subsidy case:		
Subsidy Paying County:	Subsidy Case	e Number: ⁶	

^{4 &}quot;Caretaker" means the child's parent, legal guardian, caretaker relative, or any other person with whom a child lives who has assumed responsibility for the day-to-day care and custody of the child.

If you provide an email address, the enrollment agency may use it to contact you.

The temporary assistance number, subsidy case number, and parent's CIN (client identification number) are optional. If provided, they will be used to facilitate information sharing with the local social services district regarding your eligibility and payment for child care.

B. Your Child(REN) IN THE PROVIDER'S CARE

1. LIST YOUR CHILD(REN) THAT THE PROVIDER CARES FOR

Attach additional sheets if necessary.

	Allacit additional sheets if hecessary.					
A)	Child's Name:		Date of Birth: / /			
	Last	First	(mm/dd/yyyy)			
	Provider's Relationship to Child:		Gender (M or F): ☐ M ☐ F			
B)	Child's Name:		Date of Birth: / /			
	Last	First	(mm/dd/yyyy)			
	Provider's Relationship to Child:		Gender (M or F): ☐ M ☐ F			
C)	Child's Name:		Date of Birth: / /			
	Last	First	(mm/dd/yyyy)			
	Provider's Relationship to Child:		Gender (M or F): ☐ M ☐ F			
_,	Child's Name:		Date of Birth: / /			
D)	Child's Name:	First				
	Last	First	(mm/dd/yyyy)			
	Provider's Relationship to Child:		Gender (M or F): M F			
2.	MY CHILD(REN)'S MEDICATION NEEDS					
l ur	derstand that child care providers cannot administer	medication to the child(ren)	except as follows:			
0	Any child care provider may administer only over-the-counter topical ointments, insect repellent, and sunscreen with the parent's permission.					
0	When the child care provider provides care in the chil prescription medication with the permission of the pa					
0	When the child care provider is related to the child	• • •				
	provider may administer over-the-counter medicine a					
	physician's instructions. The child care provider mus the third degree of consanguinity.	st have one of the following	relationships to be considered a relative within			
	The child's grandparent	○ The chil	d's great-grandparent			
	The child's great-great-grandparent		d's aunt/uncle (and spouse)			
	 The child's great aunt/great uncle (and spous 		d's brother/sister			
	 The child's first cousin (and spouse) 					
0	When the child care provider is a licensed physician,	physician's assistant, regis	stered nurse, or nurse practitioner, the provider			
	can administer prescription and over-the-counter me physician's instructions.					
0	When the child care program is authorized by OCFS	and following a Health Care	e Plan for the Administration of Medication, the			
Ü	medications administrant designated in the Health					
	counter medication and some prescription medication	on to subsidized child(ren) v	vith the permission of the parent and following			
	physician's instructions.					
	ve read the "Administration of Medication" in Section					
	Id(ren)'s Medication Needs, above, and I understand		ld care provider is legally permitted to administer			
me	lication to my child(ren). My child care provider and I	•				
	The parent will be responsible for the medication	on needs of the following ch	ıld(ren):			
	☐ The provider will be responsible for the medica	tion needs of the following o	child(ren):			
3	My Child(ren)'s Meals and Snacks					
For each child(ren) listed on the preceding page, either the parent or the provider must provide meals and snacks. Who will						
provide meals and snacks for your child(ren) while in care?						
☐ The parent will be responsible for the meals and snacks for the following child(ren):						
	The provider will be responsible for the meals a	nd spacks for the following	child(ren):			

C. RELEVANT HISTORY OF PROVIDER AND PEOPLE AT THE CHILD CARE LOCATION

- 1. I understand the child care provider must ask and is required to inform me whether the following people, who may be in contact with my child(ren), have been the subject of an indicated report of child abuse or maltreatment
 - the provider,
 - volunteers who are likely to have regular contact with child(ren) in care,
 - employees, and
 - if care is not provided in my home, persons living in the home age 18 years or older.
- 2. I have <u>specifically asked</u> the provider if the provider, volunteers who are likely to have regular contact with child(ren) in care, employees, and if care is provided in the provider's home, persons living in the home age 18 years or over, have been the subject of an indicated report of child abuse or maltreatment.
- 3. The provider has informed me whether any indicated reports of child abuse or maltreatment exist, who was the subject of the report: the provider, employees, volunteers, who are likely to have regular contact with child(ren) in care, and if care is provided in the provider's home, persons living in the home age 18 years or over.
- 4. When an indication of child abuse or maltreatment exists, the provider has given me written information regarding such indication of child abuse or maltreatment. I understand I have the right to select another provider. I agree that I have carefully considered the information on child abuse and maltreatment indications that I have been given and I am selecting this provider.
 Yes
 No

D. PARENTAL ACKNOWLEDGEMENTS AND AGREEMENTS

1. PARENT'S RESPONSIBILITIES TO MONITOR QUALITY OF CARE

- ★ I certify that I have selected this provider to care for my child(ren).
- I have reviewed, with the provider, each item on the "Health and Safety Checklist Attestation" and the "Provider's Behavioral Conditions" that are in **Section 1 Child Care Provider** of this form, and all information on the checklist is true and accurate.
- 💥 I understand it is my responsibility to monitor the quality of care my child(ren) receives from the child care provider.
- 💥 I understand that these agreements apply for as long as this provider is caring for my child(ren).

2. CHANGES TO ENROLLMENT INFORMATION

- * I will notify the enrollment agency immediately if
 - my address or phone number changes.
 - I have any concerns about the health and safety of my child(ren) in the provider's care.

3. ELIGIBILITY AND PAYMENT ISSUES

- I will immediately notify the local social services district and my provider if the hours that I need child care or other circumstances related to my need or eligibility for child care change.
- X I agree to pay my family share (fee), if any, as directed by the local social services district.
- I understand a child care provider who is the child(ren)'s parent, stepparent, adoptive parent, legal guardian or other person legally responsible for that child(ren) or who lives in my same household and has a child(ren) in common with me cannot receive subsidy payments.
- I understand that the provider must be accepted for enrollment with the enrollment agency before any payment can be made.
- I understand a provider is not eligible to provide child care if the provider, any volunteer who is likely to have regular contact with my child(ren), any employee, or for family child care, any person 18 years or older who is living in the home where child care is provided
 - has been convicted of a crime against a child(ren) or
 - is listed on the Sex Offender Registry.
- I understand that my provider may not be eligible to provide child care and that the local social services district may not be able to pay the provider when
 - the provider has a history of termination of parental rights, or
 - the provider has a history of Article 10 (child protective) removal of a child(ren) by family court order, or
 - the provider had a license or registration to operate a child day care program denied, revoked, and/or suspended, or
 - the provider, any volunteer who is likely to have regular contact with my child(ren), any employee, or for family child
 care, any person 18 years or older who is living in the home where child care is provided, has been convicted of a
 crime
- I understand that if the provider is denied enrollment or has his or her enrollment terminated, the provider will be considered ineligible to provide child care.
- The local social services district cannot pay the provider or issue payment for care given by a provider who cannot be enrolled or who is ineligible. If I choose to use an ineligible provider, I am responsible to pay for the child care myself. I understand I have the right to select another provider.

4. HEALTH AND SAFETY REQUIREMENTS

- I understand that the provider must continue to meet all the basic health and safety requirements and behavioral conditions listed on the checklists.
 - The provider and I have inspected the home, completed the Health and Safety Checklist Attestation, and the Provider's Behavioral Conditions together.
 - All statements on the checklists are true and accurate.

5. PARENT CERTIFICATION

By signing this form, I certify to the best of my knowledge that

- I have reviewed **Section 1 Child Care Provider** of this form.
- I understand and agree to continue to meet all conditions stated above.
- I understand the decision to enroll my provider is based on the facts provided and attested to on the enrollment form. Providing false information or deliberately concealing information may result in an inaccurate determination of my provider's eligibility to provide subsidized child care, and/or a denial or termination of enrollment. If my provider provides child care services while enrolled under false pretenses, or while he or she is an ineligible child care provider, the local social services district may refuse to issue child care subsidy payments, terminate child care subsidy payments, take legal action against me or the child care provider.
- Under the penalty of perjury, I agree that to the best of my knowledge all statements made on this enrollment form and any attachments to it are true and accurate.

PARENT'S/CARETAKER'S SIGNATURE	DATE
X	/ /

6. PROVIDER CERTIFICATION (PARENT SECTION)

I have reviewed **Section 2 - Parent Information** of this form. I agree that to the best of my knowledge all statements made on this enrollment form and any attachments to it are true and accurate.

PROVIDER'S SIGNATURE	DATE
X	/ /



This enrollment form is a legal agreement. Make a copy of this form for your records. Return this form and its attachments to: