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Governor

NEW YORK STATE
OFFICE OF CHILDREN & FAMILY SERVICES
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Commissioner

Local Commissioners Memorandum

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| Transmittal: | 11-OCFS-LCM-02 |
| To: | Local District Commissioners |
| Issuing Division/Office: | Administration / Budget Management |
| Date: | May 11, 2011 |
| Subject: | SFY 2011-12 Social Services Block Grant (Title XX) Allocations |
| Suggested Distribution: | Accounting Supervisors Directors of Social Services |
| Contact Person(s): | See pages 4-5 |
| Attachments: | FFY 2010-11 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2011-12 Budget Attachment A: Adult Protective & Domestic Violence Services; Attachment B: All Eligible Title XX Services |
| Attachments Available Online: | Yes - only as an attachment to this policy: http://www.ocfs.state.ny.us/main/policies/external |

I. Purpose

The purpose of this memorandum is to provide local districts with their Social Services Block Grant (Title XX) allocations for State Fiscal Year (SFY) 2011-12.

II. Background

The national appropriation for Title XX for Federal Fiscal Year (FFY) 2010-11 is \$1.7 billion. New York State's share is \$107,603,864.

Approximately five percent of the total New York State allotment, or \$5,380,193, has been allocated to support training activities for local district and state staff. The remaining amount of \$102,223,671 is allocated to local districts. Chapter 53 of the Laws of 2011 directs that \$66,000,000 be provided for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services, and the remaining funding of \$36,223,671 is available to reimburse other eligible Title XX services expenditures. Claims submitted for expenditures from October 1, 2010, through September 30, 2011, will be accepted through March 31, 2012.

III. Other Related SFY 2011-12 Budget Actions

Flexible Fund for Family Services (FFFS): The SFY 2011-12 Enacted Budget appropriates \$951 million of Temporary Assistance for Needy Families (TANF) funding to continue FFFS. Prior to the establishment of FFFS in SFY 2005-06, three separate appropriations were made: for TANF Emergency Assistance to Families (EAF) child welfare services; for TANF transfer to Title XX for child welfare; and for other Title XX services. Those separate appropriations do not exist for SFY 2011-12. Instead, the FFFS provides districts with the flexibility to determine local funding priorities. Districts have greater flexibility in how they may use FFFS directly for child welfare services. Under the FFFS, child welfare services may be funded for families that meet the TANF EAF eligibility criteria and/or for families with incomes up to 200 percent of the federal poverty level. Districts also have the option to transfer a portion of their FFFS allocations to the Title XX Block Grant. Under federal law, any FFFS funding your district chooses to transfer to the Title XX Block Grant must be used for families and individuals with incomes below 200 percent of the federal income level. Among the programs that can be supported with funds transferred to Title XX from a district's FFFS allocation are the TANF Transfer to Title XX under 200 percent for Preventive, Child Protective, Aftercare and Adoption Services, and TANF transfer to Title XX for otherwise eligible Title XX under 200 percent expenditures. Under federal statute, no more than 30 percent of the state's entire TANF block grant may be transferred to the Child Care Development Block Grant (CCDBG) and Title XX combined, with only up to 10 percent of the entire TANF block grant eligible for transfer to Title XX. Under Chapter 53 of the Laws of 2011, for SFY 2011-12 a district may transfer up to 34 percent of its FFFS allocation to the amount of TANF funds that may be transferred to the CCDBG and Title XX combined, with up to 25 percent of its FFFS allocation going to Title XX.

With the establishment of FFFS in SFY 2005-06, district-specific Child Welfare Services thresholds were enacted. For SFY 2011-12, the total combined threshold is \$342,322,341. In order for districts to receive state reimbursement for Child Welfare Services, each district must expend FFFS funds for Title XX under 200 percent, TANF-EAF Child Welfare Services, FFFS Child Welfare Direct Services for families with income below 200 percent, and Administration at a level equal to or greater than that district's portion of the \$342,322,341 statewide Child Welfare Services threshold. If districts do not meet the new child welfare threshold through child welfare expenditures under FFFS and FFFS funds transferred to Title XX, they will not receive 95.4 percent of 65 percent (or 62 percent)

reimbursement for their other child welfare costs, other than community optional preventive services.

IV. Social Services Block Grant (Title XX) Allocation Methodology

The Title XX allocations provide \$66,000,000 for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and \$36,223,671 for the reimbursement of other eligible Title XX services expenditures, for a total of \$102,223,671. The allocation methodology is as follows:

Adult Protective and Domestic Violence Services (Attachment A): Of the \$102,223,671, \$66,000,000 is set aside to provide 100 percent reimbursement to local districts for eligible Title XX services expenditures for Adult Protective and Domestic Violence Services, incurred on or after October 1, 2010, through September 30, 2011, and claimed by March 31, 2012, for settlement purposes. Allocation of this funding is based on each local district's share of claims for those services, adjusted by the applicable cost allocation methodology and net of any retroactive payments, for the twelve-month period ending June 30, 2010, that were submitted on or before January 3, 2011.

It should be noted that FFFS funds also are available to cover costs eligible for Title XX below 200 percent, if a local district elects to transfer a portion of its FFFS funds allocation to Title XX to fund these services, for Adult Protective and Domestic Violence Services to children and their families with incomes less than 200 percent of the Federal Poverty Income level for the family.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Eligible Adult Protective and Domestic Violence Services expenditures in excess of the allocation are eligible for state reimbursement at the rate of 98 percent of 50 percent (or 49 percent) after any additional federal reimbursement is received.

All Eligible Title XX Services (Attachment B): The remaining funding of \$36,223,671 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2010, through September 30, 2011, and claimed by March 31, 2012, for settlement purposes. The allocation of these funds is based on each local district's claims for eligible Title XX services, adjusted by the applicable cost allocation methodology and net of any retroactive payments for the twelve-month period ending June 30, 2010, that were submitted on or before January 3, 2011. The allocation methodology does not consider Adult Protective Services and Domestic Violence Services, as those claims were considered in allocating the \$66,000,000 set-aside mentioned above. The allocation methodology also does not consider claims for Preventive Services, Child Protective Services, Aftercare Services, or Adoption Services (including Post-Adoption Services), as funding for those services was factored into the local district's estimated share of the FFFS allocation. Although the allocation of these Title XX funds did not include claims for Adult Protective Services,

Domestic Violence Services or the child welfare services, these funds may be used to reimburse the costs of such services.

If a local district does not fully expend its allocation, the funds may be reallocated to other local districts with eligible claims that exceed their allocations. Title XX eligible services expenditures, other than Adult Protective Services, Domestic Violence Services and child welfare services, in excess of the allocation are **not** eligible for state reimbursement.

V. Claiming

Expenditures for allocations included in this publication are claimed as follows:

| | | | |
|----------|-----------------------------|-------------|-------------|
| Title XX | Purchased Expenditures | Schedule G | LDSS-1372 |
| | Administrative Expenditures | Schedule D2 | LDSS-2347-B |
| | Training | Schedule D6 | LDSS-2347-C |

Instructions for claiming purchased expenditures can be found in the Fiscal Reference Manual, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 9 (New York City) and Volume 3, Chapter 9 (Rest of State). Instructions for claiming training expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 13 (New York City) and Volume 3, Chapter 13 (Rest of State). The Fiscal Reference Manuals are available at <http://otda.state.nyenet/bfdm/>.

VI. Information/Questions Contacts

Questions pertaining to the allocations may be directed to:

Derek J. Holtzclaw, Director, Bureau of Resource Management, (518) 474-1361
E-mail – Derek.Holtzclaw@ocfs.state.ny.us

Rayana Gonzales, Bureau of Budget Management, (518) 402-3324
E-mail – Rayana.Gonzales@ocfs.state.ny.us

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

FFFS Plan:

Christine Insull, (518) 474-9494
E-mail – Christine.Insull@otda.state.ny.us

Fiscal Claiming:

Regions I-V: Edward Conway, 1-800-343-8859, ext. 4-7549 or (518) 474-7549
E-mail – Edward.Conway@otda.state.ny.us

Region VI: Michael Simon, (212) 961-8245
E-mail – Michael.Simon@otda.state.ny.us

/s/ Edna Mae Reilly

Issued By:

Name: Edna Mae Reilly

Title: Acting Deputy Commissioner for Administration

Division/Office: Administration

ATTACHMENT A

**Title XX
FFY 2010-2011
Adult Protective and Domestic Violence Services**

| District | Allocation | District | Allocation |
|-----------------|-------------------|------------------|-------------------|
| Albany | \$1,487,936 | Ontario | \$154,703 |
| Allegany | 85,519 | Orange | 868,390 |
| Broome | 385,388 | Orleans | 70,737 |
| Cattaraugus | 128,443 | Oswego | 265,537 |
| Cayuga | 152,113 | Otsego | 136,192 |
| Chautauqua | 243,620 | Putnam | 95,216 |
| Chemung | 210,411 | Rensselaer | 283,487 |
| Chenango | 87,168 | Rockland | 753,600 |
| Clinton | 225,036 | St. Lawrence | 216,602 |
| Columbia | 190,139 | Saratoga | 168,021 |
| Cortland | 130,630 | Schenectady | 432,020 |
| Delaware | 295,143 | Schoharie | 125,180 |
| Dutchess | 843,244 | Schuyler | 45,542 |
| Erie | 2,345,130 | Seneca | 74,841 |
| Essex | 109,790 | Steuben | 184,900 |
| Franklin | 149,979 | Suffolk | 2,440,466 |
| Fulton | 119,174 | Sullivan | 180,281 |
| Genesee | 127,517 | Tioga | 100,146 |
| Greene | 126,336 | Tompkins | 214,839 |
| Hamilton | 4,491 | Ulster | 671,337 |
| Herkimer | 99,089 | Warren | 126,600 |
| Jefferson | 231,803 | Washington | 129,842 |
| Lewis | 57,708 | Wayne | 129,970 |
| Livingston | 170,580 | Westchester | 2,960,080 |
| Madison | 167,811 | Wyoming | 33,499 |
| Monroe | 1,225,424 | Yates | 38,598 |
| Montgomery | 94,587 | | |
| Nassau | 1,746,876 | Upstate | \$23,585,541 |
| Niagara | 283,083 | | |
| Oneida | 343,874 | New York City | \$42,414,459 |
| Onondaga | 816,873 | | |
| | | Statewide Totals | \$66,000,000 |

ATTACHMENT B

**Title XX
FFY 2010-2011
All Eligible Title XX Services**

| District | Allocation | District | Allocation |
|-----------------|-------------------|------------------|-------------------|
| Albany | \$381,917 | Ontario | \$101,540 |
| Allegany | 49,485 | Orange | 387,309 |
| Broome | 166,645 | Orleans | 34,468 |
| Cattaraugus | 108,372 | Oswego | 152,859 |
| Cayuga | 53,985 | Otsego | 57,124 |
| Chautauqua | 136,472 | Putnam | 57,734 |
| Chemung | 97,809 | Rensselaer | 120,968 |
| Chenango | 38,318 | Rockland | 375,685 |
| Clinton | 116,361 | St. Lawrence | 94,295 |
| Columbia | 97,332 | Saratoga | 90,315 |
| Cortland | 53,535 | Schenectady | 184,874 |
| Delaware | 52,942 | Schoharie | 48,672 |
| Dutchess | 358,280 | Schuyler | 28,216 |
| Erie | 792,280 | Seneca | 44,137 |
| Essex | 43,812 | Steuben | 111,348 |
| Franklin | 70,493 | Suffolk | 1,256,568 |
| Fulton | 47,726 | Sullivan | 84,527 |
| Genesee | 52,966 | Tioga | 62,903 |
| Greene | 67,549 | Tompkins | 135,056 |
| Hamilton | 3,943 | Ulster | 280,962 |
| Herkimer | 47,245 | Warren | 52,759 |
| Jefferson | 108,216 | Washington | 82,547 |
| Lewis | 23,988 | Wayne | 58,783 |
| Livingston | 92,703 | Westchester | 2,474,736 |
| Madison | 63,914 | Wyoming | 26,917 |
| Monroe | 507,186 | Yates | 26,805 |
| Montgomery | 42,060 | | |
| Nassau | 2,906,980 | Upstate | \$13,819,411 |
| Niagara | 156,415 | | |
| Oneida | 170,683 | New York City | \$22,404,260 |
| Onondaga | 477,692 | | |
| | | Statewide Totals | \$36,223,671 |