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*Governor*

**NEW YORK STATE**  
**OFFICE OF CHILDREN & FAMILY SERVICES**  
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RENSSELAER, NY 12144

**John A. Johnson**  
*Commissioner*

## **Local Commissioners Memorandum**

<b>Transmittal:</b>	06-OCFS-LCM-03
<b>To:</b>	Local District Commissioners
<b>Issuing Division/Office:</b>	Administration
<b>Date:</b>	May 12, 2006
<b>Subject:</b>	SFY 2006-07 Social Services Block Grant (Title XX) Allocations
<b>Suggested Distribution:</b>	Accounting Supervisors Directors of Social Services
<b>Contact Person(s):</b>	See pages 4 and 5.
<b>Attachments:</b>	(A)(B)FFY 2005-06 Social Services Block Grant (Title XX) Allocations as authorized by the SFY 2006-07 Budget
<b>Attachments Available On – Line:</b>	Yes

### **I. Purpose**

The purpose of this memorandum is to provide social services districts with their Social Services Block Grant (Title XX) (\$105,976,808) allocations for State Fiscal Year (SFY) 2006-07. The allocations contained in this LCM are the same as the preliminary allocations that were sent to the local commissioners on January 19, 2006 to assist in local planning.

### **II. Background**

The national appropriation for Title XX for Federal Fiscal Year (FFY) 2005-06 is \$1.7 billion. New York State's share is \$111,554,535.

Five percent of the total New York State allotment, or \$5,577,727, has been allocated to support training activities for local district and state staff. The remaining amount of \$105,976,808 is allocated to local social services districts. Chapter 53 of the Laws of 2006 directs that \$66,000,000 be provided for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and the remaining funding of \$39,976,808 is available to reimburse other eligible Title XX services expenditures. Claims submitted for

expenditures from October 1, 2005 through September 30, 2006 will be accepted through March 31, 2007.

### **III. Other Related SFY 2006-07 Budget Actions**

Combined TANF Allocations: On May 4, 2006, districts were notified of their combined share of the Flexible Funds for Family Services (FFFS) at a statewide level of \$1.025 billion and TANF reappropriations for New York Works Compliance Fund, for an additional \$16 million statewide, for a combined total of \$1.041 billion of 100 percent TANF funding for the SFY 2006-07 (hereinafter “combined TANF allocations”). In prior years, three separate appropriations were made for TANF EAF child welfare services and for TANF transfer to Title XX for child welfare and for other Title XX services. Beginning in SFY 2005-06, and continuing in SFY 2006-07, those separate appropriations no longer exist. Instead, these combined TANF allocations provide districts with the flexibility to determine local funding priorities. Districts have greater flexibility in how they may use these funds directly for child welfare services. With these funds, child welfare services may be funded for families that meet the TANF Emergency Assistance to Families (EAF) eligibility criteria and/or for families with incomes up to 200 percent of the Federal poverty level. Districts also have the option to transfer a portion of their combined TANF allocation to the Title XX Block Grant. Under Federal law, any combined TANF funding your district chooses to transfer to the Title XX Block Grant must be used for families and individuals with incomes below 200 percent of the Federal income level. Among the programs that can be supported with funds transferred to Title XX from a district’s combined TANF allocation are the TANF Transfer to Title XX under 200 percent for Preventive, Child Protective, Aftercare and Adoption Services (including Post-Adoption Services) and TANF transfer to Title XX for otherwise eligible Title XX under 200 percent expenditures. Under Federal statute, no more than 30 percent of the State’s entire TANF block grant may be transferred to the Child Care Development Block Grant (CCDBG) and Title XX combined, with only up to 10 percent of the entire TANF block grant eligible for transfer to Title XX. Under the SFY 2006-07 Enacted Budget, this means that a district may transfer up to 70 percent of its combined TANF allocation to the amount of TANF funds that may be transferred to the CCDBG and Title XX combined, with up to 23 percent of its combined TANF allocation going to Title XX.

Local social services districts’ combined TANF allocations of the SFY 2006-07 Flexible Fund for Family Services (FFFS) and TANF reappropriation for New York Works Compliance Funds are issued under a separate communication.

Child Welfare Threshold: The SFY 2006-07 Enacted Budget also continues the Child Welfare spending threshold. The Child Welfare threshold relates to the amount of 65 percent State Child Welfare Services funding a district may receive for Child Protective services, Child Preventive services, Independent Living services and Adoption services (including Post-Adoption Services) and Administration costs. The intent of the Child Welfare threshold

language is to preclude a district from replacing Federal funds for Child Welfare with State reimbursement. It also is intended to encourage districts to maintain or increase total spending for these essential programs. Under the threshold, a district may receive 65 percent State reimbursement for Child Welfare services up to the level it received in State Fiscal Year (SFY) 2004-05, regardless of the amount of Federal funds it uses for such services. However, if a district wishes to receive an increase in the amount of State reimbursement in SFY 2006-07 over the level it received in SFY 2004-05, then the district either must maintain the level of specified Federal funding for such services at the same or higher level than it had for SFY 2004-05, or it must increase its gross Child Welfare Services claims. If a district chooses the first option, it must spend out of the combined TANF allocation, either directly and/or through a transfer to Title XX, an amount that is equal to or greater than the amount of Federal reimbursement it received for such services during SFY 2004-05 under TANF EAF and TANF to Title XX combined. If it does that, then it will be eligible for 65 percent reimbursement for all of its expenditures, net of the Federal funding. However, if a district chooses the second option, it will be eligible for the same amount of State reimbursement as in SFY 2004-05, plus it will be able to receive State reimbursement for 65 percent of the amount the district's gross claims in SFY 2006-07 exceed its gross claims for SFY 2004-05.

#### **IV. Social Services Block Grant (Title XX) Allocation Methodology**

The Title XX allocations provide \$66,000,000 for the reimbursement of local district expenditures for Adult Protective and Domestic Violence Services and \$39,976,808 for the reimbursement of other eligible Title XX services expenditures. The allocation methodology is as follows:

**Adult Protective and Domestic Violence Services (Attachment A):** Of the \$105,976,808, \$66,000,000 is set aside to provide 100 percent reimbursement to local social services districts for eligible Title XX services expenditures for Adult Protective and Domestic Violence Services, incurred on or after October 1, 2005. Allocation of this funding is based on each district's share of claims for those services, adjusted by the applicable cost allocation methodology and net of any retroactive payments, for the twelve-month period ending June 30, 2005, that were submitted on or before January 3, 2006.

If a local social services district does not fully expend its allocation, the funds may be reallocated to other districts with eligible claims that exceed their allocations.

It should be noted that the combined TANF allocation is also available to cover costs eligible for Title XX below 200 percent, if a district elects to transfer a portion of its combined TANF allocation funds to Title XX to fund these services, for Adult Protective and Domestic Violence Services to children and their families with incomes less than 200 percent of the Federal Poverty Income level for the family.

Eligible Adult Protective and Domestic Violence Services expenditures in excess of the allocation are eligible for State reimbursement at the rate of 50 percent after any additional Federal reimbursement is received.

**All Eligible Title XX Services (Attachment B):** The remaining funding of \$39,976,808 may be used to reimburse other eligible Title XX services expenditures incurred on or after October 1, 2005, that are otherwise reimbursable on or after April 1, 2006. The allocation of these funds is based on each district's claims for eligible Title XX services adjusted by the applicable cost allocation methodology and net of any retroactive payments of the twelve-month period ending June 30, 2005 that were submitted on or before January 3, 2006. The allocation methodology does not consider Adult Protective Services and Domestic Violence Services, as those claims were considered in allocating the \$66,000,000 set aside mentioned above. The allocation methodology also does not consider Preventive Services, Child Protective Services, Aftercare Services, or Adoption Services (including Post-Adoption Services) claims, as funding for those services was factored into the district's estimated share of the combined TANF allocation. Although the allocation of these Title XX funds did not include claims for Adult Protective Services, Domestic Violence Services or the child welfare services, these funds may be used to reimburse the costs of such services.

Title XX eligible services expenditures, other than Adult Protective Services, Domestic Violence Services and child welfare services, in excess of the allocation are **not** eligible for State reimbursement.

If a local social services district does not fully expend its allocation, the funds may be reallocated to other districts with eligible claims that exceed their allocations.

## V. Claiming

Expenditures for allocations included in this publication are claimed as follows.

Title XX	Purchased Expenditures	Schedule G	LDSS-1372
	Administrative Expenditures	Schedule D2	LDSS-2347-B
	Training	Schedule D6	LDSS-2347-C

Instructions for claiming purchased expenditures can be found in the Fiscal Reference Manual, Volume 2, Chapter 3. Instructions for claiming administrative expenditures can be found in the Fiscal Reference Manual, Volume 4, Chapter 9 (New York City) and Volume 3, Chapter 9 (Rest of State). The Fiscal Reference Manuals are accessible to local districts and sister agencies at the following intranet site address: <http://otda.state.nyenet/bfdm/>.

## VI. Information/Questions Contact

Questions pertaining to the allocations may be directed to:

Deborah J. Hanor, Director, Bureau of Budget Management, (518) 474-1361

Andrea M. Sweda, Assistant Director, Bureau of Budget Management, (518) 402-3324

Questions pertaining to the FFFS Plan and Fiscal Claiming may be directed to the Office of Temporary and Disability Assistance:

**FFFS Plan:**

Jane Wagner, (518) 402-3599  
Susanne Haag, (518) 486-6291

**Fiscal Claiming:**

Regions I-IV: Carolyn Oleyourryk 1-800-343-8859, ext. 4-7549 or (518) 474-7549

E-mail - [Carolyn.Oleyourryk@otda.state.ny.us](mailto:Carolyn.Oleyourryk@otda.state.ny.us)

Region V: Michael Borenstein (631) 854-9704

E-mail - [Michael.Borenstein@otda.state.ny.us](mailto:Michael.Borenstein@otda.state.ny.us)

Region VI: Marian Borenstein (212) 961-8250

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*/S/ Susan A. Costello*

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**Issued By:**

Name: Susan A. Costello

Title: Deputy Commissioner

Division/Office: Administration

## ATTACHMENT A

**Title XX**  
**FFY 2005-2006**  
**Adult Protective and Domestic Violence Services**

<b>District</b>	<b>Allocation</b>	<b>District</b>	<b>Allocation</b>
Albany	1,897,978	Ontario	216,906
Allegany	102,643	Orange	1,046,422
Broome	593,580	Orleans	91,794
Cattaraugus	184,654	Oswego	309,219
Cayuga	189,955	Otsego	190,994
Chautauqua	376,381	Putnam	185,879
Chemung	317,523	Rensselaer	374,435
Chenango	131,149	Rockland	1,158,003
Clinton	260,405	St. Lawrence	266,890
Columbia	205,586	Saratoga	250,602
Cortland	136,801	Schenectady	689,317
Delaware	326,930	Schoharie	148,778
Dutchess	1,289,165	Schuyler	59,242
Erie	2,735,771	Seneca	112,129
Essex	128,387	Steuben	255,586
Franklin	190,624	Suffolk	3,429,480
Fulton	155,551	Sullivan	219,382
Genesee	217,709	Tioga	113,845
Greene	148,957	Tompkins	290,510
Hamilton	6,284	Ulster	871,761
Herkimer	145,420	Warren	193,463
Jefferson	283,517	Washington	175,399
Lewis	79,524	Wayne	202,333
Livingston	183,641	Westchester	4,163,902
Madison	210,569	Wyoming	54,949
Monroe	1,856,313	Yates	51,905
Montgomery	109,345		
Nassau	2,401,372	Upstate	32,184,319
Niagara	425,393		
Oneida	464,306	New York City	33,815,681
Onondaga	1,305,761		
		Statewide Totals	66,000,000



**ATTACHMENT B**

**Title XX  
FFY 2005-2006  
All Eligible Title XX Services**

<b>District</b>	<b>Allocation</b>	<b>District</b>	<b>Allocation</b>
Albany	596,114	Ontario	98,623
Allegany	39,928	Orange	292,303
Broome	171,819	Orleans	32,746
Cattaraugus	64,960	Oswego	116,084
Cayuga	52,307	Otsego	63,027
Chautauqua	196,805	Putnam	70,142
Chemung	157,762	Rensselaer	137,232
Chenango	40,044	Rockland	311,992
Clinton	118,553	St. Lawrence	87,604
Columbia	84,340	Saratoga	103,773
Cortland	53,115	Schenectady	432,397
Delaware	62,443	Schoharie	57,975
Dutchess	299,548	Schuyler	28,379
Erie	795,710	Seneca	47,671
Essex	41,347	Steuben	126,499
Franklin	49,529	Suffolk	1,928,053
Fulton	42,813	Sullivan	84,413
Genesee	63,053	Tioga	51,936
Greene	67,778	Tompkins	107,284
Hamilton	4,217	Ulster	337,617
Herkimer	50,441	Warren	65,086
Jefferson	133,632	Washington	74,538
Lewis	22,076	Wayne	61,852
Livingston	97,375	Westchester	1,486,884
Madison	55,065	Wyoming	33,051
Monroe	777,235	Yates	28,232
Montgomery	35,086		
Nassau	3,581,041	Upstate	14,714,723
Niagara	138,324		
Oneida	153,440	New York City	25,262,085
Onondaga	403,430		
		Statewide Totals	39,976,808