DIVISION: Services and Community Development

TO: Commissioners of Social Services

Date: March 26, 1996

SUBJECT: Protective Services for Adults (PSA): PSA Client Profile Study

SUGGESTED DISTRIBUTION: Directors of Services Adult Services Supervisors

CONTACT PERSON: Irv Abelman, 212-383-1755, USERID 0AM020

ATTACHMENTS: A. PSA Client Profile Outline (Available on-line.)

FILING REFERENCES

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DSS-329EL (Rev. 9/89)
The purpose of this release is to inform you of the Department's intention to conduct an in-depth client profile study of the Protective Services for Adults (PSA) caseload. The PSA client profile study is part of our continuing effort to provide the districts and the public with information about the nature of the PSA caseload, the needs of PSA clients and the etiology of adult abuse and neglect. The study results will be shared with districts as soon as an analysis is completed.

The PSA client profile study will focus on the following six areas:

° referral information;
° client and household demographics;
° mental and physical limitations;
° risk factors;
° client support systems; and
° services provided.

A detailed outline of the items that will be included in the profile study is contained in Attachment A.

The study will be conducted using a statistically valid sample of cases selected from the universe of cases that were initially authorized for PSA between January 1, 1995 and July 15, 1995. Limiting the focus to initial PSA authorizations will ensure that the data obtained from the study will be as current as possible.

Within the next month, each social services district will be notified which of its cases, if any, were selected as part of the sample. A request will be made for copies of the following documents for each case included in the sample:

° PSA Intake Disposition (DSS-3602A);
° PSA Assessment/Services Plan (DSS-3602B); and
° The initial PSA Assessment/Services Plan/Review/Update (DSS-3603).
Districts will be asked to return the requested documents within 30 days. Once this information is received, state staff will compile and analyze the results of the study. Districts also will be requested to provide the name and telephone number of a contact person who can provide additional information or clarification about a case if it is needed. More detailed instructions will be provided when the lists of cases selected for the sample are forwarded to the appropriate districts.

_________________________________
Rose M. Pandozy
Deputy Commissioner
Services and Community Development
I. REFERRAL INFORMATION

2. Referral Source
   ° Other DSS unit
   ° Aging services provider
   ° Health care provider
   ° Mental health provider
   ° Law enforcement agency
   ° Self referral
   ° Relative
   ° Other

3. Problems in gaining access
   ° Client refusing access
   ° Caregiver refusing access
   ° Other problem gaining access
   ° No problems in gaining access

4. Life threatening situation?
   ° Yes
   ° No

II. CLIENT AND HOUSEHOLD DEMOGRAPHICS

1. District population size (1000s)
   ° 400+
   ° 100-400
   ° 50-100
   ° <50

2. Age of client
   ° 18-59
   ° 60-64
   ° 65-74
   ° 75-84
   ° 85+

3. Sex of client
   ° Female
   ° Male
4. Household composition
   - Lives alone
   - Lives with others

5. Relationship of others in household
   - Spouse
   - Child
   - Parent
   - Grandchild
   - Sibling
   - Other relative
   - Unrelated person

6. Income and resources
   - No income.
   - Public assistance, SSI or Medicaid.
   - Social Security.
   - Pension or other non-benefit source of income.
   - Owns home.
   - Bank accounts, securities or other assets totaling more than $5,000 in value.
   - Financial situation not known.

III. MENTAL AND PHYSICAL LIMITATIONS

1. Cognitive capacity
   - Total impairment in ability to make reasoned decisions.
   - Partial impairment in ability to make reasoned decisions.
   - No impairment in ability to make reasoned decisions.

2. Ambulation
   - Unable to leave home.
   - Only able to leave home with assistance.
   - Able to leave home without assistance.

3. Incapacitating conditions
   - Mental illness
   - Physical disability, frailty
   - Mental retardation
   - Alcoholism
   - Alzheimer's disease or other aging related dementia
   - Drug or other substance abuse
   - Acute illness or injury
   - Other incapacitating condition

IV. RISK FACTORS

1. Abuse or Self Neglect
   - Abuse or neglect by another person
   - Self neglect only
2. Type of abuse
   ° Neglect
   ° Physical abuse
   ° Sexual abuse
   ° Emotional abuse
   ° Financial exploitation

3. Sex of abuser
   ° Female
   ° Male

4. Relationship of abuser
   ° Spouse
   ° Child
   ° Parent
   ° Grandchild
   ° Sibling
   ° Other relative
   ° Unrelated person

5. Abuser incapacities
   ° Alcohol abuse
   ° Drug abuse
   ° Mental incapacity
   ° Physical incapacity

6. Other risk factors
   ° Neglect of own person
   ° Self endangerment
   ° Environmental hazards
   ° Inability to manage finances
   ° Unmet need for assistance with ADLS
   ° Requires assistance in accessing benefits

V. CLIENT SUPPORT SYSTEMS

1. Informal supports
   ° Relative
   ° Friend
   ° Neighbor or landlord
   ° Religious organization
   ° Other

2. Formal supports
   ° Social services provider
   ° Aging services provider
   ° Health care provider
   ° Mental health provider
   ° Attorney
   ° Other
VI. SERVICES PROVIDED

1. Service objective
   ° Maintain client in community with voluntary services.
   ° Maintain client in community with some involuntary services.
   ° Voluntary long term residential placement.
   ° Involuntary long term residential placement.

2. Services
   ° Public benefits
   ° Housing
   ° Homecare
   ° Medical
   ° Mental health
   ° Financial Management
   ° Services for others in household
   ° Other services

3. Legal and emergency interventions
   ° Access order
   ° Short term involuntary protective services order (STIPSO)
   ° Order of protection
   ° Order of support
   ° Guardianship
   ° Psychiatric hospitalization
   ° Emergency medical services
   ° Commitment to a developmental center
   ° Police intervention
   ° Referral to district attorney