INFORMATIONAL LETTER

DIVISION: Services and Community Development

TO: Commissioners of Community Social Services

DATE: April 30, 1993

SUBJECT: Family Type Homes for Adults: Closure Without Surrender of Operating Certificate

SUGGESTED DISTRIBUTION: Directors of Services
Adult Services Staff
Family Type Home Coordinators
Staff Development Coordinators

CONTACT PERSON: Thomas Burton, Office of Housing and Adult Services, 1-800-342-3715, extension 432-2987


FILING REFERENCES

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DSS-329EL (Rev. 9/89)
The purpose of this transmittal is to remind the local social services districts of the need to obtain the operating certificates of all Family Type Homes for Adults which are closing voluntarily.

In 92 INF-25, local social services districts were provided with clarification of Department regulations pertaining to the voluntary closing of Family Type Homes. Included in the regulations is the requirement that the Family Type Home operator surrender the operating certificate to the local department of social services. The district must then send the certificate to the Department. However, the Department continues to receive requests to close certified Family Type Homes without the surrender of the certificate.

In the event that operators are unable to locate the operating certificates, the Department will accept a statement signed by the operators and witnessed by a local social services district staff person which includes the following assurances:

- the operator(s) is/are no longer interested in being a Family Type Home operator and wish(es) to close the home;
- the operator(s) cannot locate the operating certificate;
- the operator(s) agree(s) that he/she/they will not admit anyone to the home who requires personal care or supervision; and
- the operator(s) acknowledge(s) that knowingly operating an unlicensed adult care facility is a class-a misdemeanor, for which the operator(s) may be criminally prosecuted and that the Department may seek to impose a fine of $1,000 per day for the unlicensed operation of an adult care facility.

Attached is a model statement which may be used when operators are unable to surrender the operating certificates.

________________________________________
Frank Puig
Deputy Commissioner
Division of Services & Community Development
Model Statement

Closing a Family Type Home for Adults
When Operators Are Unable To Surrender the Operating Certificate

- I/We am/are no longer interested in being (a) Family Type Home operator(s) and wish to close the home.
- I/We cannot locate the operating certificate.
- I/We agree that I/we will not admit anyone to the home who requires personal care or supervision.
- I/We acknowledge that knowingly operating an unlicensed adult care facility is a class-a misdemeanor, for which I/we may be criminally prosecuted and that the Department may seek to impose a fine of $1,000 per day for the unlicensed operation of an adult care facility.

___________________    __________________________________________
Date                     Signature of Operator

___________________    __________________________________________
Date                     Signature of Operator

__________________     __________________________________________
Date             Signature of Local District Staff Person