

**Office of Children  
and Family Services**

**New York State  
Office of Children and Family Services  
Child Welfare Financing**

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**Office of Children  
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**Child Welfare Financing System  
Overview**

- Open-Ended 65 Percent Enhanced State Reimbursement for Services
- Foster Care Block Grant
- Quality Enhancement Fund

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**Office of Children  
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**Child Welfare Services**

- Enhanced open-ended entitlement program
- 65% State share reimbursement, 35% Local share
- Net of available Federal funds

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**Office of Children  
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**Child Welfare Services**

- Programs Include:
  - ◆ Child Preventive Services
  - ◆ Child Protective Services
  - ◆ Aftercare Services
  - ◆ Adoption Services (not subsidies) and Adoption Administration
  - ◆ Independent Living

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**Office of Children  
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**Child Welfare Services**

- Simplifies the program funding
- Appropriates \$324.4 million State share
- For expenditures incurred after October 1, 2001 that are otherwise reimbursable after April 1, 2002
- Eliminates MOE

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**Donated Funds**

- Preventive and independent living services
- Grandfathers in-kind, indirect and non-tax levy claims at FFY 1998 – 99 levels
- Authorizes privately donated funds up to 17.5% of total claims
- Federal reimbursement to extent permitted by Federal law

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**Office of Children  
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**Foster Care Block Grant**

- State reimbursement limited to a capped appropriation of \$364.5 million for:
  - ◆ Maintenance
  - ◆ Administration
  - ◆ Tuition
  - ◆ JD/PINS

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**Managed Care**

- Allows districts to establish managed care systems
- Permits alternate payment systems
- Case management may be delegated
- OCFS approval of plan required
- Authorizes waiver of statutory and regulatory planning and recording requirements

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**Quality Enhancement**

- Funds innovative models for the provision of Child Welfare Services
- Permits flexible use of funding
- Requires a report to the Governor and the Legislature by January 31, 2003, and annually thereafter

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**Office of Children  
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**Fiscal Penalties and Incentives (Districts)**

- 153-d and Utilization Review repealed
- Based on Federal outcomes
- Measurement to begin no later than November 1, 2003

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**Office of Children  
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**Federal Outcome Measures**

**Safety**

- Children are, first and foremost, protected from abuse and neglect
- Children are safely maintained in their homes whenever possible and appropriate

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**Office of Children  
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**Federal Outcome Measures**

**Permanency**

- Children have permanency and stability in their living situations
- Continuity of family relationships and connections is preserved for children

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**Office of Children  
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**Federal Outcome Measures**

**Child And Family Well-being**

- Families have enhanced capacity to provide for their children's needs
- Children receive appropriate services to meet their educational needs
- Children receive adequate services to meet their physical and mental health needs

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**Office of Children  
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**Fiscal Penalty Process (Districts)**

- Opportunity for corrective action prior to penalty
- Failure to substantially comply or make sufficient progress
- Extraordinary circumstance exception for counties with less than 15,000 children

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**Office of Children  
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**Fiscal Penalty Process (Districts)**

- Provides for appeal process
- Districts may pass on penalties to contract agencies
- Penalties deposited in Quality Enhancement Fund

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**Office of Children  
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**Fiscal Penalties (Foster Care Programs)**

- Authorizes fiscal penalties by OCFS
- Failure to promote permanency
- Repeated regulatory violations
- Hearing rights
- Penalties deposited in Quality Enhancement Fund

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**Office of Children  
and Family Services**

**Commission on Quality Foster Care**

- Includes five members appointed by the Governor
- Investigates complaints regarding the quality of care of children in congregate care
- Presents findings and makes recommendations to OCFS and congregate program
- OCFS retains regulatory, monitoring and enforcement authority

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