

**CFS 101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP and ETV**

**Fiscal Year FFY 2012: October 1, 2011 through September 30, 2012**

1. State or ITO New York State		2. EIN: 14-6013200	
3. Address: New York State Office of Children & Family Services 52 Washington Street Rensselaer, New York 12144-2796		4. Submission: [ X ] New [ ] Revision	
5. Estimated title IV-B, Subpart 1, Child Welfare Services (CWS) Funds		\$14,513,321	
a) Total administration (not to exceed 10% of estimated allotment)		\$0	
6. Total Estimated title IV-B, Subpart 2, Promoting Safe and Stable Families (PSSF) Funds.		\$18,860,189	
a) Total Family Preservation Services		\$4,715,047	
b) Total Family Support Services		\$5,363,102	
c) Total Time-Limited Family Reunification Services		\$3,772,040	
d) Total Adoption Promotion and Support Services		\$5,010,000	
e) Total for Other Service Related Activities (e.g. planning)		\$0	
f) Total Administration (FOR STATES: not to exceed 10% of estimated allotment)		\$0	
7. Total estimated title IV-B Subpart 2, Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)		\$1,119,944	
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)		\$111,994	
8. Re-allotment of Title IV-B Subparts 1 & 2 funds for States and Indian Tribal Organizations:			
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$-0-, PSSF \$-0-, and/or MCV \$-0-.			
b) If additional funds become available to States and ITOs, specify the amount of additional funds the State or Tribe is requesting. CWS \$10,000,000, PSSF \$1,000,000, and/or MCV \$-0-.			
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)		\$1,435,153	
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds		\$11,585,958	
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)		\$0	
11. Estimated Education and Training Vouchers (ETV) funds		\$2,944,748	
12. Re-allotment of CFCIP and ETV Funds.			
a) Indicate the amount of the State's allotment that will not be required to carry out CFCIP Program		\$0	
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program		\$0	
c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program		\$6,014,040	
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program		\$1,000,000	
13. Certification by State Agency and/or Indian Tribal Organization.			
The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 2010.			
Signature and Title of State/Tribal Agency Official		Signature and Title of Central Office Official	
Edna M. Reilly, Acting Deputy Commissioner for Administration			

	A	B	C	D	E	F	G	H	K	L	M	N	O	P	Q	
1	<b>CFS 101, PART II: Annual Estimated Expenditure Summary of Child and Family Services</b>															
2	State or ITO: NEW YORK STATE		For FFY OCTOBER 1, 2011 TO 2012													
3	SERVICES / ACTIVITIES	GROSS	ESTIMATED EXPENDITURES BY PROGRAM (IN THOUSANDS)								NUMBER TO BE SERVED		POP. TO BE SERVED	GEOG. AREA TO BE SERVED		
4			TITLE IV-B			CAPTA *	CFCIP	ETV	TITLE IV-A	OTHER FEDERAL	STATE, LOCAL & DONATED FUNDS	Individuals	Families			
5			(a)	(b)	(c)	(d)	(e)	(f)	(i)		(j)	(k)		(l)	(m)	
6			I - CWS	II - PSSF	II - MCV											
7																
8	1) PREVENTION AND SUPPORT SERVICES (FAMILY SUPPORT)	\$387,740	\$1,000	\$5,363		\$1,435			\$14,449			\$365,493	0	0	Families in crisis and families with children returning home following placement	All social services districts
9	2) PROTECTIVE SERVICES	\$612,880	\$13,513						\$156,342			\$443,025	224,420	169,410	Reports of abuse/neglect	All social services districts
10	3) CRISIS INTERVENTION (FAMILY PRESERVATION)	\$213,010		\$4,715					\$54,338			\$153,957	13,070	9,870	Children at imminent risk of placement	All social services districts
11	4) TIME LIMITED FAMILY REUNIFICATION SERVICES	\$5,030		\$3,772								\$1,258	11,000	N/A	All eligible children for up to 15 months after entering foster care per 475(5)(F)	All social services districts
12	5) ADOPTION PROMOTION AND SUPPORT SERVICES	\$6,680		\$5,010								\$1,670	6,230	N/A	Children in foster care with goal of adoption	All social services districts
13	6) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)											\$0				
14	7) FOSTER CARE MAINTENANCE:											\$0				
15	(a) FOSTER FAMILY AND RELATIVE FOSTER CARE	\$33,700										\$33,700	19,440	N/A	All eligible children	All social services districts
16	(b) GROUP / INST CARE	\$101,720										\$101,720	5,180	N/A	All eligible children	All social services districts
18	8) ADOPTION SUBSIDY PAYMENTS	\$482,990										\$482,990	45,000	Included with individuals	All eligible children	All social services districts
19	9) GUARDIANSHIP ASSIST. PMTS. (Included on Line 7A pending full implementation of this program now under development)											\$0				
20	10) INDEPENDENT LIVING SERVICES	\$22,000						\$11,586				\$10,414	15,460	N/A	All children in foster care aged 14-21	
21	11) EDUCATION AND TRAINING VOUCHERS	\$3,681							\$2,945			\$736	800	N/A	Current and former foster care youth <age 21 (except up to age 23 if participated at age 21) and youth adopted from foster care after age 16.	
22	12) ADMINISTRATIVE COSTS	\$68,530		\$0					\$16,000	\$1,600		\$50,930				
23	13) STAFF & EXTERNAL PARTNERS TRAINING											\$0				
24	14) FOSTER PARENT RECRUITMENT AND TRAINING											\$0				
25	15) ADOPTIVE PARENT RECRUITMENT AND TRAINING											\$0				
26	16) CHILD CARE RELATED TO EMPLOYMENT/TRAINING	\$296,000										\$296,000	210,800 average monthly children statewide		All social services districts	
27	17) CASEWORKER RETENTION, RECRUITMENT & TRAINING	\$1,493			\$1,120							\$373				
28	18) TOTAL	\$2,235,454	\$14,513	\$18,860	\$1,120	\$1,435	\$11,586	\$2,945	\$241,129	\$1,600		\$1,942,266				
29	* States Only, Indian Tribes are not required to include information on these programs															

	A	B	C	D	E	F	G	H	K	L	M	N	O	P	Q
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3	SERVICES / ACTIVITIES	GROSS	ESTIMATED EXPENDITURES BY PROGRAM (IN THOUSANDS)									NUMBER TO BE SERVED		POP. TO BE SERVED	GEOG. AREA TO BE SERVED
4			TITLE IV-B	CAPTA *	CFCIP	ETV	TITLE IV-A	OTHER FEDERAL	STATE, LOCAL & DONATED FUNDS	Individuals	Families				
30		\$2,235,454	\$568,731						TABLE FEDERAL FL		TITLE IV-B 2 MOE				
31		\$5							SFY0910/FFY0809						
32			\$0						\$210,679,802	Child Welfare	\$976,926	1,2,3A,3B,4,5,8,9 family pres & support categories *			
33									\$0	EAF NYC Tuition	\$4,577	iv-e adopt svcs mixed in with adoption subsidy			
34									\$74,621,904	JD/PINS Vol agen	\$0	child welfare for TANF MOE			
35									\$0	JD/PINS OCFs F2	\$981,503	Total IV-B MOE eligible State & Local Expenditures			
36															
37									\$285,301,706	Total					
38									\$268,777,832	EAF/FC Swap	\$266,955	IV-B 2 MOE Requirement			
39										Est TANF Contracts based on FFY 0910 Spend Down					
40									\$7,225,000	Adv Schools	\$714,548	State & Local Share in Excess of MOE			
41									\$13,710,000	Preventive minus post-adoption					
42									\$3,298,000	Home visiting					
43									\$0	Community Reinv	\$0	Prev & Prot			
44	Date June 30, 2011								\$469,000	Caretaker Rel/NRDV		EAF in Line 6c is EAF FC swap			
45									\$4,195,000	TANF PINS Prev	\$0	TANF Child Welfare MOE			
46									\$1,001,000	Settlement Houses					
47									\$3,077,000	Non-Res DV					
48									\$32,975,000						

**Cell:** A8

**Comment:** New York State:

Includes FFY 2009-2010 Sch G XX Preventive Services; D2 Child Preventive and Other & Ch Prev and Other <200 (Includes A-87). Also includes 75% of SFY 0910 TANF contract approps spending in FFY 2009-10.

**Cell:** C8

**Comment:** av6420:

to show that the state might use some Sch G preventive services to maximize IV-B 1as in the 2005 base year for the funding limitation

**Cell:** A9

**Comment:** New York State:

FFY 2009-2010 Sch G, H and D2

**Cell:** I9

**Comment:** New York State:

IV-E Protective

**Cell:** K9

**Comment:** AV6420:

Two years ago was portion of \$140M EAF based on ratio of protective services to total of protective and preventive services. This year estimate is protective/prev & prot ratio applied to the \$135M districts transferred from the FFFS.

**Cell:** N9

**Comment:** Robert K Korycinski:

From Tina Bowman/Paul Nance in SPPD

**Cell:** O9

**Comment:** Robert K Korycinski:

From Tina Bowman/Paul Nance in SPPD

**Cell:** K10

**Comment:** AV6420:

Two years ago was portion of \$140M EAF based on ratio of preventive services to total of protective and preventive services. This year same ratio applied to \$138M districts transferred from the FFFS.

**Cell:** N10

**Comment:** Robert K Korycinski:

From Tina Bowman/Paul Nance in SPPD

**Cell:** O10

**Comment:** Robert K Korycinski:

From Tina Bowman/Paul Nance in SPPD

**Cell:** A11

**Comment:** av6420:

Grossed up to assume we have claims to draw fed funds

**Cell:** N11

**Comment:** ad2300:

Continuing with 11,000 from previous years

**Cell:** A12

**Comment:** ad2300:

ADOPTION & SUPPORT: 10/09-9/30/10 statewide Sch G Adopt Prev Svcs, Sch H fnp adopt, and d2 sect 3 XX/IV-B Adopt and Sch K 75% Adoption Medical. Sch D2 Scr 4 & 6 IV-E Adopt is included with subsidy where a IV-E share can be entered. This number is increased to estimate eligibility for \$4.4M subpart 2. Identification of claims and/or new initiatives needed. Did not add TANF Prevention/Post adoption.

**Cell:** N12

**Comment:** ad2300:

From Tina Bowman/Paul Nance in SPPD



**Cell:** A20

**Comment:** ad2300:  
Estimated gross ILP based on 10/1/09-9/30/10 RF4 local district claims.

**Cell:** N20

**Comment:** ad2300:  
Discharges and In Care ages 14 and over from 2009 MAPS

**Cell:** N21

**Comment:** ad2300:  
From 10-OCFS-LCM-10

**Cell:** A22

**Comment:** ad2300:  
Includes \$40,290,763 st ops children's services 1112 cash target. The \$20,000,000 offset is in the federal columns plus Connections 1112 cash target of \$28,239,834

**Cell:** I22

**Comment:** av6420:  
Foster care and adoption revenue 12% of children's services offset in 0809 applied to 0910 offset plus 12% of SACWIS maintenance and operations estimate.

**Cell:** A23

**Comment:** ad2300:  
Not including because DHHS no longer wants IV-E FC & Adopt and Title XX set-aside reported on the Part 2.

**Cell:** A24

**Comment:** Valerie Slattery:  
FOSTER PARENT RECRUITMENT & TRAINING: Recruitment is included in admin which is included with maintenance. Traditionally, no training included here.

**Cell:** A25

**Comment:** Valerie Slattery:  
ADOPTIVE PARENT RECRUITMENT & TRAINING: Recruitment included with admin which is included in subsidies. Traditionally no training included here.

**Cell:** A26

**Comment:** ad2300:  
Estimated annual state share, local moe, FFY 0910 local overclaims, FFY 0910 local moe recoup.

**Cell:** M26

**Comment:** Valerie Slattery:  
CHILD CARE STATE & LOCAL FUNDS: From FFY 2007-08 allocation/claims information in over-uner report. Gross minus fed share in gross column because no column to enter CCDF so state and local same as in gross column. Would include state appropriation, 25% local share plus local MOE recoup plus local overclaim. More than enough to meet MOE and Match of c. \$204M for FFY 0910 assuming fed \$ same as in 0809.

**Cell:** N26

**Comment:** ad2300:  
The FFY 2008-09 128,063 cases extrapolated to 210,776 children - ACF 800 report from Melinda Denham.

**Cell:** J32

**Comment:** ad2300:  
SFY 2009-10 / FFY 2008-09 transfer. Last info available 5/26/10.

**Cell:** K32

**Comment:** ad2300:  
FFFS Plan SFY 2010-11 / FFY 2009-10 Child welfare services and administration

**Comment:** ad2300:  
FFY 2010-11 NYS allotment

**Cell:** K34

**Comment:** ad2300: SFY 10/11-FFY 09/10 FFFS Plan EAF

**Cell:** J35

**Comment:** ad2300:  
The \$66M reg XX LCM plus \$1.67M FFFS plan SFY 2009-10.

**Cell:** M35

**Comment:** AV6420:  
Excludes funds used for TANF MOE

**Cell:** J36

**Comment:** av6420:  
TANF/XX transfer non-APDV from settlement SFY 2008-09/FFY 2005/06. A little more than FFY 2006-07 <200% daycare XX claim but this estimate assumes 0809 claim will be higher with ^ market rates.

**Cell:** K38

**Comment:** ad2300:  
10/1/09-9/30/10 from Tom Chase

**CFS 101, PART III: Annual Expenditures for Title I V-B, Subpart 1 & 2, Chafee Foster Care Independence Program (CFCIP), and Education and Training Vouchers (ETV):**

**Fiscal Year 2009: October 1, 2008 through September 30, 2009**

1. State or ITO: New York State

2. EIN: 14-6013200

3. Address:  
New York State Office of Children & Family Services  
52 Washington Street  
Rensselaer, New York 12144-2796

4. Submission: <input checked="" type="checkbox"/> New <input type="checkbox"/> Revision <i>Description of Funds</i>	<i>Estimated Expenditures</i>	<i>Actual Expenditures</i>	<i>Number Served</i>		<i>Population Served</i>	<i>Geographic Area Served</i>
			<i>Individuals</i>	<i>Families</i>		
5. Total title IV-B Subpart 1 Funds	\$14,518,202	\$14,343,826	5,870	4,410	Families with a child abuse or neglect investigation	All social services districts
a) Total Administrative Costs (not to exceed 10% of Federal allotment)	\$0	\$0				
6. Total title IV-B, Subpart 2 Funds. (This amount should equal the sum of lines a-f).	\$19,203,195	\$19,085,529	19,898	890	See below	
a) Family Preservation Services	\$3,840,640	\$3,817,105	230	180	Children at imminent risk of placement	All social services districts
b) Family Support Services	\$5,213,756	\$7,634,214	1,520	710	Families in crisis and families with children returning home following placement	All social services districts
c) Time-Limited Family Reunification Services	\$3,840,640	\$3,817,105	11,600	N/A	All eligible children for up to 15 months after entering foster care per 475(5)(F)	All social services districts
d) Adoption Promotion and Support Services	\$4,387,840	\$3,817,105	6,548	N/A	Children in foster care with goal of adoption	All social services districts
e) Other Service Related Activities (e.g. planning)	\$0	\$0				
f) Administrative Costs (FOR STATES: not to exceed 10% of Federal allotment after October 1, 2007)	\$1,920,319	\$0				
7. Total Monthly Caseworker Visit Funds (STATE ONLY)	N/A	\$0				
a) Administrative Costs (not to exceed 10% of Federal allotment)	N/A	\$0				
8. Total Chafee Foster Care Independence Program (CFCIP) funds.	\$11,585,958	\$11,585,958	5,138	N/A	All children in foster care aged 14-21	All social services districts
a) Indicate the amount of the State's allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment).	N/A	\$0	N/A	N/A	N/A	
9. Total Education and Training Voucher (ETV) funds.	\$2,620,762	\$2,711,038	800 in FFY 2007-08 from FFY 2006-07 grant	N/A	Current and former foster care youth <age 21 (except up to age 23 if participated at age 21) and youth adopted from foster care after age 16.	All social services districts

10. Certification by State Agency or Indian Tribal Organization (ITO). The State Agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 2007.

<i>Signature and Title of State/Tribal Agency Official</i>	<i>Signature and Title of Regional Office Official</i>	<i>Date</i>	<i>Date</i>
Edna M. Reilly, Acting Deputy Commissioner for Administration			