

DEPARTMENT OF SOCIAL SERVICES

40 NORTH PEARL STREET, ALBANY, NEW YORK 12243

BARBARA B. BLUM
Commissioner



[An Administrative Directive is a written communication to local Social Services Districts providing directions to be followed in the administration of public assistance and care programs]

ADMINISTRATIVE DIRECTIVE

TRANSMITTAL NO.: 81 ADM-12
[Services]

TO: Commissioners

SUBJECT: Client Eligibility for Mandated Preventive Services and District Qualifications for Reimbursement DATE: April 3, 1981

SUGGESTED DISTRIBUTION: Child Welfare Executives and Staff
Accounting Staff
Child Placement Agencies
County Youth Boards
Other Family and Youth Services Agencies

CONTACT PERSON: Any question concerning this release should be directed to Dennis Zeller or Mary Ellen Deighan at 1-800-342-3715, ext. 4-1991 and 4-9439, respectively.

I. Purpose

The purpose of this Directive is twofold. First, it is designed to explain the general conditions under which a local social services district may qualify to receive 75% reimbursement for its preventive services for children cases. Second, it explains the case specific eligibility guidelines for mandated preventive services for children.

II. Background

The Child Welfare Reform Act of 1979 requires in Sec. 409-a that a "social services official shall provide preventive services to a child and his family...upon a finding by such official that the child will be placed or continued in foster care unless such services are provided and that it is reasonable to believe that by providing such services the child will be able to remain with or be returned to his family." The Act also makes a district's expenditures for these services subject to 75% reimbursement by the state, provided the district has fulfilled all necessary requirements. Preventive services may be provided to children and families for whom they are not mandated, but state reimbursement outside of Title XX for the cost of preventive services to these clients will be 50%, assuming an appropriation is provided for this purpose.

FILING REFERENCES

| Previous ADMs/INFs | Releases Cancelled | Dept. Regs. | Social Services Law and Other Legal References | Bulletin/Chapter Reference | Miscellaneous References |
|--------------------|--------------------|---------------------------------|--|----------------------------|---|
| 80-ADM-85 | | 372 423 425 428 429 | SSL Title 610 SSL Title 611 | 195 | Commissioner Blum's letter dated 6/20/80; Comprehensive Annual Social Services Program Plan |

The State Department of Social Services has been charged with the task of developing operational guidelines, before these provisions of the Child Welfare Reform Act take effect on April 1, 1981, for distinguishing those children and families a district is mandated to serve from those a district may serve at its option. Such standards must be applicable both to families with children in foster care and to families with children at home whose children are at risk of such placement.

In addition to this, the Department must specify what services will qualify for the 75% reimbursement and under what conditions. This is a distinct issue, because although only the cost of preventive services to those clients whom a district is required to serve will be eligible for reimbursement at 75%, there may arise cases in which a district is required to serve a child and his family but will not be reimbursed for 75% of the costs of the preventive services. This would occur if the district had not done everything required to qualify for that reimbursement, as specified in Section III-C below.

This Directive is not intended to serve as a complete set of standards for preventive services. Specifically, it does not address the authorization of individual preventive programs, program standards, utilization review, claiming procedures, or reporting requirements.

III. Program Implications

A. Client Eligibility

1. Assessment

For every family and child receiving either type of preventive services, mandated or non-mandated (optional), an assessment must be carried out and documented on DSS-3300 series forms. These forms comprise part of the uniform case record that will be used in all child protective, preventive service, adoption, and foster care cases. A full explanation of the assessment process can be found in Uniform Case Recording Standards Caseworker Manual and in Part 428 of the Title 18 NYCRR.

The assessment will function as a decision-making tool, assisting the caseworker in the determination of the most appropriate service for each family. Mandated preventive services shall be provided, subject to conditions set down below in this Directive, to a child and a child's family, if the assessment indicates that either

- a. a child in foster care may reasonably be expected within 90 days to return to his home or be adopted if provided with preventive services, or
- b. a child not in foster care would require placement 60 days and it is reasonable to believe that placement will not occur if preventive services are provided.

The requirement under part b) is satisfied when the caseworker's assessment, as recorded in the Assessment Summary of the Uniform Case Record, shows that there is serious risk to the mental and physical well-being of the child(ren) and that containment of this risk requires foster care within 60 days unless preventive services are made available. The assessment must also show the family's ability to benefit from the implementation of the preventive services plan. If the assessment indicates neither a) or b) above, mandated preventive services may not be provided. The family may, however, be eligible for non-mandated preventive services if these are available in the local district. Both mandated and non-

mandated preventive services are provided without regard to financial criteria.

Both conditions a) and b) above should be understood to contain two parts. In the case of children in foster care, the fact that a child may be ready to leave foster care within 90 days is not sufficient to establish eligibility for mandated preventive services. It must also be reasonable to believe that preventive services will help to stabilize the family situation and assure that the child will be more rapidly and successfully reunited with his family. Likewise, a family whose child is expected to enter foster care placement within 60 days is only eligible for mandated preventive services if those services can reasonably be expected to avert the placement. In this way it is hoped that these services can be well targeted and their impact maximized.

The department will consider complaints, appeals, and requests for fair hearings on the basis of the eligibility requirements as set forth in Social Services Law, the regulations of the department, the policies governing the administration of preventive services for children in the districts involved and the reasonable application of discretionary judgements properly exercised by social services officials in accordance with Part 358 of department regulations.

2. Term of Eligibility

Eligibility for mandated preventive services is initially established for up to a six-month period, according to the general rules established for Title XX services. Eligibility may be continued beyond the six-month period, provided redetermination based on a reassessment is conducted for each additional six months. A case shall be recertified for mandated preventive services if the review of the goals and objectives for the case indicates either that removal of services at that time would lead to a deterioration of the progress made, such that the risk of foster care would again become imminent, or that so little progress has been made that such risk is still imminent.

If a change of service status other than a discharge, occurs for a family or for the child involved, redetermination must take place at the time of the change of status even if six months have not elapsed. A complete explanation of this case can be found in the Uniform Case Recording Standards Caseworker Manual. In the event of a discharge, the worker shall perform an assessment to determine the need for preventive services to avert a return to care.

The initial date of eligibility will be the date of the application for services.

Children in foster care shall not receive more than one year of mandated preventive services during a given placement. A child who has returned home and has re-entered the system may be treated as a new case for the purposes of this restriction.

3. Special Provisions for Cases Originating in Protective Services

A district may receive 75% reimbursement for mandated preventive services which are provided to cases originating in protective services only if the district has an approved Consolidated Services Plan in which the ratio of total expenditures for child protective services to the district's total Title XX ceiling is equal to or greater than the ratio of the district's total expenditures for Child Protective Services to the district's Title XX ceiling for the federal fiscal year ending September 30, 1979. Information on this requirement will be made available during the preparation of the plans.

B. Definition of Preventive Services

Preventive services for children are supportive and rehabilitative services provided to children and their families; a) to avert an impairment or disruption of a family which will or could result in the placement of a child in foster care; b) to enable a child who has been placed in foster care to return to his family at an earlier time than would otherwise be possible; or c) to reduce the likelihood that a child who has been discharged from foster care would return to such care.

Preventive services for children can be funded under Title XX and Section 409-b of the Social Services Law when the requirements of Section 409-e of the Social Services Law and of Section 428 of State Department regulations for individual services plans are met. There are three categories of services: a) required services, b) subordinate services and c) special services.

a. Required Services

Case management services are required for all preventive services for children cases. Case management services include: assessing the need for, providing, and arranging for preventive services for children and coordinating and evaluating the provision of these services. Case management services must always be provided directly by the local social services district. Assessing the need for, providing, arranging for, coordinating, and evaluating the services may be done by voluntary agency staff, but the documentation of these efforts through the assessment, the service plans, and other components of the Uniform Case Record, must be signed by authorized district staff. In addition, only the district itself may authorize the delivery of services.

Individual, group or family counseling is required for all preventive services for children cases.

b. Subordinate Services

The following may be provided as an integral but subordinate part of an approved preventive services for children case plan when these services are necessary to achieve the preventive goals of the plan.

- (i) Parent Aide Services including services provided in the home focusing on the parent which are designed to reduce isolation, foster personal growth and self-esteem, and to increase motivation. Techniques used include: role modeling, listening skills, home management assistance. Education may be provided on parenting behavior and in personal coping behavior.
- (ii) Day Services to Children which shall mean a program offering a combination of services including at least social services, psychiatric, psychological, educational and/or vocational services and health supervision, and also including as appropriate, recreational and transportation services, for at least three but less than 16 hours a day and at least four days per week, excluding holidays. If it can be demonstrated that one or more of these services are not needed by the population served, that service may be waived. Only Day Service Programs permitted to operate pursuant to Social Services Law Section 431 are eligible for funding under Title XX or Social Services Law Section 409-b.

- (iii) Legal advocacy including assistance in arranging for legal services to assure receipt of rights and entitlements.
- (iv) Parenting Training including group instruction on parent effectiveness, parent skills development, child development, and may include parent-child interaction groups for enhancing communication skills among family members.
- (v) Day Care Services for Children, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981) may be provided for up to 12 months to mandated preventive service clients, and up to six months for non-mandated preventive clients without regard to financial criteria. Fees for preventive day care services will be collected in accordance with the day care fee schedule. However, that schedule has been extended to accommodate levels above the day care maximum. The preventive services day care fee schedule is attached to this Directive.
- (vi) Homemake Services, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981), may be provided for up to six months to mandated and non-mandated clients without regard to financial criteria.
- (vii) Housekeeper/Chore Services, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981), may be provided for up to six months to mandated and non-mandated clients without regard to financial criteria.
- (viii) Housing Improvement, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (ix) Family Planning, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (x) Unmarried Parents, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (xi) Health Related, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (xii) Employment, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (xiii) Educational Services, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).
- (xiv) Home Management, as defined in the Comprehensive Annual Social Services Program Plan (1980-1981).

c. Special Services

The following services may be funded until April 1, 1981, as preventive services for children and their families as part of the preventive services plan for the case. After April 1, 1981, these services may be provided only by districts which have received prior approval by the State Department of Social Services.

In order to gain approval for the delivery of these services, the district must assure the State Department of Social Services that the sum of all expenditures for all special services for clients the district is mandated to serve will not

exceed 10% of the district's total expenditures for mandated preventive services or 7% of the district's total expenditures for non-mandated preventive service, that other sources of funding are used for these services whenever possible, and that all limitations peculiar to each service are maintained.

Special services include:

(i) Clinical services may be provided by a licensed psychologist or psychiatrist in order to attain the preventive goals of the case plan.

(ii) Emergency cash or goods (in-kind) may be provided if and only if they are directly related to averting or abbreviating a foster care placement. Clients a district is not mandated to serve may not receive emergency cash or goods. When any such expenses are covered by Emergency Assistance to Families, an application for benefits shall be made within five days of the provision of this service. The amount of the emergency cash grant and/or the cost of emergency goods provided to any family may not exceed the amount of cash assistance for which the family would be eligible under Emergency Assistance to Families. Expenditures for this service may not exceed 3% of the district's total expenditures for mandated preventive services for children.

(iii) Emergency shelter for a parent and children may be provided if and only if it is directly related to averting foster care placement. When any such expenses are covered by Emergency Assistance to Families, an application for benefits shall be made within five days of the provision of this service. The length of stay in emergency shelter for any family may not exceed the maximum stay permitted in Emergency Assistance to Families. Expenditures for this service may not exceed 3% of the district's total expenditures for preventive services for children.

(iv) Transportation to and from services approved as preventive services in the plan for the particular case in question may be provided if it is necessary to attain the preventive goals of the case plan.

For services not listed here which are intended, in the case of clients of mandated preventive services, to be used for engagement of the clients, or, in the case of clients of non-mandated preventive services, to be used for outreach to and engagement of the clients, preventive services funding will be available under Section 409-b of the Social Services Law. In seeking approval from the Department to offer such services, the district must document how the services will operate to facilitate the client's interaction with the preventive service program. Such services are not fundable under Title XX, and for the purposes of the 10%/7% limitation of special services, such services will be considered to be special services. In addition, the total amount of expenditures for such services to clients of mandated services may not exceed 5% of the total district budget for mandated services, and the total amount of expenditures for these services to clients of non-mandated services may not exceed 5% of the total district budget for non-mandated services.

For families with children in foster care, only services provided to the family outside of the foster care facility or home may be reimbursed as preventive services. This implies that a child whose permanency plan is his/her own responsibility may not receive preventive services while he remains in foster care. Although the Department recognizes that these children may require as much or more preparation than other children in care, there appear to be few plausible alternative to this method of distinguishing between efforts that properly belong to foster care

programs and efforts that should be reimbursed under the preventive services formula. It should be noted, however, that once a child has been discharged from care to his/her own responsibility, he may receive preventive services, if an assessment indicates they would be appropriate.

C. District Qualifications for 75% State Reimbursement

In broad terms there are two requirements of a district's mandated preventive services for children program which will determine whether these services qualify for 75% state reimbursement. These are:

1. Having a Consolidated Services Plan that has been approved by the State Department of Social Services, and
2. Fulfilling the maintenance of effort requirement.

The district is obligated to serve mandated preventive services clients, whether or not it qualifies for 75% reimbursement.

1. Consolidated Services Plan

Each Social Services District is required to submit to the State Department of Social Services a plan outlining the need, available resources, goals, objectives, and activities for foster care, preventive services to children, adoption services, child protective services, adult protective services and any two other services the district selects. These plans are submitted during the summer and will be approved, if appropriate, effective October of the same year. In the event that a district's Consolidated Services Plan does not receive approval, reimbursement for the costs of preventive services may be withheld for the period during which approval was not granted.

Each plan shall include within the preventive services for children component at least one specific objective and activity that will target preventive services on:

- families with children in foster care; or
- families who have had a child discharged from foster care within the past twelve months; or
- adoptive families eligible for an adoption subsidy; or
- families in active status as indicated child protective cases; or
- families in which at least one child has been brought to the attention of the court through petition or adjudication as a PINS (Person in Need of Supervision) or JD (Juvenile Delinquent) or to the attention of the probation intake service because of a petitioner's intent to file a petition; or
- ACD (Adjournment with Contemplation of Dismissal) cases; or
- unmarried mothers under the age of 18 and their children; or
- children of incarcerated mothers; or
- one or more groups of families identified by the district as being at risk of foster care.

In addition, the plans must contain a statement listing the target groups it plans to serve, including all state-defined groups above and any groups the district selects.

In selecting its target population, a district must ensure that monitoring of its objectives and activities is possible. A district may not, for example, select a group defined as "all non-indicated child protective cases" or even "all child protective cases," because monitoring is not possible without violating the confidentiality rights of non-indicated cases.

Emphasis should also be placed on the notion that these are target groups. This means two things. First, these groups should overlap heavily with the client group, but the two are neither identical nor is one group merely part of the other. Second, the notion of targeting implies some special effort to reach the groups that are targeted. A group of clients, such as those seeking voluntary placement of their children, who become members of a group by their own initiative in seeking out help from the child welfare system are certainly appropriate clients for preventive services, but they do not constitute a group on whom services can be targeted in the normal sense.

2. Maintenance of Effort

Section 409-b of the Child Welfare Reform Act provides that reimbursement for preventive services for children will be available only for expenditures in excess of the amount identified by the department as claims for preventive services during the 1978/79 state fiscal year.

This is the core of the maintenance of effort requirement and a basic principle upon which it is based is that a district will have to maintain at least the same total level of spending for preventive services which was committed during the 1978/79 state fiscal year in order to benefit from the enriched 75% reimbursement provision. This means that if a district supported its 1978/79 preventive programs using both Title XX and 50/50 funds it will need to spend at least up to this total maintenance of effort amount in future years if it is to draw down any 75% reimbursement for preventive services after April 1, 1981.

Each district's maintenance of effort amount will consist of two parts. The first part (Part A) will represent an amount calculated on the basis of the district's 1978/79 Title XX expenditures for preventive services, and districts will be required to commit Title XX funds to cover this part of their total amount. This required commitment of Title XX funds is the larger of

- a. the total amount claimed by the district for Title XX preventive services expenditures during the 1978/79 fiscal year or
- b. the same percentage of the estimated Title XX allotment for federal fiscal year 1980/81 as the district spent on preventive services in fiscal year 1978/79 from Title XX funds. By requiring that the higher figure be used, two points are guaranteed. First, there will be no reduction in the Title XX funds spent for preventive services; and second, there will be no reduction in the percentage, and hence in the priority, of Title XX funds spent for preventive services. The second part (Part B) of the maintenance of effort amount is equal to the amount of non-Title XX funds spent on preventive services for children during the 1978/79 fiscal year.

The maintenance of effort amount for each district, previously sent to each local commissioner, is included in an attachment to this Directive, together with a breakdown of the component parts and a full description of the method of calculation. Further information may be obtained from the contact person for this Directive.

IV. Required Action

Beginning April 1, 1981, form series DSS-3300 - 3306 (3400-3407 in New York City) must be used for all children and families receiving either mandated or optional preventive services. Instructions for using these forms are found in Uniform Case Recording Standards Caseworker Manual. The Family and Children's Services Plans have already spelled out the district's intentions with regard to the types and amounts of preventive services to be offered.

V. Effective Date

This Directive shall take effect April 1, 1981.

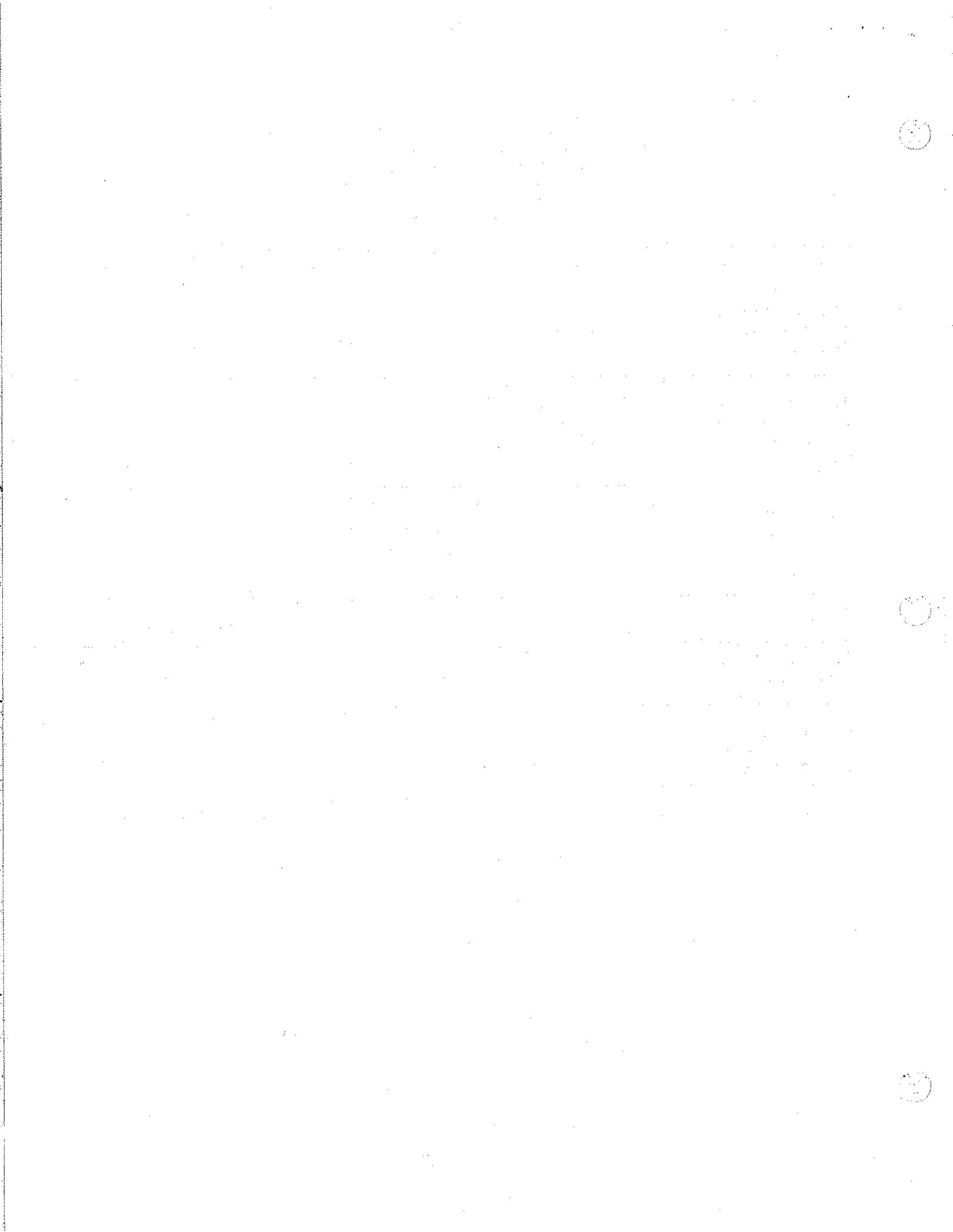


Morris P. Phillips
Deputy Commissioner
Division of Services



**Summary of Percentages
Related to Special Services
Used in Administrative
Directive on Eligibility
for Mandated Preventive Services**

| | 3% | 5% | 7% | 10% |
|---|----|----|----|-----|
| Maximum Percent of Expenditures for Emergency Cash or Goods | | | | |
| Maximum Percent of Expenditures for Emergency Shelter | | | | |
| Maximum Percent of Expenditures for Outreach and Engagement | | | | |
| Maximum Percent of Expenditures for All Special Services-Mandated | | | | |
| Maximum Percent of Expenditures for All Special Services-Non-Mandated | | | | |



FEE SCHEDULE FOR PREVENTIVE DAY CARE SERVICES

ANNUAL GROSS INCOME BY FAMILY SIZE

Children
Enrolled In

Full Time Care Part Time Care

2

3

4

Weekly Fee Weekly Fee

From

To

From

To

From

To

| | | | | | | | |
|------|------|----------|----------|----------|----------|----------|----------|
| \$ 2 | \$ 1 | \$ 7,760 | \$ 8,269 | \$ 9,585 | \$10,264 | \$11,410 | \$12,049 |
| 4 | 2 | 8,270 | 8,439 | 10,265 | 10,860 | 12,050 | 12,610 |
| 6 | 3 | 8,440 | 8,610 | 10,861 | 11,710 | 12,611 | 13,410 |
| 8 | 5 | 8,611 | 9,250 | 11,711 | 12,560 | 13,411 | 14,210 |
| 10 | 6 | 9,251 | 9,670 | 12,561 | 12,975 | 14,211 | 14,605 |
| 12 | 7 | 9,671 | 10,095 | 12,976 | 13,235 | 14,606 | 14,950 |
| 14 | 9 | 10,096 | 10,525 | 13,236 | 13,495 | 14,951 | 15,380 |
| 16 | 10 | 10,526 | 10,945 | 13,496 | 13,755 | 15,381 | 15,815 |
| 18 | 11 | 10,946 | 11,280 | 13,756 | 14,015 | 15,816 | 16,260 |
| 20 | 12 | 11,281 | 11,520 | 14,016 | 14,275 | 16,261 | 16,780 |
| 22 | 14 | 11,521 | 11,760 | 14,276 | 14,500 | 16,781 | 17,200 |
| 24 | 15 | 11,761 | 12,380 | 14,501 | 14,930 | 17,201 | 17,460 |
| 26 | 16 | 12,381 | 13,000 | 14,931 | 15,360 | 17,461 | 17,720 |
| 28 | 17 | 13,001 | 13,620 | 15,361 | 15,790 | 17,721 | 17,970 |
| 30 | 19 | 13,621 | 14,240 | 15,791 | 16,220 | 17,971 | 18,220 |
| 32 | 20 | 14,241 | 14,470 | 16,221 | 16,480 | 18,221 | 18,500 |
| 34 | 21 | 14,471 | 14,700 | 16,481 | 16,740 | 18,501 | 18,790 |
| 36 | 22 | 14,701 | 14,930 | 16,741 | 17,000 | 18,791 | 19,080 |
| 38 | 24 | 14,931 | 15,160 | 17,001 | 17,260 | 19,081 | 19,370 |
| 40 | 25 | 15,161 | 15,374 | 17,261 | 17,505 | 19,371 | 19,660 |
| 42 | 26 | 15,375 | 15,620 | 17,506 | 17,780 | 19,661 | 19,950 |
| 44 | 27 | 15,621 | 15,850 | 17,781 | 18,040 | 19,951 | 20,240 |
| 46 | 29 | 15,851 | 16,080 | 18,041 | 18,300 | 20,241 | 20,530 |
| 48 | 30 | 16,081 | | 18,301 | | 20,531 | |

5

| <u>From</u> | <u>To</u> |
|-------------|-----------|
| \$13,240 | \$13,863 |
| 13,864 | 14,410 |
| 14,411 | 15,190 |
| 15,191 | 15,970 |
| 15,971 | 16,340 |
| 16,341 | 16,950 |
| 16,951 | 17,550 |
| 17,551 | 18,150 |
| 18,151 | 18,750 |
| 18,751 | 19,350 |
| 19,351 | 19,960 |
| 19,961 | 20,250 |
| 20,251 | 20,550 |
| 20,551 | 20,840 |
| 20,841 | 21,140 |
| 21,141 | 21,470 |
| 21,471 | 21,800 |
| 21,801 | 22,130 |
| 22,131 | 22,460 |
| 22,461 | 22,806 |
| 22,807 | 23,120 |
| 23,121 | 23,450 |
| 23,451 | 23,780 |
| 23,781 | |

6

| <u>From</u> | <u>To</u> |
|-------------|-----------|
| \$15,065 | \$15,696 |
| 15,697 | 16,250 |
| 16,251 | 17,040 |
| 17,041 | 17,830 |
| 17,831 | 18,210 |
| 18,211 | 18,975 |
| 18,976 | 19,725 |
| 19,726 | 20,475 |
| 20,476 | 21,225 |
| 21,226 | 21,975 |
| 21,976 | 22,710 |
| 22,711 | 23,040 |
| 23,041 | 23,380 |
| 23,381 | 23,720 |
| 23,721 | 24,050 |
| 24,051 | 24,430 |
| 24,431 | 24,810 |
| 24,811 | 25,190 |
| 25,191 | 25,570 |
| 25,571 | 25,951 |
| 25,952 | 26,330 |
| 26,331 | 26,710 |
| 26,711 | 27,090 |
| 27,091 | |

FOR EACH ADDITIONAL PERSON OVER 6 ADD \$750 TO THE ANNUAL GROSS INCOME

MAINTENANCE OF EFFORT

The attached provides the figures which have been used in the calculation of each district's Basic Maintenance of Effort (column 3) and its component parts.

Each district's Basic Maintenance of Effort amount consists of two parts.

The first of these parts is the Title XX component and is represented in column 1. The Title XX component represents the higher of two dollar figures which were calculated for each district. These dollar figures are:

- a) the total dollars claimed by the district for Title XX preventive services expenditures on behalf of AFDC-NON WIN, MA-FP, HR and Income Eligible clients during the 1979 state fiscal year, and
- b) the product of the district's 1980-81 Title XX allocation as estimated, and the percentage of its total Title XX spending in state fiscal year 1979 which was spent on preventive services to AFDC-NON WIN, MA-FP, HR and Income Eligible clients.

Column 2 represents the 50/50 component and consists of the total amount claimed by districts for 50/50 preventive services programs during the 1979 state fiscal year.

Column 3 is the Basic Maintenance of Effort and represents the sum of column 1 and column 2.

Before a district is entitled to claim 75% reimbursement (non Title XX) for mandated preventive services cases it must first commit Title XX funds to cover an amount equal to its Title XX component (column 1). Following this a district may claim 75% reimbursement for all mandated cases, provided that its total preventive expenditures for the year funded under both Title XX and other sources are at least equal to its Basic Maintenance of Effort amount (column 3). If a district's combined preventive spending amount in future years is less than its Basic Maintenance of Effort, it will not receive 75% state reimbursement for that portion of its preventive spending which was not covered by Title XX funds.

Columns 4 and 5 will apply only to the six month period from April 1 through September 30, 1981, which represents the second half of the 1980-81 Title XX planning year. This will be the first six months during which preventive services for children and families will be a mandated service for eligible clients.

Column 4 is one half of column 1, and it represents the amount of Title XX funds which must be committed during the six month period before 75% reimbursement can be claimed.

Column 5 is one half of column 3 and it represents the total preventive services spending level from all sources which will be required in order for a district to take advantage of the 75% preventive reimbursement provision.

Full instructions concerning claiming for preventive services after April 1, 1981 will be provided at a later date.

Maintenance Of Effort (MOE)

| County | 1 MOE Title XX Comp. | 2 MOE 50/50 Comp. | 3 "Basic MOE" | 4 1/2 of Title XX Comp. | 5 1/2 MOE (4/81 thru 9/81) |
|---------------|-------------------------------|----------------------------|---------------------|----------------------------------|-------------------------------------|
| Albany | \$ 680,551 | \$ 217,702 | \$ 898,253 | \$ 340,276 | \$ 449,127 |
| Allegany | 36,965 | | 36,965 | 18,483 | 18,483 |
| Broome | 307,489 | 44,444 | 351,933 | 153,745 | 175,967 |
| Cattaraugus | 43,636 | | 43,636 | 22,818 | 22,818 |
| Cayuga | 22,123 | 37,916 | 60,039 | 11,062 | 30,020 |
| Chautauqua | 63,228 | | 63,228 | 31,614 | 31,614 |
| Chemung | 22,185 | | 22,185 | 11,092 | 11,092 |
| Chenango | 29,798 | | 29,798 | 14,894 | 14,894 |
| Clinton | 25,502 | | 25,502 | 12,751 | 12,751 |
| Columbia | 12,938 | | 12,938 | 6,469 | 6,469 |
| Cortland | 8,276 | | 8,276 | 4,138 | 4,138 |
| Delaware | 19,828 | | 19,828 | 9,914 | 9,914 |
| Dutchess | 110,112 | | 110,112 | 55,056 | 55,056 |
| Erie | 92,657 | | 92,657 | 46,329 | 46,329 |
| Essex | 27,628 | | 27,628 | 13,814 | 13,814 |
| Franklin | 24,584 | | 24,584 | 12,292 | 12,292 |
| Fulton | 36,978 | | 36,978 | 18,489 | 18,489 |
| Genesee | 4,248 | | 4,248 | 2,124 | 2,124 |
| Greene | 4,342 | | 4,342 | 2,171 | 2,171 |
| Hamilton | 0 | | 0 | 0 | 0 |
| Herkimer | 6,108 | | 6,108 | 3,054 | 3,054 |
| Jefferson | 89,209 | | 89,209 | 44,605 | 44,605 |
| Lewis | 12,779 | | 12,779 | 6,389 | 6,389 |
| Livingston | 42,560 | | 42,560 | 21,280 | 21,280 |
| Madison | 13,489 | | 13,489 | 6,725 | 6,725 |
| Monroe | 389,868 | 91,342 | 481,210 | 194,934 | 240,605 |
| Montgomery | 79,075 | | 79,075 | 39,538 | 39,538 |
| Nassau | 583,933 | | 583,933 | 291,967 | 291,967 |
| Niagara | 119,901 | | 119,901 | 59,951 | 59,951 |
| Oneida | 72,348 | | 72,348 | 36,174 | 36,174 |
| Oranaga | 156,235 | 469,698 | 625,134 | 78,118 | 313,067 |
| Ontario | 85,419 | | 85,419 | 42,709 | 42,709 |
| Orange | 259,698 | | 259,698 | 129,849 | 129,849 |
| Orleans | 23,476 | | 23,476 | 11,738 | 11,738 |
| Cawego | 9,285 | | 9,285 | 4,643 | 4,643 |
| Otsego | 42,872 | | 42,872 | 21,436 | 21,436 |
| Putnam | 12,936 | | 12,936 | 6,468 | 6,468 |
| Rensselaer | 229,541 | 27,340 | 250,881 | 114,721 | 128,391 |
| Rockland | 51,434 | | 51,434 | 25,717 | 25,717 |
| St. Lawrence | 31,693 | | 31,693 | 15,847 | 15,847 |
| Saratoga | 8,236 | | 8,236 | 4,118 | 4,118 |
| Schenectady | 83,843 | 20,000 | 103,843 | 41,922 | 51,922 |
| Schoharie | 34,117 | | 34,117 | 17,054 | 17,054 |
| Schuyler | 23,928 | | 23,928 | 12,914 | 12,914 |
| Seneca | 0 | | 0 | 0 | 0 |
| Steuben | 34,529 | | 34,529 | 17,265 | 17,265 |
| Suffolk | 22,366 | | 22,366 | 11,183 | 11,183 |
| Sullivan | 6,810 | | 6,810 | 3,405 | 3,405 |
| Tioga | 14,777 | | 14,777 | 7,388 | 7,388 |
| Tompkins | 301 | 4,348 | 4,642 | 150 | 2,324 |
| Ulster | 23,016 | | 23,016 | 11,508 | 11,508 |
| Warren | 63,484 | | 63,484 | 31,742 | 31,742 |
| Washington | 30,952 | | 30,952 | 15,476 | 15,476 |
| Wayne | 55,640 | | 55,640 | 27,820 | 27,820 |
| Westchester | 97,330 | | 97,330 | 43,665 | 43,665 |
| Wyoming | 12,753 | | 12,753 | 6,377 | 6,377 |
| Yates | 3,717 | | 3,717 | 1,859 | 1,859 |
| New York City | 5,713,858 | 1,790,730 | 7,504,588 | 2,856,929 | 3,752,294 |