



Office of Children and Family Services

ANDREW M. CUOMO
Governor

SHEILA J. POOLE
Acting Commissioner

August 14, 2015

Dear Chief Executive Officer,

Thank you for submitting Herkimer County's Supervision and Treatment Services for Juveniles Program (STSJP) plan for Fiscal Year (FY) 2016. Your plan has been reviewed by the Office of Children and Family Services (OCFS) and I am pleased to inform you that your county's STSJP plan has been **approved**.

Herkimer County is eligible to receive 62% State reimbursement for STSJP expenditures up to the capped STSJP allocation amount. Your municipality will continue to receive 49% State reimbursement for eligible detention services expenditures up to the capped allocation amount. If your municipality shifts a portion of its detention allocation into its STSJP plan, your municipality will receive 62% State reimbursement if such shifted funds are spent on STSJP eligible expenditures. Herkimer County may make an initial detention allocation shift or increase the amount of the detention allocation shift until December 31, 2015. If Herkimer County plans to shift its detention allocation for STSJP eligible expenses, please submit a request on official letterhead to Cara Korn and email it to stsjp@ocfs.ny.gov outlining the amount that will be shifted and the type of programming or services the re-purpose detention funds will be used for under STSJP. An amend STSJP plan will also need to be submitted, if (Herkimer county) shifts its detention allocation for STSJP eligible expenses

All STSJP claims must be submitted electronically via the Juvenile Detention Automated System (JDAS) for the service period April 1, 2015 to March 31, 2016. Questions on all aspects of claiming process should be directed to Daniel Hulihan at (518) 473-4511 or at Daniel.Hulihan@ocfs.ny.gov.

Thank you for your continued partnership as we reform the juvenile justice practices in New York State by safely engaging youth and their family through innovating alternative to placement and detention programs.

If you have any questions, please email us at stsjp@ocfs.ny.gov and write "STSJP Plan Questions" in the subject line so that we may best assist you in a timely manner. You can direct all STSJP inquiries to Cara Korn at (518) 408-3999 or Cara.Korn@ocfs.ny.gov and Shawn Chin-Chance at (212) 961-4110 or Shawn.Chin-Chance@ocfs.ny.gov.

Sincerely,

A handwritten signature in black ink, appearing to read "Joseph Mancini".

Joseph Mancini
Associate Commissioner
DJJOY Office of Community Partnerships

NEW YORK STATE
OFFICE OF CHILDREN AND FAMILY SERVICES
**SUPERVISION AND TREATMENT SERVICES FOR JUVENILE PROGRAM (STSJP)
FISCAL YEAR (FY) 2016 ANNUAL PLAN**

STSJP Plans are due to the Office of Children and Family Services (OCFS) by 6 / 29 / 2015

Plans should be submitted to: ocfs.sm.stsjp@ocfs.ny.gov

Please ensure that the title “Supervision and Treatment Services for Juveniles Plan” and your municipality name are in the subject field to facilitate the timely review of your STSJP Plan. Note: Fiscal Year (FY) 2016 replaces the term State Fiscal Year (SFY) 2015-16 and FY 2015 replaces the term SFY 2014-15.

Please direct any STSJP Plan questions to either:

Shawn.Chin-Chance@ocfs.ny.gov PH. 212-961-4110

Cara.Korn@OCFS.ny.gov PH. 518-408-3999

SECTION ONE- Municipal Information	
NAME OF MUNICIPALITY: Herkimer County	
STSJP LEAD AGENCY: Department of Social Services	STSJP LEAD PERSON: Joanne Favat
STSJP LEAD PHONE NUMBER: (315) 867-1240	STSJP LEAD E-MAIL: Joanne.Favat@dfa.state.ny.us

SECTION TWO – List of Programs and Services to be Funded			
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In this section, list the exact name of each program who have received STSJP funds, along with the projected amount of STSJP funds to be used for each. If this is a rollover program, please answer questions that are relevant to the funded program:

Program One-Name	Kids Herkimer Detention Diversion Program (DDP)	Type of Program	ATD
Total Program Expenses	\$ 81,013	Rollover Funded Program	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1. Please indicate specific zip codes that your plan targets:	13357	13350	
	13329	13365	
	13340	13407	
	13406	13431	
	13454	13491	

2. How will the program reduce the number of youth who are detained or in residential placement?
 The Kids Herkimer Detention Diversion Program (DDP) will reduce the number of youth who are remanded to Detention, including those youth who return to Detention after discharge, by providing a comprehensive array of services and supports included within three key components: Preventive Networking, Assessment, and Diversion Casework Services.

The DDP Coordinator will provide Preventive Networking services by participating in SPOA and School Child Study Team meetings, and by maintaining regular communication and coordination with School Districts and Local Police Agencies - especially in the high risk communities of Ilion, Herkimer, and Dolgeville and surrounding communities located along the Mohawk Valley Corridor - to determine if available services and interventions would assist in decreasing the risk of certain children being referred to court.

Referrals for the DDP Diversion Casework services will be received primarily from weekly PINS and JD Court Presentment Committee Meetings. The Court Presentment Committee Meeting includes representatives from Herkimer County DSS, Probation, the Family Support PINS Diversion Program, Family Court, Kids Herkimer DDP, and the Department of Mental Health and is held prior to Family Court proceedings as a means to divert placement by ensuring that all community based services and supports have been exhausted prior to a referral to court.

Additional referrals to DDP Casework Services may be received from PINS and JD Assessment and Review Committee Meetings, first appearances at Family Court, and any other means deemed necessary by the Herkimer County Department of Social Services.

Upon receiving information that a child could be at risk of detention, the DDP Coordinator will make an assessment of the child's current circumstances including current service interventions, protective factors, safety concerns and the level of risk in the

community. Supporting documentation, such as a youth's YASI and DRAI scores, will be reviewed when available and used to help inform the decision-making process. The DDP Coordinator will also help to determine if the child in question would benefit from supplemental services such as Respite or Electronic Monitoring.

Once identified and deemed appropriate to be enrolled in the Detention Diversion Program as an alternative to detention placement, the youth will remain in the community with DDP Diversion Casework Services. Intensive services will be provided until a long term plan is made for the child, i.e., enrollment in probation, appropriate preventive services, and/or alternative living arrangements. The DDP Coordinator will attend all court appearances for the child to update the court on progress and ensure that the child returns to all subsequent court dates.

DDP Diversion Casework Services will be provided for the child and family at their home, in school, and in the community. The worker's schedule will be flexible should the need arise for nighttime and weekend contacts. Crisis intervention will be available 24 hours a day, 7 days a week, by phone or in person as necessary to keep the child safely maintained in the community.

The DDP Coordinator will begin to identify goals and treatment options for the child and family that will increase the likelihood that he/she will remain in the community instead of being placed residentially, even after court proceedings. Direct care services provided by the DDP Coordinator will include home and school visits weekly to support the development and implementation of a comprehensive safety plan. In addition, Service Provisions for Individual Needs (SPIN) services such as Behavior Management, Casework Counseling, Community Supervision, Curfew Checks, Rise and Shine Services, and Mentoring/Recreation may be provided as necessary to address needs and reduce risks. Every effort will be made to link and refer youth to appropriate community resources, such as substance abuse counseling, family therapy, work/recreational programs and/or mental health services.

Respite services will be made available as an option for all youth that are enrolled in the Kids Herkimer DDP program. Herkimer County will utilize LDSS foster boarding homes to provide temporary respite care for youth that are enrolled in the Detention Diversion Program. Respite Services may be used to provide an alternative to placement in the event that the immediate needs of the youth and family require a temporary removal of the youth from the home. Detention Diversion services will be provided to the youth and family during the respite period and steps will be taken to resolve issues and reunite the family in a timely manner. Respite services may be accessed as a planned event or on an emergency basis.

Electronic Monitoring services will also be made available as an option for all court ordered PINS and JD youth enrolled in the DDP program. The Herkimer County Probation Department will provide electronic monitoring services to all court ordered PINS and JD youth that are enrolled in the Detention Diversion Program who may require additional monitoring while in the community to prevent placement.

Youth will be eligible to receive DDP Diversion Casework Services for up to 30 days after their court disposition has been made in order to better assist the child and family with the transition to an appropriate level of services. This service can also be utilized for children returning home from a detention placement, and is geared to foster a more seamless transition into preventive aftercare services.

Kids Herkimer will keep accurate records in compliance with established State regulations and will make these records available to the Department of Social Services upon request. Statistical information regarding clientele and program performance will be gathered and reports generated monthly and as requested by the Department of Social Services and Family Court. Financial records will be accurately maintained to reflect direct and indirect costs of services provided.

3. How will the program be family focused?

Kids Oneida, Inc. has based all of its programs, including the Kids Herkimer Detention Diversion Program, on the Wraparound philosophy and approach to treatment, which is a team-based, comprehensive planning process that provides a family-driven approach to meeting the needs of children and families. As Wraparound gains popularity and continues to be replicated, increasing research has reported positive outcomes throughout the country for this service delivery model

4. Can the program be replicated across multiple locations?

The Kids Herkimer Detention Diversion Program can be replicated in other counties in New York State as a means to reduce detention admissions

5. What is the projected number of youth that are served by this STSJP funded program?

30

6. If program is being used as an Alternative to Detention (ATD) and an Alternative to Placement (ATP), how will it serve both populations of youth?

NA

7. If the program was used during FY 2015, please assess whether the service or program achieved the projected reductions in detention utilization and/or residential placements and other performance outcomes.

Detention admissions were reduced by 32% in 2013, from 26 admissions to 18 admissions. In 2014, the number of detention admissions remained at 18.

Non-secure Detention care days were reduced by 46% between 2013 and 2014 (225 care days vs. 122 care days respectively). Secure detention care days used remained constant between 2013 and 2014 (33 care days used).

DDP Program performance outcomes for 2014-2015 include the following:

Performance Outcome: 90% of children enrolled in the Kids Herkimer Detention Diversion Program in 2014 will be diverted from entering detention and will return to any and all court appearances.

Result: 96% of children enrolled in DDP in 2014 were diverted from entering detention, 100% returned to any and all court appearances

Performance Outcome: 90% of all children enrolled in the Kids Herkimer DDP Program in 2014 will not have any police contact resulting in formal charges.

Result: 80% of youth enrolled in DDP in 2014 did not have any police contact resulting in formal charges.

Performance Outcome: Youth with truancy issues that are enrolled in DDP Diversion Casework Services will experience a 25% increase in days of school attended, to be measured by school and program reports.

Result: In 2014, 5 out of 7 (71%) of enrolled DDP children with truancy issues experienced an improvement by more than 25%. It is important to note that of the cases that experienced improvement, all of them saw improvement of at least 50%, which far exceeded the benchmark goal of 25%.

Performance Outcome: Youth will experience an increase in protective factors in the home to support youth and family enrolled in DDP Diversion Casework Services, to be measured by referral or participation in mental health services, family therapy, or other support community support service related to youth's needs.

Result: 90% of children that were discharged from Kids Herkimer Detention Diversion Program had been referred and were participating in mental health services, AOD services, volunteering opportunities and/or a teen support group. Two of the 24 children served were discharged from the DDP program without referrals being made for supportive services, however not due to efforts from coordinator to connect family with support.

Performance Outcome: For those enrolled in DDP Diversion Casework Services. 90% of all DDP youth will experience no Child Protective Service calls and involvement.

Result: 100% of children in DDP program had no substantiated CPS involvement.

8. What were the barriers if not met? There were no barriers identified that interfered with the achievement of performance outcomes.

Did the program receive STSJP funds for FY 2015? Yes No If Yes, answer the questions below:

1. When did the program start using FY 2015 STSJP Funds? April 1, 2014

2. What was the average length of stay for youth in program or service? 88.35 days

3. How many youth received services in the program during FY 2015? 24

In addition, Kids Herkimer DDP staff participated in Court Presentment meetings, a weekly review of all PINS and JD youth prior to appearance in Family Court to ensure that all available community based programs and services

SECTION THREE – Analysis of Communities

Provide an analysis that identifies the neighborhoods or communities from which the greatest number of juvenile delinquents, juvenile offenders and persons in need of supervision (PINS) are remanded to detention or residentially placed. Are these the communities and neighborhoods served in the previous years' approved plan, if not, what has changed?

Local detention and placement data for 2014 which details the community of origin of each youth placed, the number of care days that each youth was in placement, and PINS and JD status was analyzed as part of STSJP Plan development. Herkimer County Detention Admission and Care Days information provided by the OCFS Bureau of Research, Evaluation, and Performance Analytics illustrating the changes in Detention use between 2010 and 2014 and detention utilization data from the JDAS database was also reviewed.

Data provided by the OCFS Bureau of Research, Evaluation, and Performance Analytics comparing 2014 to 2010 showed a 45% reduction in NSD admissions (from 29 to 16 admissions) and a 62% reduction in average length of stay (from 21.2 to 8 days) during that time period. Local and JDAS data reviewed showed a 6% reduction in non-secure Detention placements between 2013 (17) and 2014 (16). Secure Detention placements increased by one (total secure placements were two in 2014).

The majority of non-secure detention placements in 2014, as in 2013, came from the communities of Ilion (4 each in 2014 and 2013) and Herkimer (4 admissions in 2014, 3 admissions in 2013). Three (3) youth were placed from the community of Dolgeville in 2014 for a total of 4 NSD and 2 Secure placements. This is an increase from previous years. The additional 4 NSD placements in 2014 were from the communities of Little Falls, Frankfort, Poland and Newport (1 each). In total, 10 of the 18 NSD and Secure Detention admissions in 2014 were from the communities within a five mile radius along the Mohawk Valley Corridor, which is consistent with the numbers seen in 2014 (14 of 18 placements were from the Mohawk Valley Corridor).

NSD care days were reduced by 46% between 2013 and 2014 (225 vs. 122 days). Despite secure detention admissions increasing from one (1) in 2013 to two (2) in 2014, secure detention care days used remained constant at 33 days total each year.

SECTION FOUR – Disparity

In this section, please provide information indicating whether the use of detention or residential placement in your service area exhibits a significant racial or ethnic disparity or disproportionality. Please note that when looking for disparity, highlight, with the use of accurate data, youth who given comparable levels of need, do not receive equal utilization of services. Seek out all decision points to illustrate usage. When looking for disproportionality, identify any population groups who are underrepresented in a larger population and then overrepresented in a subset population. For example, population group A represents 15 percent of the general population but represents 75 percent of the detention population. If you currently do not measure these variables, please include your plan for data collection for Racial and Ethnic Disparities across your system. If no disparities or disproportionalities exist in your system simply state that in the space below.

There were 18 admissions to Detention in 2014: 16 to Non-Secure Detention and two (2) to Secure Detention. The race/ethnicity of the Herkimer County youth admitted has been documented by the OCFS Bureau of Research, Evaluation and Performance Analysis as follows: Non-Secure Detention - 15 were identified as White (94%) and one as Hispanic (6%). 100% of the two youth admitted to Secure Detention in 2014 were identified as White. Although the actual percentage of admissions by race/ethnicity differs from the percentage of racial disparity that exists in the general population in Herkimer County, the number of detention admissions in groups other than white is too small to speculate on trends or differences

If such disproportionality exists, describe how the service/program proposed for funding will address this disparity. As part of their training and orientation, employees of the Kids Herkimer Detention Diversion Program (DDP) participate in cultural sensitivity training annually that will support them in making positive decisions and choices with the families that respect their backgrounds, beliefs and cultural diversity. Kids Oneida, Inc., the parent agency of Kids Herkimer, has a very diverse staff and provider network, and should the need arise for a specific request, will make every effort to meet the cultural needs of families.

SECTION FIVE – Strategy

Justification and Overall Strategy – The purpose of STSJP funds is to establish supports and services for youth who, absent these services, are likely to be detained or placed. Funds should therefore be clearly targeted to meet the needs of the types of youth who in the past have been admitted to detention or residential placement. With this specific purpose in mind, describe the strategy devised by your collaborative partners (list your collaborative partners) to address the STSJP Funding objective through the programs chosen in Section Two.

The Detention Diversion Program was developed in June 2011 utilizing key program elements and best practices found in the Kids Herkimer Return Home Early Program.

Kids Oneida, Inc. and the Herkimer County Department of Social Services partnered in April 2008 to develop the Kids Herkimer Return Home Early Program, an intensive community-based program designed to shorten residential placement length of stays and ease the transition to home, school and community by providing a comprehensive array of services and supports that address needs and improve outcomes.

Kids Herkimer began in 2008 with a contract to provide services to a caseload of 4 children that had been returned home early from residential placement. Due to its success in reunifying families and avoiding significant placement costs, the contract was increased in 2009 to serve a total of ten youth per year, and added an individualized fee for service component (SPIN services) to address specific youth and family needs. The services provided by the Kids Herkimer Return Home Early Program has led to a significant reduction in care days each year since that time. Herkimer County made an enhanced investment in the Kids Herkimer program by continuing previous Return Home Early and SPIN service provisions while also adding an Intensive Preventive program to work with high risk children to avoid out of home placements.

Treatment interventions provided through Kids Herkimer also serve to bring about changes in the system of care in an effort to have a profound and long lasting impact on the behavior patterns and life choices of children and their families

Based on the success of this program model, the Kids Herkimer Detention Diversion Program (DDP) was developed in August 2011 to reduce the number of youth who are remanded to Detention by providing a comprehensive array of services and supports which include Preventive Networking, Assessment, and intensive Diversion Casework Services. Since its implementation in August 2011, the Kids Herkimer DDP Program has curbed the unnecessary and inappropriate use of detention services and has improved outcomes for youth.

When the DDP Program began in 2011, a worker was stationed at Family Court to screen all PINS and JD youth at the time of initial presentment. Referrals for services were received at that time. In 2013 Kids Herkimer DDP staff began to participate in a weekly review of all PINS and JD youth prior to appearance in Family Court to ensure that all available community based programs and services (including DDP) were offered and/or utilized before court presentment to delay or divert detention or residential placement. 163 youth were assessed by the DDP Coordinator via the Court Presentment meetings during the 2014-2015 grant cycle. As a

result of these meetings, the DDP program identified and provided preventive casework services for 24 enrolled children in 2014 and were able to divert all but one youth from entering detention (96% success rate).

Key stakeholders from Herkimer County DSS, Probation, the Family Support PINS Diversion Program, Family Court, the Youth Bureau and the Department of Mental Health continue to be involved in the identification of needs for the PINS/JD population and help to inform plan development.

SECTION SIX – Outcomes

Performance Outcomes – For FY 2016, provide the projected performance outcomes for your proposed services and programs, being sure to include: An estimate of the anticipated reductions in detention utilization and residential placements.

The Detention Diversion Program will divert admission into detention by 10% in 2015, as measured by JDAS and OCFS reports.

Baseline: There were 18 admissions to detention (16 NSD and 2 Secure) in 2014

The Detention Diversion Program will reduce the use of detention care days by 10% in 2015, as measured by JDAS and OCFS reports.

Baseline: 122 NSD care days and 33 Secure care days were utilized in 2013

Other projected positive outcomes for youth who participate in the services and programs:
90% of children enrolled in the Kids Herkimer Detention Diversion Program in 2015 will be diverted from entering detention and will return to any and all court appearances.

90% of all children enrolled in the Kids Herkimer DDP Program in 2015 will not have any police contact resulting in formal charges.

90% of all youth with truancy issues that are enrolled in DDP Diversion Casework Services will experience a 25% increase in days of school attended, to be measured by school and program reports.

90% of all youth enrolled in DDP Diversion Casework Services will experience an increase in protective factors in the home to support youth and family, to be measured by referral or participation in mental health services, family therapy, or other support community support service related to youth's needs.

90% of all children enrolled in DDP Diversion Casework Services will experience no Child Protective Service calls and involvement.

Are there any changes in allocations or practices planned for FY 2016 based on experiences in FY 2015, please list those changes:

NA

SECTION SEVEN– Comments

Other projected positive outcomes for youth who participate in the services and programs:
90% of children enrolled in the Kids Herkimer Detention Diversion Program in 2015 will be diverted from entering detention and will return to any and all court appearances.

90% of all children enrolled in the Kids Herkimer DDP Program in 2015 will not have any police contact resulting in formal charges.

90% of all youth with truancy issues that are enrolled in DDP Diversion Casework Services will experience a 25% increase in days of school attended, to be measured by school and program reports.

90% of all youth enrolled in DDP Diversion Casework Services will experience an increase in protective factors in the home to support youth and family, to be measured by referral or participation in mental health services, family therapy, or other support community support service related to youth's needs.

90% of all children enrolled in DDP Diversion Casework Services will experience no Child Protective Service calls and involvement.

Are there any changes in allocations or practices planned for FY 2016 based on experiences in FY 2015, please list those changes:
NA

SECTION SEVEN– Comments

SECTION EIGHT– Plan Amounts

Instructions:

- A. Enter all program expenses in Program Services tab.
- B. Specify State Reimbursements for this plan (lines 6-9)

Expenses

1. Program Expenses (from Program Services)	81,013	
2. State Reimbursement (Line 1* 0.62)		50,228

Available Reimbursements

3. STSJP Allocation	50,228
4. Detention Allocation	209,584
5. JDAI	

Reimbursements for this Plan

6. STSJP Allocation	50,228
7. Detention Allocation being shifted to STSJP (if applicable)	
8. JDAI (if applicable)	
9. FY 2015 Rollover (if applicable)	
10. Total Reimbursements (Lines 6-9)	50,228

State and Local Totals

11. State Share Amount (Line 10)	50,228
12. Local Share Amount (Subtract Line 11 from 10)	30,785

SECTION NINE– Approval

Approval of the Chief Executive Officer

As STSJP Lead for Herkimer County Municipality, I certify that the CEO
 Vincent J. Bono, Chairman of the Herkimer County Legislature has reviewed and approved the 2015-2016 plan.

Date: 6 / 17 / 2015 STSJP Lead 21A182 STSJP Lead Joanne Favat
 User ID: _____ Printed Name: _____

INSTRUCTIONS:

Instructions for properly processing an STSJP plan.

- a. Once you have opened a copy of the OCFS-2121 form, please immediately use the "Save As" function in Microsoft Word to save a copy of the document on your computer.
- b. Please save your STSJP plan using the following format; (Municipality Name 2015-2016 STSJP Plan)
- c. Work from the "saved" plan document using it to record all of your municipality's information. Please use the document OCFS- 2121A to document additional STSJP programs.
- d. Once you have satisfactorily completed entering the required data, save the document.
- e. Section Nine must be completed prior to OCFS review of STSJP Plan.
- f. Upload completed plan and send it to OCFS via the STSJP email address at ocfs.sm.stsjp@ocfs.ny.gov

Approval of the OCFS STSJP Program Lead

As OCFS STSJP reviewer, I certify that I approve of this Supervision and Treatment Services for Juveniles
 Program/Plan for Herkimer Municipality and 2015-2016 fiscal year.

Date: 8/14/15 User ID: 90a417 Printed Name: Caral Korn